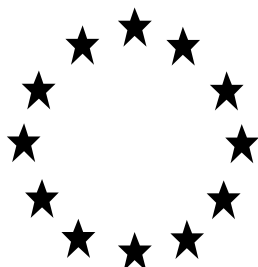


Evaluation of active substances

Assessment Report



Glutaraldehyde

Product-type 2, 3, 4, 6, 11, 12

(Private area and public health area disinfectants and other biocidal products; Veterinary hygiene biocidal products; Food and feed area disinfectants; In-can preservatives; Preservatives for liquid-cooling and processing systems; Slimicides)

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eCA Finland

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1. STATEMENT OF SUBJECT MATTER AND PURPOSE

1.1. Procedure followed

This assessment report has been established as a result of the evaluation of the active substance glutaraldehyde as product-type 2 (Private area and public health area disinfectants and other biocidal products), 3 (Veterinary hygiene biocidal products), 4 (Food and feed area disinfectants), 6 (In-can preservatives), 11 (Preservatives for liquid-cooling and processing systems) and 12 (Slimicides), carried out in the context of the work programme for the review of existing active substances provided for in Article 89 of Regulation (EU) No 528/2012, with a view to the possible approval of this substance.

Glutaraldehyde (CAS no. 111-30-8) was notified as an existing active substance, by Dow Benelux B.V. and BASF SE, hereafter referred to as the applicants or by their short names Dow and BASF, in product-types 2, 3, 4, 6, 11 and 12.

Commission Regulation (EC) No 1451/2007 of 4 December 2007¹ lays down the detailed rules for the evaluation of dossiers and for the decision-making process.

In accordance with the provisions of Article 7(1) of that Regulation, Finland was designated as Rapporteur Member State to carry out the assessment on the basis of the dossier submitted by the applicant. The deadline for submission of a complete dossier for glutaraldehyde as an active substance in Product Types 2, 3, 4 and 6 was 31 July 2007 and in Product Types 11 and 12 31 October 2008 in accordance with Annex V of Regulation (EC) No 1451/2007.

Finland's competent authorities received a dossier for PT 2, 3, 4, 6 from BASF on 23 July 2007 and from Dow on 27 July 2007. The Rapporteur Member State accepted the dossier of BASF as complete for the purpose of the evaluation on 23 October 2007 and the dossier of Dow as complete for the purpose of the evaluation on 26 October 2007. Finland's competent authorities received a dossier for PT 11 and 12 from BASF on 27 October 2008 and from Dow on 30 October 2008. The Rapporteur Member State accepted the dossiers as complete for the purpose of the evaluation on 30 April 2009.

On 30 March 2011, the Rapporteur Member State submitted to the Commission and the applicant a copy of the first part of the evaluation report (documents IIA and IIIA), hereafter referred to as the competent authority report. On 31 January 2013 the second part of the competent authority report (Documents I, IIB, IIC, IIIB) was submitted to the Commission and the applicants. The Commission made the report available to all Member States by electronic means on 31 January 2013.

In order to review the competent authority report and the comments received on it, consultations of technical experts from all Member States (peer review) were organised by

¹ Commission Regulation (EC) No 1451/2007 of 4 December 2007 on the second phase of the 10-year work programme referred to in Article 16(2) of Directive 98/8/EC of the European Parliament and of the Council concerning the placing of biocidal products on the market. OJ L 325, 11.12.2007, p. 3

the Agency. Revisions agreed upon were presented at the Biocidal Products Committee and its Working Groups meetings and the competent authority report was amended accordingly.

1.2. Purpose of the assessment report

The aim of the assessment report is to support the opinion of the Biocidal Products Committee and a decision on the approval of glutaraldehyde for product-types 2, 3, 4, 6, 11, 12, and, should it be approved, to facilitate the authorisation of individual biocidal products. In the evaluation of applications for product-authorisation, the provisions of Regulation (EU) No 528/2012 shall be applied, in particular the provisions of Chapter IV, as well as the common principles laid down in Annex VI.

