

# CLH report

## Proposal for Harmonised Classification and Labelling

Based on Regulation (EC) No 1272/2008 (CLP Regulation),  
Annex VI, Part 2

### International Chemical Identification:

*1,5-Naphthylene diisocyanate;*

*[NDI]*

**EC Number:** 221-641-4  
**CAS Number:** 3173-72-6  
**Index Number:** 615-007-00-X

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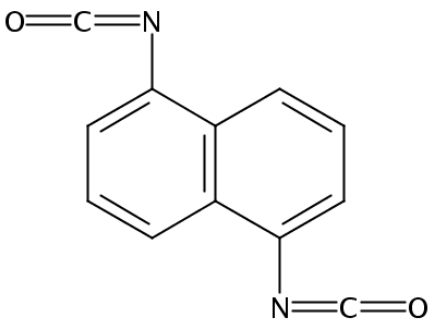
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## 1 IDENTITY OF THE SUBSTANCE

### 1.1 Name and other identifiers of the substance

Table 1: Substance identity and information related to molecular and structural formula of the substance

Name(s) in the IUPAC nomenclature or other international chemical name(s)	1,5-Diisocyanatonaphthalene
Other names (usual name, trade name, abbreviation)	NDI
ISO common name (if available and appropriate)	-
EC number (if available and appropriate)	221-641-4
EC name (if available and appropriate)	1,5-Naphthylene diisocyanate
CAS number (if available)	3173-72-6
Other identity code (if available)	-
Molecular formula	C <sub>12</sub> H <sub>6</sub> N <sub>2</sub> O <sub>2</sub>
Structural formula	
SMILES notation (if available)	O=C=Nc1cccc2c(cccc12)N=C=O
Molecular weight or molecular weight range	210.19 g/mol
Information on optical activity and typical ratio of (stereo) isomers (if applicable and appropriate)	-
Description of the manufacturing process and identity of the source (for UVCB substances only)	-
Degree of purity (%) (if relevant for the entry in Annex VI)	

### 1.2 Composition of the substance

Table 2: Constituents (non-confidential information)

Constituent (Name and numerical identifier)	Concentration range (% w/w minimum and maximum in multi-constituent substances)	Current CLH in Annex VI Table 3.1 (CLP)	Current self-classification and labelling (CLP)
1,5-diisocyanatonaphthalene EC No. 221-641-4 CAS No. 3173-72-6	-	cf. Table 3	In addition to CLH: Skin Sens. 1 (H317)

## 2 PROPOSED HARMONISED CLASSIFICATION AND LABELLING

### 2.1 Proposed harmonised classification and labelling according to the CLP criteria

Table 3: Current, proposed, and resulting harmonised classification and labelling for NDI

	Index No	International Chemical Identification	EC No	CAS No	Classification		Labelling			Specific Conc. Limits, M-factors and ATEs	Notes
					Hazard Class and Category Code(s)	Hazard statement Code(s)	Pictogram, Signal Word Code(s)	Hazard statement Code(s)	Suppl. Hazard statement Code(s)		
Current Annex VI entry	615-007-00-X	1,5-naphthylene diisocyanate	221-641-4	3173-72-6	Acute Tox. 4* Skin Irrit. 2 Eye Irrit. 2 Resp. Sens. 1 STOT SE 3 Aquatic Chronic 3	H332 H315 H319 H334 H335 H412	GHS08 GHS07 Dgr	H332 H315 H319 H334 H335 H412			
Dossier submitter's proposal	TBD [split-entry 1]	1,5-naphthylene diisocyanate [containing < 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm]	221-641-4	3173-72-6	<b>Retain</b> Skin Irrit. 2 Eye Irrit. 2 Resp. Sens. 1 STOT SE 3 Aquatic Chronic 3 <b>Add</b> Skin Sens. 1A <b>Delete</b> Acute Tox. 4*	<b>Retain</b> H315 H319 H334 H335 H412 <b>Add</b> H317 <b>Delete</b> H332	<b>Retain</b> GHS08 GHS07 Dgr	<b>Retain</b> H315 H319 H334 H335 H412 <b>Add</b> H317 <b>Delete</b> H332			
	TBD [split-entry 2]	1,5-naphthylene diisocyanate [containing ≥ 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm]	221-641-4	3173-72-6	<b>Retain</b> Skin Irrit. 2 Eye Irrit. 2 Resp. Sens. 1 STOT SE 3 Aquatic Chronic 3 <b>Add</b> Skin Sens. 1A <b>Modify</b> Acute Tox. 2	<b>Retain</b> H315 H319 H334 H335 H412 <b>Add</b> H317 <b>Modify</b> H330	<b>Retain</b> GHS08 Dgr <b>Add</b> GHS06 <b>Remove</b> GHS07	<b>Retain</b> H315 H319 H334 H335 H412 <b>Add</b> H317 <b>Modify</b> H330		<b>Add</b> Inhalation: ATE = 0.27 mg/L (dusts or mists)	

CLH REPORT FOR NDI

	Index No	International Chemical Identification	EC No	CAS No	Classification		Labelling			Specific Conc. Limits, M-factors and ATEs	Notes
					Hazard Class and Category Code(s)	Hazard statement Code(s)	Pictogram, Signal Word Code(s)	Hazard statement Code(s)	Suppl. Hazard statement Code(s)		
Resulting entry in Annex VI if adopted by RAC and agreed by Commission	TBD [split-entry 1]	1,5-naphthylene diisocyanate [containing < 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm]	221-641-4	3173-72-6	STOT SE 3 Skin Irrit. 2 Eye Irrit. 2 Resp. Sens. 1 Skin Sens. 1A Aquatic Chronic 3	H335 H315 H319 H334 H317 H412	GHS07 GHS08 Dgr	H335 H315 H319 H334 H317 H412			
	TBD [split-entry 2]	1,5-naphthylene diisocyanate [containing ≥ 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm]	221-641-4	3173-72-6	Acute Tox. 2 STOT SE 3 Skin Irrit. 2 Eye Irrit. 2 Resp. Sens. 1 Skin Sens. 1A Aquatic Chronic 3	H330 H335 H315 H319 H334 H317 H412	GHS06 GHS08 Dgr	H330 H335 H315 H319 H334 H317 H412		Inhalation: ATE = 0.27 mg/L (dusts or mists)	

Table 4: Reason for not proposing harmonised classification and status under public consultation

Hazard class	Reason for no classification	Within the scope of public consultation
Explosives	Hazard class not assessed in this dossier	No
Flammable gases (including chemically unstable gases)		
Oxidising gases		
Gases under pressure		
Flammable liquids		
Flammable solids		
Self-reactive substances		
Pyrophoric liquids		
Pyrophoric solids		
Self-heating substances		
Substances which in contact with water emit flammable gases		
Oxidising liquids		
Oxidising solids		
Organic peroxides		
Corrosive to metals		
Acute toxicity via oral route	Harmonised classification proposed	Yes
Acute toxicity via dermal route		
Acute toxicity via inhalation route	Hazard class not assessed in this dossier	No
Skin corrosion/irritation		
Serious eye damage/eye irritation		
Respiratory sensitisation	Harmonised classification proposed	Yes
Skin sensitisation		
Germ cell mutagenicity	Hazard class not assessed in this dossier	No
Carcinogenicity		
Reproductive toxicity		
Specific target organ toxicity-single exposure		
Specific target organ toxicity-repeated exposure		
Aspiration hazard		
Hazardous to the aquatic environment		
Hazardous to the ozone layer		

### **3 HISTORY OF THE PREVIOUS CLASSIFICATION AND LABELLING**

The current CLH has been taken over into Annex VI to the CLP regulation from the previous legislation, i.e. the Dangerous Substances Directive (Dir. 67/548/EEC). Further details are not known to the Dossier Submitter (DS).