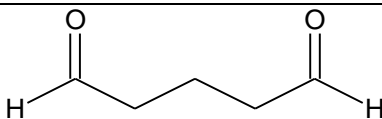
For the implementation of the common principles of Annex VI, the content and conclusions of this assessment report, which is available from the Agency web-site shall be taken into account.

However, where conclusions of this assessment report are based on data protected under the provisions of Regulation (EU) No 528/2012, such conclusions may not be used to the benefit of another applicant, unless access to these data for that purpose has been granted to that applicant.

2. OVERALL SUMMARY AND CONCLUSIONS

2.1. Presentation of the Active Substance

2.1.1. Identity, Physico-Chemical Properties & Methods of Analysis

CAS-No.	111-30-8
EINECS-No.	203-856-5
Other No. (CIPAC, ELINCS)	None
IUPAC Name	1,5-Pentanedial
Common name, synonym	Glutaraldehyde Glutaral Glutardialdehyde Glutaric dialdehyde
Molecular formula	C ₅ H ₈ O ₂
Structural formula	
Molecular weight (g/mol)	100.11

For other information on identity of the substance, see Doc IIA and the List of Endpoints.

Physical chemical properties are detailed in Appendix 1 (List of Endpoints).

Methods of analysis have been developed and validated for the active substance and the active substance in water, soil and blood. Analytical methods for the determination of residues in food and feedstuffs are not deemed necessary, because residues are not expected due to chemical nature of glutaraldehyde, which reacts rapidly with proteins and other organic matter contained in the food and feed stuffs. For a method of determination in air, it has been agreed that a modern method will be submitted at product authorization. Furthermore, method(s) of determination of glutaraldehyde and impurities in the active substance as manufactured need to be updated. Furthermore, confirmatory methods will be required, see the Document IIA.

2.1.2. *Intended Uses and Efficacy*

The assessment of the biocidal activity of the active substance demonstrates that it has a sufficient level of efficacy against the target organism(s) and the evaluation of the summary data provided in support of the efficacy of the accompanying product, establishes that the product may be expected to be efficacious. The fungicidal, sporicidal, mycobactericidal, and algicidal efficacy and efficacy against biofilms were not demonstrated for the intended use concentrations.

Target organisms specified for product Types (PT). The specific species are given in App. II.

PT	Intended use	Target organisms
PT02	Hard Surface Disinfection in Hospitals Hard Surface Disinfection in Industrial Areas	Bacteria, mycobacteria, bacterial spores, fungi (yeasts and moulds), virus, algae, biofilms
PT03	Poultry Farm Disinfection Pig Farm Disinfection	Bacteria, mycobacteria, bacterial spores, fungi (yeasts and moulds), virus
PT04	Food vessel/Machinery Disinfection Food Processing Surface Disinfection	Bacteria, mycobacteria, bacterial spores, fungi (yeasts and moulds), virus, biofilms
PT06	Preservatives for Detergents (e.g. Laundry Softeners; Liquid detergent; Wax Emulsion; Car Polish) Paper Wet-End Additives Preservation and Paper Coatings Preservation	Bacteria, mycobacteria, bacterial spores, fungi (yeasts and moulds), algae
PT11	Closed Recirculating Cooling Systems Open Recirculating Cooling Systems	Bacteria, fungi (yeasts and moulds), algae, biofilms
PT12	Slimicide for paper pulp: wet-end slimicides Slimicide for paper pulp: paper de-inking	Bacteria, fungi (yeasts and moulds), algae
Oilfield applications		
PT11	Preservative for oilfield injection water	Bacteria, fungi (yeasts and moulds), algae, biofilms
PT06	Preservative for drilling muds/fluids	Bacteria, fungi (yeasts and moulds), algae
PT06	Preservative for cementing fluids	Bacteria, fungi (yeasts and moulds), algae
PT11	Preservative for hydrotesting water	Bacteria, fungi (yeasts and moulds), algae, biofilms