### **4 JUSTIFICATION THAT ACTION IS NEEDED AT COMMUNITY LEVEL**

#### Skin sensitisation

Diisocyanates are known for their potential to cause respiratory and skin sensitisation. A restriction dossier submitted recently by the German MSCA (German CA, 2016) shows that allergic respiratory symptoms may occur after sensitisation via the inhalation or the dermal route. Also the opposite, i.e. dermal contact allergy in individuals sensitised to diisocyanates via inhalation has been observed.

Skin sensitisation is not an endpoint with obligatory CLH, but because of its link to respiratory sensitisation (for which CLH is mandatory), the DS considers it essential that individuals handling diisocyanates are sufficiently protected against the risks arising from dermal exposure to diisocyanates.

Moreover, only 11/36 (as of 25.02.2019) notifiers have self-classified NDI for skin sensitisation which together with the huge annual tonnage and wide-spread use of the substance further highlights the need to install sufficient protection against the risks of dermal exposure to NDI on an EU-wide scale.

#### Acute Toxicity

There is agreement among the EU MSCAs that whenever an entry in Annex VI is amended, any minimum classifications (such as the Acute Tox. 4\* for NDI) need to be clarified.

### **5 IDENTIFIED USES**

A summary of the information available on ECHA's public website (accessed 2017-12-14) is given below<sup>1</sup>.

#### **5.1 General**

This substance is manufactured and/or imported in the European Economic Area in 1 000 – 10 000 tonnes per year. This substance is used in formulation or re-packing, at industrial sites and in manufacturing.

#### **5.2 Consumer Uses**

ECHA has no public registered data indicating whether or in which chemical products the substance might be used. ECHA has no public registered data on the routes by which this substance is most likely to be released to the environment.

#### **5.3 Article service life**

ECHA has no public registered data on the use of this substance in activities or processes at the workplace. ECHA has no public registered data on the routes by which this substance is most likely to be released to the environment. ECHA has no public registered data indicating whether or into which articles the substance might have been processed.

#### **5.4 Widespread use by professional workers**

ECHA has no public registered data indicating whether or in which chemical products the substance might be used. ECHA has no public registered data on the types of manufacture using this substance. ECHA has no

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<sup>1</sup> The text is a mixture of excerpts from ECHA's public website and of text prepared by the DS. Direct use of original text is not specifically marked.



public registered data on the use of this substance in activities or processes at the workplace. ECHA has no public registered data on the routes by which this substance is most likely to be released to the environment.

### **5.5 Formulation or re-packing**

ECHA has no public registered data indicating whether or in which chemical products the substance might be used.

This substance is used in the following activities or processes at workplace: transfer of chemicals, closed processes with no likelihood of exposure, closed batch processing in synthesis or formulation, transfer of substance into small containers, laboratory work, closed, continuous processes with occasional controlled exposure, batch processing in synthesis or formulation with opportunity for exposure and mixing in open batch processes.

Release to the environment of this substance can occur from industrial use: formulation of mixtures, formulation in materials, as an intermediate step in further manufacturing of another substance (use of intermediates) and for thermoplastic manufacture.

### **5.6 Uses at industrial sites**

ECHA has no public registered data indicating whether or in which chemical products the substance might be used.

This substance is used for the manufacture of: chemicals.

This substance is used in the following activities or processes at workplace: Transfer of chemicals, closed processes with no likelihood of exposure, closed batch processing in synthesis or formulation, transfer of substance into small containers, laboratory work, closed, continuous processes with occasional controlled exposure, batch processing in synthesis or formulation with opportunity for exposure and mixing in open batch processes.

Release to the environment of this substance can occur from industrial use: formulation of mixtures, formulation in materials, as an intermediate step in further manufacturing of another substance (use of intermediates) and for thermoplastic manufacture.

### **5.7 Manufacture**

This substance is used in the following activities or processes at workplace: Closed processes with no likelihood of exposure, closed, continuous processes with occasional controlled exposure, closed batch processing in synthesis or formulation, transfer of chemicals at dedicated facilities, transfer of substance into small containers and laboratory work.

Release to the environment of this substance can occur from industrial use: manufacturing of the substance.

## **6 DATA SOURCES**

This report has been created based on the data submitted by the lead registrant in the REACH registration dossier for NDI. In addition, further relevant data were retrieved as part of a general literature search in the context of the restriction proposal for diisocyanates recently submitted to ECHA by the DS.

A supplementary literature search was performed in the SCOPUS database on 2017-06-30 for all references in the areas of medicine, pharmacology, toxicology, or environment published in 2015-2017 and containing the keyword „isocyanate”. Also the PubMed database was searched for that keyword and time range.

## 7 PHYSICOCHEMICAL PROPERTIES

Table 5: Summary of physicochemical properties (all data taken from REACH registration dossier)

Property	Value	Comment (e.g. measured or estimated)
<b>Physical state at 20°C and 101,3 kPa</b>	Solid	Experimental
<b>Melting/freezing point</b>	127 °C	Measured
<b>Boiling point</b>	167 °C (at 7 hPa)	Measured
<b>Relative density</b>	1.41 g ml <sup>-1</sup> (20 °C)	Measured: According to OECD 109 and EU A.3 using a pycnometer.
<b>Vapour pressure</b>	8.0 10 <sup>-4</sup> Pa (25.0 °C) 1.1 10 <sup>-1</sup> Pa (60.4 °C) 9.5 10 <sup>-1</sup> Pa (79.6 °C)	Measured: According to OECD 104 and EU A.4 using the gas saturation method.
<b>Surface tension</b>	n.a. (substance reacts with water, hydrolysis)	Data waiver
<b>Water solubility</b>	Measured n.a. (substance reacts with water, hydrolysis, half-life < 1 hour at pH 4, 7 and 9) Calculated: 36.8 mg/L	Calculated using general solubility equation (GSE, p. 60 ECHA Guidance R.7a v.5.0)
	Calculated value of the hydrolysis product 1,5-diaminonaphthalene 195.8 mg/L	Calculated using general solubility equation (GSE, p. 60 ECHA Guidance R.7a v.5.0)
<b>Partition coefficient n-octanol/water</b>	Measured n.a. (substance reacts with water, hydrolysis) Calculated logK <sub>OW</sub> = 4.37	Calculated by KOWWIN v.141 using a “fragment constant” methodology
	Measured value of the hydrolysis product 1,5-diaminonaphthalene logK <sub>OW</sub> = 0.89	Measured
<b>Granulometry</b>	Particle size	Amount
	> 875 µm	82.0 %
	> 100 - < 875.0 µm	16.7 %
	> 50 - < 100 µm	1.0 %
	< 50 µm	0.3 %
	Measured by dry dispersion technique by combined manual sieving at 875 µm and laser diffraction It was observed that significant amounts of the substance stick to the vibrational feeding system, which was used for laser diffraction analysis. Additionally, agglomeration of fine particles in vibrational feeder was likely to occur due to the cohesive nature of the substance.	
<b>Stability in organic solvents and identity of relevant degradation products</b>	N.a. (stability in organic solvents is not a critical property of the substance)	Data waiver
<b>Dissociation constant</b>	N.a. (substance reacts with water, hydrolysis, half-life < 1 hour at pH 4, 7 and 9)	Data waiver
<b>Viscosity</b>	N.a. (substance is solid)	Data waiver

## 8 EVALUATION OF PHYSICAL HAZARDS

Not assessed in this dossier

## 9 TOXICOKINETICS (ABSORPTION, METABOLISM, DISTRIBUTION AND ELIMINATION)

### 9.1 Hydrolysis

According to the IUCLID summary in the lead registration dossier, NDI hydrolyses by 50 % within less than 1 hour at pH 4, 7 and 9 in water. 1,5-Diaminonaphthalene and carbon dioxide are found to be the main degradation products, followed by an urea-dimer in minor amounts (Bayer, 2006b).

In the view of the DS (and as proven by experimental data), this provides a sufficient time window for protein-hapten complex formation which is regarded as the Molecular Initiating Event (MIE) in the Adverse Outcome Pathway (AOP) for skin sensitisation (OECD, 2012).

### 9.2 ADME

In the registration dossier, the lead registrant has provided the following statement with relevance to available toxicokinetic information for NDI regarding the dermal and inhalation routes:

*“Experimental toxicokinetic studies were not performed. NDI is a white to yellowish organic solid with a very low vapour pressure under normal ambient conditions ( $8 \times 10^{-6}$  hPa at 25 °C), therefore inhalation exposure to the vapour is expected to be negligible. Currently available data on particle size during worst-case end-use of NDI indicate a thoracic percentage of 0.02 % that can be inhaled by humans and may reach the thoracic region. [...] NDI proved as skin sensitiser in a local lymph node assay (LLNA) in mice, therefore at least some dermal bioavailability after dermal contact is expected”* (Bayer, 2010).

## 10 EVALUATION OF HEALTH HAZARDS

### 10.1 Acute toxicity - oral route

Not assessed in this dossier

### 10.2 Acute toxicity - dermal route

Not assessed in this dossier

### 10.3 Acute toxicity - inhalation route

Table 6: Summary table of animal studies for acute inhalation LC<sub>50</sub> determination

Method, guideline, deviations	Species, strain, sex, no/group	Test substance, duration of exposure, form, dose levels, and particle size, results	Reference																																																								
OECD 403/EU B.2 GLP claimed Reliability 2 (reliable with restrictions): Only summary available  <b>Key study</b>	Rat, Wistar, 5M+ 5F per group	NDI, aerosol (dust), 1 x 4 h, nose-only  <table border="1"> <thead> <tr> <th>Dose level (mg/m<sup>3</sup>)</th> <th>0</th> <th>96</th> <th>189</th> <th>238</th> <th>314</th> <th>384</th> <th>541</th> </tr> </thead> <tbody> <tr> <td>MMAD (µm)</td> <td>NA</td> <td>3.1</td> <td>3.2</td> <td>4.0</td> <td>3.6</td> <td>3.8</td> <td>3.1</td> </tr> <tr> <td>GSD (µm)</td> <td>NA</td> <td>1.6</td> <td>1.7</td> <td>2.1</td> <td>1.5</td> <td>1.5</td> <td>1.6</td> </tr> <tr> <td><b>Mortality (no. dead/no. exposed)</b></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>    <b>M</b></td> <td>0/5</td> <td>0/5</td> <td>0/5</td> <td>3/5</td> <td>3/5</td> <td>4/5</td> <td>4/5</td> </tr> <tr> <td>    <b>F</b></td> <td>0/5</td> <td>0/5</td> <td>0/5</td> <td>3/5</td> <td>3/5</td> <td>4/5</td> <td>4/5</td> </tr> <tr> <td><b>Mortality (% , M+F combined)</b></td> <td>0</td> <td>0</td> <td>0</td> <td>60</td> <td>60</td> <td>80</td> <td>80</td> </tr> </tbody> </table> LC <sub>50</sub> (4h) = 0.27 mg/L  Cf. text for further details (including clinical signs)	Dose level (mg/m <sup>3</sup> )	0	96	189	238	314	384	541	MMAD (µm)	NA	3.1	3.2	4.0	3.6	3.8	3.1	GSD (µm)	NA	1.6	1.7	2.1	1.5	1.5	1.6	<b>Mortality (no. dead/no. exposed)</b>								<b>M</b>	0/5	0/5	0/5	3/5	3/5	4/5	4/5	<b>F</b>	0/5	0/5	0/5	3/5	3/5	4/5	4/5	<b>Mortality (% , M+F combined)</b>	0	0	0	60	60	80	80	(Bayer, 1995a)
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<b>M</b>	0/5	0/5	0/5	3/5	3/5	4/5	4/5																																																				
<b>F</b>	0/5	0/5	0/5	3/5	3/5	4/5	4/5																																																				
<b>Mortality (% , M+F combined)</b>	0	0	0	60	60	80	80																																																				

Method, guideline, deviations	Species, strain, sex, no/group	Test substance, duration of exposure, form, dose levels, and particle size, results	Reference																																								
<p>Non-guideline</p> <p>GLP claimed</p> <p>Reliability 2 (reliable with restrictions): Only summary available, observation time post-exposure: 7 d (instead of 14 d as recommended by OECD TG 403), MMAD outside the range recommended by OECD TG 403</p> <p><b>Supporting study</b></p>	<p>Rat, Wistar, 18 M/group</p>	<p>NDI, aerosol (dust), 1 x 4 h, nose-only</p> <table border="1"> <thead> <tr> <th>Dose level (mg/m<sup>3</sup>)</th> <th>0</th> <th>56</th> <th>140</th> <th>148</th> <th>240</th> <th>245</th> <th>1 050</th> </tr> </thead> <tbody> <tr> <td>MMAD (µm)</td> <td>NA</td> <td>3.1</td> <td>4.1<sup>§</sup></td> <td>6.9<sup>§</sup></td> <td>5.4<sup>§</sup></td> <td>9.0<sup>§</sup></td> <td>10.1<sup>§</sup></td> </tr> <tr> <td>GSD (µm)</td> <td>NA</td> <td>1.9</td> <td>1.9</td> <td>2.4</td> <td>2.1</td> <td>2.5</td> <td>2.8</td> </tr> <tr> <td>Mortality (no. dead/no. exposed)</td> <td>0/18</td> <td>0/18</td> <td>2/18</td> <td>0/18</td> <td>7/18</td> <td>0/18</td> <td>18/18</td> </tr> <tr> <td>Mortality (%)</td> <td>0</td> <td>0</td> <td>11</td> <td>0</td> <td>39</td> <td>0</td> <td>100</td> </tr> </tbody> </table> <p>LC<sub>50</sub> (4 h) not calculated</p> <p>Cf. text for further details (including clinical signs)</p>	Dose level (mg/m <sup>3</sup> )	0	56	140	148	240	245	1 050	MMAD (µm)	NA	3.1	4.1 <sup>§</sup>	6.9 <sup>§</sup>	5.4 <sup>§</sup>	9.0 <sup>§</sup>	10.1 <sup>§</sup>	GSD (µm)	NA	1.9	1.9	2.4	2.1	2.5	2.8	Mortality (no. dead/no. exposed)	0/18	0/18	2/18	0/18	7/18	0/18	18/18	Mortality (%)	0	0	11	0	39	0	100	<p>(Bayer, 2003)</p>
Dose level (mg/m <sup>3</sup> )	0	56	140	148	240	245	1 050																																				
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<sup>§</sup> Outside the range recommended by OECD TG 403 (1-4 µm).

Three studies in animals are available in the registration dossier which are summarised in more detail below, using excerpts from the study summaries provided by the lead registrant for NDI under REACH. The design of a fourth study dated 1946, which is mentioned on ECHA’s public dissemination site (“2 rats, 2 mice, 1 rabbit and 1 guinea pig were exposed to NDI (4000 mg heated to 150 °C in a 400 litre cage)”) was not considered relevant for this dossier.

### 10.3.1 4 h acute inhalation study in rats (Bayer, 1995a)

In an OECD TG 403/EU B.2-conform acute inhalation toxicity study, 5 male and 5 female Wistar rats per group (source: Harlan-Winkelmann, Borcheln, Germany; age at study initiation: 2-3 months; housing: individual; diet and water: ad libitum; acclimation period:  $\geq 5$  d) were exposed to 0, 96, 189, 238, 314, 384, or 541 mg NDI aerosol/m<sup>3</sup> for 4 h via nose-only exposure.

According to a particle size analysis, in the 96, 189, 238, 314, 384, and 541 mg/m<sup>3</sup> exposure groups the MMAD (mass median aerodynamic diameter) was 3.1, 3.2, 4.0, 3.6, 3.8 and 3.1  $\mu\text{m}$ , respectively, with a geometric standard deviation (GSD) of 1.6, 1.7, 2.1, 1.5, 1.5 and 1.6, respectively.

Body weights were recorded immediately prior to exposure, on days 3, 7, and weekly thereafter. Animals were observed for clinical signs several times on the day of dosing and  $\geq 1/\text{d}$  during the 4 wk observation period. A Functional Observational Battery (FOB) as well as a gross pathological examination was performed.

Results regarding mortality and clinical signs are shown in Table 7.

Table 7: Overview of the results of an acute inhalation toxicity study with NDI, (Bayer, 1995a) reproduced from the summary in the registration dossier with slight editorial modifications

Sex	Gravimetric concentration (mg/m <sup>3</sup> )	Toxicological results (no. dead/no. with clinical signs after cessation of exposure/number exposed)	Onset and duration of clinical signs	Onset of mortality
Males	0	0/0/5	-	-
	96	0/5/5	4 h – 8 d	-
	189	0/5/5	4 h – 7 d	-
	238	3/5/5	4 h – 7 d	1 d
	314	3/5/5	4 h – 7 d	1 d – 2 d
	384	4/5/5	4 h – 11 d	1 d
	541	4/5/5	4 h – 11 d	1 d
Females	0	0/0/5	-	-
	96	0/5/5	4 h – 8 d	-
	189	0/5/5	4 h – 7 d	-
	238	3/5/5	4 h – 7 d	1 d – 2 d
	314	3/5/5	4 h – 7 d	1 d – 2 d
	384	4/5/5	4 h – 6 d	1 d
	541	4/5/5	4 h – 6 d	1 d

Below the detailed report of the findings in this study is reproduced verbatim from the IUCLID summary submitted by the lead registrant for NDI:

**"Mortality:** Aerosol (dust) concentrations to 238 mg/m<sup>3</sup> and above induced test substance-related mortality within the first two post-exposure days. Exposure to concentrations equal or less than 189 mg/m<sup>3</sup> test compound were tolerated without mortality.

**Clinical signs:** All animals exposed to the test compound showed bradypnoe, laboured breathing pattern, nose/snout area with red encrustations, reduced motility, flaccid appearance, ungroomed hair-coat and piloerection starting at 96 mg/m<sup>3</sup>. In addition, rales, salivation, serous discharge from nose, cyanosis and apathy was seen at 189 mg/m<sup>3</sup> and above (see also table 7 for onset and duration of signs).

**Body weight:** Decreased body weights were observed in all groups exposed to the test compound (at 96 mg/m<sup>3</sup> and above).

**Gross pathology:** White foamy discharge from snout, red encrustation in the muzzle area, lungs with dark-red colourations and spongy (oedematous) appearance, foam in trachea, distended hydrothorax, lobulation of liver, and pale parenchymatous organs were observed in animals sacrificed during the observation period. In rats sacrificed at the end of the observation period an increased incidence of macroscopical findings was observed on lungs. However, the findings appeared not to be induced in a clear concentration-dependent manner.

**Other findings:** All animals showed normal reflexes. At 96 mg/m<sup>3</sup> and above a concentration-dependent decrease of body temperature was recorded.”

The LC<sub>50</sub> (aerosol, 4 h) in this study as calculated by the author was 270 mg/m<sup>3</sup> air for male and female rats combined. Regarding the calculation of the LC<sub>50</sub>, the summary provides the following information: “If calculation of a median lethal concentration (LC50) is possible, it is performed by computer (HP 3000) according to the method of AP. Rosiello, I.M. Essigmann, and G.N. Wogan (1977<sup>2</sup>) as modified by Pauluhn (1983). This method is based on the maximum-likelihood method of C.I. Bliss (1938). If only 2 pairs of values with greater than 0 % lethality and less than 100 % are available then the first linear approximation is based on these values and a homogeneity test is not performed. The interpolated concentration at 50 % lethality in this case was designated at approximate LC50”.

FOB results are not reported in the summary available in the registration dossier, but are also not considered by the DS to be relevant for acute inhalation toxicity classification.

### 10.3.2 4 h acute inhalation study in rats (Bayer, 2003)

In a non-guideline 4 h acute toxicity study which focused on an analysis of bronchoalveolar lavage (BAL) parameters rather than lethality, 18 male Wistar rats per group (source: Harlan-Winkelmann, Borchon, Germany; age at study initiation: ca. 2 months; housing: individual; diet and water: ad libitum; acclimation period: ≥ 5 d) were exposed to 0, 56, 140, 148, 240, 245, and 1 050 mg NDI/m<sup>3</sup> for four hours. Six males per group were serially sacrificed on post-exposure days 1, 3, and 7.

In the 56, 140, 148, 240, 245 and 1050 mg/m<sup>3</sup> exposure groups the MMAD (GSD) were 3.1 (1.9), 4.1 (1.9), 6.9 (2.4), 5.4 (2.1), 9.0 (2.5) and 10.1 (2.8) μm, respectively. Except for the 56 mg/m<sup>3</sup> group, all of these distributions were outside the MMAD range recommended in OECD TG 403.

Body weights were recorded on days 1, 3, and 7 (prior to sacrifice), animals were observed for clinical signs several times on the day of exposure and at least 1/d thereafter, and their rectal temperature was measured ca. half an hour after the end of exposure. BAL fluid was collected on post-exposure days 1, 3, and 7 and analysed for indicators of inflammatory response and lower respiratory tract damage as well as interactions with pulmonary phospholipids: Lactate dehydrogenase (LDH), total protein, β-N-Acetyl-glucosamidase (β-NAG), phospholipids (phosphatidylcholine), phospholipids (phosphatidylcholine)/cell, and total number of lavaged cells (including the volume and diameter).

Results regarding mortality, clinical signs, and rectal temperature are shown in Table 7.

Table 8: Overview of the results of an acute inhalation toxicity study with NDI (Bayer, 2003), reproduced from the summary in the registration dossier with slight editorial modifications

Group no.	Gravimetric concentration (mg/m <sup>3</sup> )	Toxicological results (no. dead/no. with clinical signs after cessation of exposure/number exposed)	Onset and duration of clinical signs	Onset of mortality	Rectal temperature (°C)
1	0	0/0/18	-	-	38.0
2	56	0/18/18	0 d – 7 d	-	32.6
3	140	2/18/18	0 d – 7 d	1 d, 2 d	33.1
4	148	0/18/18	0 d – 7 d	-	31.4
5	240	7/18/18	0 d – 3 d	1 d, 2 d	30.4
6	245	0/18/18	0 d – 7 d	-	31.6
7	1 050	18/18/18	0 d – 1 d	0 d, 1 d	30.4

Below the detailed report of the findings in this study is reproduced verbatim from the IUCLID summary submitted by the lead registrant for NDI:

**“Clinical signs:**

Control: All rats tolerated the exposure without specific signs

<sup>2</sup> Rosiello, A. P.

56 mg/m<sup>3</sup> (MMAD: 3.1 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, haircoat ungroomed, nasal discharge (serous), nostrils: reddened, nostrils: red encrustations, stridor, motility reduced, limp, high-legged gait, muzzle: red encrustations

140 mg/m<sup>3</sup> (MMAD: 4.1 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, haircoat ungroomed, nasal discharge (serous), nostrils: reddened, nostrils: red encrustations, stridor, motility reduced, limp, high-legged gait.

148 mg/m<sup>3</sup> (MMAD: 6.9 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, haircoat ungroomed, nasal discharge (serous), nostrils: red encrustations, stridor, motility reduced, limp, high-legged gait, tremor, muzzle: red encrustations, nares: red encrustations

240 mg/m<sup>3</sup> (MMAD: 5.4 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, haircoat ungroomed, nasal discharge (serous), nostrils: red encrustations, motility reduced, limp, high-legged gait, giddiness, tremor, muzzle: red encrustations, nares: red encrustations, blepharospasm, cyanosis, chromodakryorrhea.

245 mg/m<sup>3</sup> (MMAD: 9.0 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, haircoat ungroomed, nasal discharge (serous), nostrils: reddened, nostrils: red encrustations, stridor, motility reduced, limp, high-legged gait, giddiness, muzzle: red encrustations, nares: red encrustations, blepharospasm, cyanosis, chromodakryorrhea, dyspnea

1 050 mg/m<sup>3</sup> (MMAD: 10.1 µm): Bradypnoe, laboured breathing pattern, breathing sounds, piloerection, nasal discharge (serous), nostrils: red encrustations, stridor, motility reduced, limp, high-legged gait, giddiness, tremor, muzzle: red encrustations, blepharospasm, dyspnea

**Body weight:**

Mean body weights of rats in all exposure groups were markedly different from the control group.

**Gross pathology:**

In all groups exposed to the test substance concentration-dependent macroscopic alterations of the respiratory tract were observed.

**Other findings:**

Rectal temperature: Results are presented in Table [...] <sup>3</sup>.

Bronchoalveolar Lavage and Lung Weights: At all time points the average recovery of the lavage fluid instilled into the lung was high (approximately 80 % of the instilled volume was recovered). Nevertheless, BALF-parameters were recalculated according to the recovered total volume (adjustment factor = volume instilled/volume recovered). BALC-parameters were adjusted to the total cell number in BAL. Absolute lung weights were significantly increased in all [...] exposure groups. Despite the increase observed lung weights of the exposure groups were indistinguishable from the control group on day 7. From all endpoints the increase in protein was most prominent. Taking into account the relative extent of protein changes, which maximum was approximately 50-times the control value on post-exposure day 1. Accordingly, this endpoint is considered to be the most sensitive one to assess early changes. Despite the magnitude of effect, the increased extravasation was rapidly reversible and reached the level of the control on day 7 at the latest. Phospholipids in BALF and especially BALC as well as LDH and TCC were increased in all exposure groups at the day 3 time point. The increase of TCC and LDH appears to be associated and may correspond with the removal of cellular debris and surfactant. Accordingly, elevated LDH is conceived to be associated with increased phagolysosomal activities of alveolar macrophages which is substantiated, at least in part, by increased levels of β-NAG. The influx of protein into the alveoli and the elevated lung weights were contingent upon the actually respirable mass (total mass concentration x fraction penetrating the alveolar region; which approximate cut-off for rats is 5 µm) rather than total concentration.” (Bayer, 2003).

While not suitable as a basis for classifications as such, the study demonstrates that acute toxicity of NDI is a function not only of the total air concentration, but in particular of the particle size distribution: An external

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<sup>3</sup> Table 8 in this dossier

concentration of 140 mg NDI (MMAD: 4.1  $\mu\text{m}$ )/ $\text{m}^3$  resulted in 11 % mortality, while a concentration of 148 mg NDI (MMAD: 6.9  $\mu\text{m}$ )/ $\text{m}^3$  did not cause any mortality at all. Likewise 240 mg NDI (MMAD: 5.4  $\mu\text{m}$ )/ $\text{m}^3$  were lethal for 39 %, while 245 mg NDI (MMAD: 9.0  $\mu\text{m}$ )/ $\text{m}^3$  were survived by all of the animals in the respective test group.

With respect to the BAL findings Pauluhn has claimed that:

*“The comparison of actual exposure concentrations (total mass collected by filter analyses) and alveolar exposure intensities suggests that the magnitude of BAL protein is governed by the respirable fraction of particles rather than the total airborne concentration. [...] The dependence of BAL protein on the alveolar exposure intensity rather than total exposure concentration suggests that the mass of particles capable penetrating the alveolar region is decisive for the outcome of study [...]. Thus, for [...] NDI it could be shown that the critical mode of action of acute inhalation toxicity is restricted to the respirable fraction rather than the total exposure concentration.” (Pauluhn, 2004)*

On the other hand, it cannot be fully excluded that also effects on parts of the respiratory tract other than the alveolar region may have contributed to the overall toxicity and hence to the observed mortality. For example, with respect to the effect on rectal temperature, Pauluhn and Mohr observed that:

*“Inhalation exposure to upper respiratory tract sensory irritants, for example, is known to evoke in rodents a remarkable decrease in body temperature, possibly via reflex stimulation of local receptors in this region of the tract. This response is concentration dependent, rapid in onset, and reversible within hours of cessation of exposure [...]. Despite the apparent temporary nature of the response of rats and mice to irritant exposure, these secondary effects are important for a number of reasons. First, the induced decreases appear to be a primary component of a more general response by the rodent to toxic insult. Second, the magnitude of changes in thermoregulatory function may be potentiated or attenuated by a number of experimental conditions or stresses that may differ from one laboratory to another. Thus, initial experimental conditions may play an important role in the final toxic outcome, thereby compromising the ability to compare results across species and studies in which these experimental factors are neither monitored nor controlled. Third, it is as yet unclear whether this physiological response to xenobiotic agents is unique to rodents or if it also occurs in larger mammals and humans. However, it is quite possible that humans have a greater thermal inertia due to the larger body mass and therefore do not exhibit this response to any measurable degree [...]. Hence, in addition to the direct effects of such irritants on the function and the structure of the pulmonary system, there may be substantial indirect effects related to changes in extrapulmonary parameters that in turn significantly modify the final toxic outcome” (Pauluhn and Mohr, 2000).*

Overall, the DS agrees that the results in (Bayer, 2003) suggest a strong dependency of NDI-associated lethality on the particle size distribution of the test material. (Pauluhn, 2004) has demonstrated the correlation of BAL fluid parameters with particle MMAD.

### **10.3.3 1 h acute inhalation study in rats (Bayer, 1995b)**

In a study similar to OECD TG 403/EU B.2, but with an exposure duration of 1 h only, 5 male and 5 female Wistar rats per group (source: Harlan-Winkelmann, Borcheln, Germany; age at study initiation: 2-3 months; housing: individual; diet and water: ad libitum; acclimation period:  $\geq 5$  d) were exposed to 0, 1 285, or 2 075 mg NDI aerosol/ $\text{m}^3$  for 1 h via nose-only exposure.

In the 1 285 and 2 075 mg/ $\text{m}^3$  exposure groups, the MMAD (GSD) were 4.6 (1.6) and 8.1 (1.7)  $\mu\text{m}$  which is outside the MMAD range recommended by OECD TG 403 (1-4  $\mu\text{m}$ ).

Body weights were recorded immediately prior to exposure, on days 3, 7, and weekly thereafter. Animals were observed for clinical signs several times on the day of dosing and  $\geq 1/\text{d}$  during the 4 wk observation period. A Functional Observational Battery (FOB) as well as a gross pathological examination was performed.

The results are summarised by the registrant as follows: *“Aerosol (dust) concentrations up to 1 285 mg/ $\text{m}^3$  did induce test substance related mortality (males: 1 out of 5 rats died; females: no mortality). Exposure to the limit concentration of 2 075 mg/ $\text{m}^3$  test compound was tolerated without mortality. Mortality occurred on*



*post-exposure day ten. Necropsy findings support the conclusion that a causal relationship between lethality and lung damage existed. Exposure to concentrations of 1 285 mg/m<sup>3</sup> and higher were followed by concentration-dependent signs suggestive of irritation of the respiratory tract (e.g. bradypnoe, dyspnoea, laboured breathing pattern, rales, nose/snout area with red encrustations, serous discharge from nose, cyanosis) and non-specific signs such as reduced motility and flaccid muscle tone. The duration of signs (maximum duration up to day 9) was dependent on respiratory signs.” (Bayer, 1995b)*

FOB results are not reported in the summary available in the registration dossier, but are also not considered by the DS to be relevant for acute inhalation toxicity classification.

Given that MMADs of the test materials used in this study were clearly outside the range recommended by OECD TG 403, this study is not used for classification.

Furthermore, studies with 1 h-exposure may be used for classification in principle by applying an extra assessment factor, but this would be associated with a high degree of uncertainty: *“Based on the 4-h LC<sub>50</sub> values, 1-h LC<sub>50</sub>s of NDI and mMDI [...] solid respirable aerosols [...] were markedly higher than predicted because of the larger particle size commonly associated with higher test concentrations [...]. This demonstrates that especially for irritant aerosols the extrapolation from a 4-h to a 1-h LC<sub>50</sub> utilizing commonly applied conventions, i.e., a time-adjustment factor of 4 [...], may lead to over-conservative estimates.”*

### **10.3.4 Short summary and overall relevance of the provided information on acute inhalation toxicity**

In a guideline-conform 4 h acute inhalation toxicity test in rats, the LC<sub>50</sub> for NDI was determined at 270 mg/m<sup>3</sup>, or 0.27 mg/L (Bayer, 1995a), which will be taken as the basis for classification.

Supporting studies demonstrated a dependency of NDI-associated mortality on the particle size distribution. These studies are not used for classification directly because they applied 1 h exposure only and/or used test materials with MMADs outside the range recommended in OECD TG 403. They are, however, relevant for the evaluation whether the split-entry concept for acute toxic substances via the inhalation route is applicable to NDI.

### **10.3.5 Comparison with the CLP criteria**

#### **10.3.5.1 The “split-entry concept” and its applicability to NDI**

In section 3.1.2.3.2 (p. 242), the ECHA “Guidance on the Application of the CLP Criteria” notes:

*“The use of highly respirable dusts and mists is ideal to fully investigate the potential inhalation hazard of the substance. However, it is acknowledged that these exposures may not necessarily reflect realistic conditions. For instance, solid materials are often micronised to a highly respirable form for testing, but in practice exposures will be to a dust of much lower respirability. Similarly, pastes or highly viscous materials with low vapour pressure need strong measures to be taken to generate airborne particulates of sufficiently high respirability, whereas for other materials this may occur spontaneously. In such situations, specific problems may arise with respect to classification and labelling, as these substances are tested in a form (i.e. specific particle size distribution) that is different from all the forms in which these substances are placed on the market and in which they can reasonably be expected to be used.*

*A scientific concept has been developed as a basis for relating the conditions of acute inhalation tests to those occurring in real-life, in order to derive an adequate hazard classification. This concept is applicable only to substances or mixtures which are proven to cause acute toxicity through local effects and do not cause systemic toxicity (Pauluhn, 2008).“ (ECHA, 2017)*

In (Pauluhn, 2008), further guidance on the applicability of the EU split-entry concept is provided. In this context, criteria are defined which are supportive or prohibitive for its use (Table 9).

Table 9: Criteria supportive of or prohibitive for the use of the split-entry concept (by default all criteria refer to findings from an acute 4 h inhalation study using the OECD (2007) protocol), from (Pauluhn, 2008)

	Mandatory endpoint	RT (ET-TB)	Pulmonary (alveolar)	Supportive of the use of split-entry	Prohibitive for the use of split-entry	
Non-inhalation route (acute)	Yes	-	-	Low toxicity	High toxicity	
MMAD				< approx. 4 µm	>> 4 µm	
Irritation/inflammation		Minimal	Yes	Yes	-	
Lethality dependent on particle size		-	-		No	
Onset of lethality				Immediate (up to day 7)	If delayed in onset (≥ 8d)	
Respiratory distress		Minimal	Yes	Yes		
Evidence on severe non-respiratory tract toxicity	-	-	-	No	Yes, if not secondary	
Necropsy findings in succumbed rats	Yes			Hepatisation, lung enlarged, oedema	No findings in lungs	
Increase in BAL protein	Supportive			Yes	Yes	-
Histopathology				Major lesions restricted to lower RT	Major lesions distributed throughout RT	
Severe extrapulmonary organ damage	-	-	-	No	Yes	

MMAD: mass median aerodynamic diameter of particulate atmosphere in the vicinity of the breathing zone of animals and measured by cascade impactor, post-exposure days are relative to day 0 (exposure day); RT: respiratory tract; ET: extrathoracic region (pharynx, nasal passages); TB: tracheobronchial region; - : not applicable.

In Table 10, relevant findings for NDI from 4 h acute toxicity tests via the inhalation route are summarised and compared with the above mentioned criteria. The table also shows the DS's conclusions on whether an endpoint is considered supportive or prohibitive for the use of the split-entry concept.

Table 10: Comparison between the criteria from Pauluhn (2008) and relevant findings for NDI

Criterion	Data for NDI			DS's conclusion for NDI
	Bayer, 2003	Bayer, 1995a	Other study	
Non-inhalation route (acute)	Not applicable	Not applicable	Oral, OECD 423 (Schuengel, 2006): LD <sub>50</sub> > 2 000 mg/kg bw/d	Supportive
MMAD	MMAD: 3.1-10.1 µm	MMAD: 3.1-4.0 µm; Key study for LC <sub>50</sub>	Not applicable	
Irritation/inflammation	Absolute lung weights significantly increased; increase in BALF protein; increase in BALF phospholipids and especially BALC, LDH, and TCC	Distended hydrothorax; lungs with dark-red colourations and spongy (oedematous) appearance		

Criterion	Data for NDI			DS's conclusion for NDI
	Bayer, 2003	Bayer, 1995a	Other study	
<b>Lethality dependent on particle size</b>	56 mg/m <sup>3</sup> (3.1 µm MMAD): no mortality 140 mg/m <sup>3</sup> (4.1 µm MMAD): 2/18 dead 148 mg/m <sup>3</sup> (6.9 µm MMAD): no mortality 240 mg/m <sup>3</sup> (5.4 µm MMAD): 7/18 dead 245 mg/m <sup>3</sup> (9.0 µm MMAD): no mortality 1050 mg/m <sup>3</sup> (10.1 µm MMAD): 18/18 dead	96 mg/m <sup>3</sup> (3.1 µm MMAD): no mortality 189 mg/m <sup>3</sup> (3.2 µm MMAD): no mortality 238 mg/m <sup>3</sup> (4.0 µm MMAD): 6/10 dead 314 mg/m <sup>3</sup> (3.6 µm MMAD): 6/10 dead 384 mg/m <sup>3</sup> (3,8 µm MMAD): 8/10 dead 541 mg/m <sup>3</sup> (3,1 µm MMAD): 8/10 dead	Not applicable	Supportive
<b>Onset of lethality</b>	Onset of lethality: days 0-2	Onset of lethality: days 1-2		
<b>Respiratory distress</b>	Onset of clinical signs: Day 0 Signs: bradypnoe, laboured breathing pattern, breathing sounds, stridor, nasal discharge (serous), nostrils: reddened, red encrustations, dyspnoe	Onset of clinical signs: 4 h Signs: bradypnoe, laboured breathing pattern, rales, nose/snout area with red encrustations, serous discharge from nose		
<b>Evidence on severe non-respiratory tract toxicity</b>	No effects reported (decrease of rectal body temperature not considered severe)	No effects reported (decrease of rectal body temperature not considered severe)		
<b>Necropsy findings in succumbed rats</b>	"Absolute lung weights were significantly increased in all exposure groups."	Lungs with dark-red colourations and spongy (oedematous) appearance		
<b>Increase in BAL protein</b>	"From all endpoints the increase in protein was most prominent. [...] this endpoint is considered to be the most sensitive one to assess early changes."	BALF not examined		
<b>Histopathology</b>	Data not available	Data not available		
<b>Severe extrapulmonary organ damage</b>	Severe extrapulmonary organ damage not reported	Severe extrapulmonary organ damage not reported (lobulation of liver/pale parenchymatous organs not considered severe)	Supportive	

In the view of the DS, there is sufficient supportive evidence from the toxicological data that the split-entry concept is applicable to NDI.

### 10.3.5.2 Comparison with the CLP criteria

According to Annex I Table 3.1.1 of the CLP regulation, NDI falls into category 2 for acute toxicity via the inhalation route (Table 11).

Table 11: Comparison of the LC<sub>50</sub> value for NDI with the classification criteria for dusts and mists according to Table 3.1.1 of the CLP regulation

CLP Acute Toxicity Category	Relevant ATE for dusts/mists (mg/L)	LC <sub>50</sub> -value calculated for NDI (mg/L)	Resulting classification	Reference
Category 1	≤ 0.05	0.27	Acute Tox. 2	(Bayer, 1995a)
Category 2	> 0.05 - ≤ 0.5			
Category 3	> 0.5 - ≤ 1.0			
Category 4	> 1.0 - ≤ 5.0			

With regard to the split-entry concept, the DS proposes to establish a split entry for NDI in analogy to tolylfluanid (index numbers 613-116-00-7/613-116-01-4) and several per(oxo)borates (index numbers 005-017-00-7/005-017-01-4, 005-018-00-2/005-018-01-X, and 005-019-00-8/005-019-01-5):

- If NDI contains < 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm, no classification for acute toxicity via the inhalation route is warranted.
- If NDI contains ≥ 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm, it should be classified as acutely toxic via inhalation in category 2 (Acute Tox. 2, H330: Fatal if inhaled).

### 10.3.6 Conclusion on classification and labelling for acute inhalation toxicity

- If NDI contains < 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm, no classification for acute toxicity via the inhalation route is warranted.
- If NDI contains ≥ 0.1 % (w/w) of particles with an aerodynamic diameter of below 50 µm, it should be classified as acutely toxic via inhalation in category 2 (Acute Tox. 2, H330: Fatal if inhaled).

### 10.4 Skin corrosion/irritation

Not assessed in this dossier

### 10.5 Serious eye damage/eye irritation

Not assessed in this dossier

### 10.6 Skin corrosion/irritation

Not assessed in this dossier

### 10.7 Serious eye damage/eye irritation

Not assessed in this dossier

### 10.8 Respiratory sensitisation

Not assessed in this dossier. NDI already has a harmonised classification as Resp. Sens. 1.

### 10.9 Skin sensitisation

To the knowledge of the DS, no studies of the skin sensitising potential of NDI in humans are available. However, skin sensitisation test data in animals summarised in Table 12 below are available for NDI, which are sufficient for classification and labelling. It is stressed that all other diisocyanates currently classified as respiratory sensitisers in Annex VI of the CLP regulation also are classified as skin sensitisers.

Table 12: Summary table of the available animal studies on skin sensitisation for NDI

Method, guideline, deviations	Species, strain, sex, no/group	Test substance, vehicle	Study protocol	Results	Reference
Modified LLNA (LLNA/IMDS, Integrated Model for the Differentiation of Skin Reactions)	Mouse, NMRI, 6 F/group	NDI (purity 99.8 %), acetone/olive oil (AOO), 4:1	25 µL 0, 2, 10 or 50 % NDI in AOO were applied to the dorsum of both ears in three consecutive days.  Positive control: Hexylcinnamic aldehyde (CAS No 101-86-0) at 3, 10, and 30 % in AOO (4:1)	SI (weight of draining lymph nodes): 2 %: 3.51** 10 %: 3.79** 50 %: 3.47**  SI (cell count)	(Bayer, 2006)

Method, guideline, deviations	Species, strain, sex, no/group	Test substance, vehicle	Study protocol	Results	Reference
Similar to OECD TG 429/EU B.42 (LLNA) GLP claimed  Reliability 2 (reliable with restrictions): Only summary available (with deficiencies in reporting, cf. text)				in draining lymph nodes): 2 %: 4.06** 10 %: 4.15** 50 %: 4.42**  EC <sub>1,4</sub> << 2 %  Skin Sens. 1A	

\*\* Statistically significant ( $p \leq 0.05$ )

In a modified LLNA test in mice, NDI concentrations of 2 % and above in acetone/olive oil (4:1) resulted in Stimulation Indices (SI) of > 4. An EC<sub>3</sub> value was not calculated and individual or group DPM values were not provided, therefore the available information does not allow to determine whether NDI is a strong or even an extreme sensitiser. Only a IUCLID summary by the lead registrant for NDI with deficiencies in reporting was available to the DS which is reproduced here (as is, with slight editorial amendments by the DS):

#### Test type

LLNA, OECD TG 429/EU B.42. Modification: In addition, measurements of ear swelling and ear weight were done to discriminate the irritating potential from the sensitising potential of the test substance ("Integrated Model for the Differentiation of Skin reactions (IMDS)"). Incomplete reporting: No pre-screen test for irritancy and systemic toxicity, no experimental details regarding the measurement of proliferation. GLP claimed.

#### Test material

NDI, purity 99.8 %, Lot/batch no. P3YE591000. Vehicle: Acetone/olive oil 4:1.

#### Test animals

Species: Mouse. Strain: NMRI. Sex: Female. Source: Harlan-Winkelmann GmbH, Borcheln, Germany. Age at study initiation: 9 weeks. Weight at study initiation: 26-36 g. Housing: Individual. Diet and water: Ad libitum. Acclimation period: at least seven days. Six animals per group.

#### Methods

Application: The test item in the formulation and the vehicle were applied epicutaneously onto the dorsal part of both ears of the animals. This treatment was repeated on three consecutive days (days 1, 2, and 3). The volume administered was 25µL/ear. The concentrations used were based on the experiences with the test system and the toxic properties of the test substance.

Positive control substance(s): Hexylcinnamic aldehyde (CAS No 101-86-0) formulated in acetone/olive oil (4:1) at concentrations of 3, 10, and 30 %.

Statistics: When it was statistically reasonable, the values from treated groups were compared with those from the control group by a one-way analysis of variance (ANOVA) when the variances are considered homogeneous according to a homogeneity testing like Cochran's test. Alternatively, if the variances are considered to be heterogeneous ( $p \leq 0.05$ ), a non-parametric Kruskal-Wallis test has been used (Kruskal-Wallis ANOVA) at significance levels of 5 %. Two-sided multiple test procedures were done according to Dunnett or Bonferroni-Holm, respectively. Outlying values in the LN weights were eliminated at a probability level of 99 % by Nalimov's method. In addition, for the LLNA/IMDS the smallest significant

differences in the means were calculated by Scheffels method, which according to Sachs can be used for both equal and unequal sample sizes.

### Results

Positive control: The LLNA with hexylcinnamic aldehyde showed a clear sensitising potential.

Test group: The results show that the test item has a sensitising potential in mice after dermal application. Compared to vehicle treated animals there was a clear increase in weights of the draining lymph nodes (indices of 3.51, 3.79, and 3.47, resp.) and in the cell counts (indices of 4.06, 4.15, and 4.42, resp.) at dose groups of 2, 10, and 50 %. These changes are of statistical significance. The "positive level" of index 1.4 was exceeded for the cell counts in all dose groups.

Table 13: Summary of the LLNA/IMDS results (means of 6 animals per group)

Parameter investigated	Vehicle control	Dose groups		
		2 %	10 %	50 %
Stimulation index (weight of draining lymph nodes)	1.00	3.51*	3.79*	3.47*
Stimulation index (cell count in draining lymph nodes)	1.00	4.06*	4.15*	4.42*
Ear swelling in 0.01 mm on day 4 (index)	17.50 (1.00)	20.58* (1.18)	23.42* (1.34)	23.17 (1.32)
Ear weight in mg/8 mm diameter punch on day 4 (index)	11.03 (1.00)	14.29* (1.30)	16.41* (1.49)	17.97* (1.63)

\* Statistically significant increase ( $p \leq 0.05$ )

The "positive level" of ear swelling which was a  $2 \times 10^{-2}$  mm increase, i.e. about 10 % of the control values, has been exceeded in all dose groups. A significant increase compared to vehicle treated animals regarding ear swelling and ear weights was detected in all dose groups. An increase in this parameter would point to an acute irritating (inflammatory) response. However, such an irritating property is also combined with a strong skin sensitising potential of a test compound.

The body weights of the animals were not affected by any treatment.

### Registrant's summary and conclusion

1,5-Naphthylene diisocyanate (NDI) was investigated in the modified local lymph node assay (LLNA-IMDS) on female mice according to OECD TG 429. Concentrations of 0 (vehicle control), 2, 10, and 50 % formulated in acetone/olive oil (4:1) were tested. The results show that NDI has a sensitising potential in mice after dermal application. Compared to vehicle treated animals there was a significant increase regarding the weights of the draining lymph nodes and the cell counts in all dose groups. The corresponding cell count indices were 4.06, 4.15, and 4.42 exceeding the "positive level" of index 1.4. A significant increase compared to vehicle treated animals regarding ear swelling and ear weights was detected in all dose groups. An increase in this parameter would point to an acute irritant (inflammatory) response. However, such an irritant property is also combined with a strong skin sensitizing potential of a test compound (Bayer, 2006).

#### 10.9.1 Short summary and overall relevance of the provided information on skin sensitisation

The LLNA/IMDS is a variant of the standard LLA test which uses cell counts in draining lymph nodes in order to avoid the use of radiolabel. In addition, lymph node weight is used as a parameter to assess irritancy. Basic validation information for the test is available from two publications which demonstrated good inter-laboratory comparability of results. In addition they showed that for BALB/c mice a cut-off Stimulation Index (SI) of 1.55 ( $EC_{1.5}$ ) corresponded to the same statistical significance level as the  $EC_3$  in the conventional LLNA (Ehling et al., 2005a; Ehling et al., 2005b). For NMRI mice (the strain used in the study with NDI), a slightly lower equivalent cut-off of 1.4 ( $EC_{1.4}$ ) was noted.

OECD TG 429 defines performance standards which have to be met by alternative LLNA designs in order to meet test guideline requirements. Basketter and co-workers (Basketter et al., 2011) evaluated the performance of the LLNA/IMDS (termed "LNCC" in their study) vs. the standard LLNA for all of the

reference substances listed in Annex 1 of OECD TG 429, albeit in CBA mice. Both tests agreed for 21 of the 22 reference substances, i.e. with an excellent concordance of > 95 %.

Remarkably, for four out of the 18 core reference substances and five out of the complete set of 22 reference substances, both tests (i.e. LNCC and the concurrent standard LLNA) failed to reproduce the LLNA results published in Annex I to OECD TG 429 (of the five “malpredictions”, one was categorised as a „false negative“ and four were „false positives“). The authors discussed two important factors that might have been responsible for this finding:

- The “false” positive results were mostly obtained using higher concentrations than used in OECD TG 429, often in conjunction with evidence for skin irritation.
- As with any other biological test, the reproducibility of LLNA results is subject to variability and multiple measurements were not performed for all of the reference substances in OECD TG 429

They summarise their discussion by stating that “[...] performance standards for the evaluation of any toxicological endpoint, not solely skin sensitization, as would be expected, can only to be as good as the data on which they are based. Additionally, it has to be borne in mind that biological variation can have similar impact on the PS standard data as well as on the assay being evaluated. Accordingly, there is true value in both ensuring good use of control data and maintaining a degree of flexibility when it comes to the overall validation interpretation” (Basketter et al., 2011).

In line with these considerations, the DS concludes that by and large the LLNA/IMDS has been shown to reproduce the results from the standard LLNA very well.

In the study with NDI concentrations of 2 % NDI and greater consistently caused SI values of > 4, i.e. lymphocyte counts of more than four times that of the vehicle controls (cf. Table 13 above). Although not specified in the study summary, it is evident that the EC<sub>1.4</sub>, i.e. the effective concentration causing a 1.4-fold increase in lymphocyte count must be << 2 %. Even considering that there is some uncertainty about the equivalence of the EC<sub>1.4</sub> in the LLNA/IMDS and the EC<sub>3</sub> in the standard test, the DS concludes that the findings from the former indicate that NDI is a strong skin sensitizer (Bayer, 2006).

### 10.9.2 Comparison with the CLP criteria

According to the criteria given in Table 3.4.3 of the CLP regulation, skin sensitizers fall into Skin Sens. Subcategory 1A based on the results from a standard LLNA test, if an EC<sub>3</sub> < 2 % is determined. In the available LLNA/IMDS for NDI, the applied concentration of 2 % already caused an SI of > 4, i.e. the EC<sub>1.4</sub> (equivalent to the EC<sub>3</sub> in the standard LLNA) was << 2 %. The DS concludes that NDI is a strong sensitizer and fulfils the criteria for classification as Skin Sens. 1A.

Table 14: Comparison of experimental results (mouse LLNA/IMDS) confirming the skin sensitisation potential of NDI in animals with the respective criteria of the CLP regulation and CLP guidance

Criteria acc. to Table 3.4.3 and Table 3.4.4 of the CLP regulation and CLP Guidance (ECHA, 2017)	Reference	EC <sub>3</sub>	Resulting Classification
<b>Skin Sens. 1A, Extreme</b> EC <sub>3</sub> ≤ 0.2 %	(Bayer, 2006)	EC <sub>1.4</sub> * << 2 %	<b>Skin Sens. 1A Strong sensitizer</b>
<b>Skin Sens. 1A, Strong</b> 0.2% < EC <sub>3</sub> ≤ 2 %			
<b>Skin Sens. 1B, Moderate</b> EC <sub>3</sub> > 2 %			

\* Equivalent to the EC<sub>3</sub> in the standard LLNA.

Since 2 % was the lowest concentration tested in (Bayer, 2006), the available data do not allow for a decision on whether NDI should even be considered an extreme sensitizer (with the consequence of setting an SCL of 0.001 % acc. to Table 3.9 of the CLP guidance).

### 10.9.3 Conclusion on classification and labelling for skin sensitisation

Based on the results of the LLNA/IMDS, NDI should be classified as Skin Sens. 1A (hazard statement H317: May cause an allergic skin reaction).

### **10.10 Germ cell mutagenicity**

Not relevant for this dossier

### **10.11 Carcinogenicity**

Not relevant for this dossier

### **10.12 Reproductive toxicity**

Not relevant for this dossier

### **10.13 Specific target organ toxicity-single exposure**

Not relevant for this dossier

### **10.14 Specific target organ toxicity-repeated exposure**

Not relevant for this dossier

### **10.15 Aspiration hazard**

Not relevant for this dossier

## **11 EVALUATION OF ENVIRONMENTAL HAZARDS**

Not relevant for this dossier

## **12 EVALUATION OF ADDITIONAL HAZARDS**

Not relevant for this dossier

## **13 ADDITIONAL LABELLING**

According to the CLP regulation, Annex II, section 2.4, the following special rule for supplemental label elements shall apply for mixtures containing NDI:

*“Unless already identified on the label of the packaging, mixtures containing isocyanates (as monomers, oligomers, prepolymers, etc., or as mixtures thereof) shall bear the following statement:*

*EUH204 — ‘Contains isocyanates. May produce an allergic reaction.’”*

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