Resistance to glutaraldehyde in certain mycobacteria strains has been reported in hospitals. Resistant strains have grown in surgical equipment, e.g. endoscopes. Resistance against glutaraldehyde has been associated with improper uses of the disinfectant on dirty endoscopes and use of non-sterile water to rinse disinfected equipment. At industry, resistance has not been a significant problem according to the applicants. The RMS is of the opinion that the development of resistant strains in industry cannot be ruled out. The recommended resistance management strategy is to

vary the products used, to use more than one product simultaneously, or to alternate treatment regimes and monitor occurrence of resistance.

Glutaraldehyde has been evaluated for several uses in PT 2, 3, 4, 6, 11 and 12. The intended uses and use concentrations are the same for both applicants.

Intended uses and use concentrations based on data provided by BASF and Dow. The efficacy concentrations are obtained from Table 7.3.a and 7.3.b in Doc IIB and include data from both applicants. The minimum concentrations are partially based on MIC tests, which are not suitable to prove the efficacy of disinfectants and preservatives and are only given for information. Tests showing sufficient efficacy for a.s. approval were provided for the intended in-use concentrations.

PT	Intended use	Likely conc. g/l	Proved efficacy at conc. g/l
PT02	Hard Surface Disinfection in Hospitals	1.4 ¹	██████████
	Hard Surface Disinfection in Industrial Areas	3 ²	██████████ ██████████
PT03	Poultry Farm Disinfection	1 ^{1,2} (spraying)	██████████
	Pig Farm Disinfection	20 ¹ (fogging)	██████████ ██████████
PT04	Food vessel/Machinery Disinfection	1 ^{1,2}	██████████
	Food Processing Surface Disinfection		██████████
PT06	Preservatives for Detergents (e.g. Laundry Softeners; Liquid detergent; Wax Emulsion; Car Polish)	1 ^{1,2}	██████████ ██████████
	Paper Wet-End Additives Preservation and Paper Coatings Preservation	0.5 ^{1,2}	██████████
PT11	Closed Recirculating Cooling Systems	0.1 ¹ 0.025-0.1 ²	██████████ ██████████
	Open Recirculating Cooling Systems	0.1 ¹ 0.05-0.1 ²	██████████
PT12	Slimicide for paper pulp: wet-end slimicides	0.075 ¹ 0.0375-0.075 ²	██████████ ██████████
	Slimicide for paper pulp: paper de-inking	0.2 ¹	██████████
Oilfield applications			
PT11	Preservative for oilfield injection water	3 ¹ 0.24-0.5 ²	██████████
PT06	Preservative for drilling muds/fluids	3 ¹ 0.0004-0.3 ²	██████████
PT06	Preservative for cementing fluids	5 ¹ 0.004-0.5 ²	██████████
PT11	Preservative for hydrotesting water	0.3 ¹ 4.9x10 ⁻⁵ -5x10 ⁻³ ²	██████████

¹ Human exposure scenario

² Environment exposure scenario

In addition, in order to facilitate the work of Member States in granting or reviewing authorisations, the intended uses of the substance, as identified during the evaluation process, are listed in [Appendix II](#).

2.1.3. Classification and Labelling

Current classification of a.s.¹ according to Directive 67/548/EEC

Class of danger	R phrases	S phrases
T	R23/25	S(1/2)
C	R34	S26
N	R42/43	S36/37/39
	R50	S45 - 61
Specific Concentration Limits:		
T	R25	$C \geq 50 \%$
Xn	R22	$2 \% \leq C < 50 \%$
T	R23	$C \geq 25 \%$
Xn	R20	$2 \% \leq C < 25 \%$
C	R34	$C \geq 10 \%$
Xi	R37/38-41	$2 \% \leq C < 10 \%$
Xi	R36/37/38	$0,5 \% \leq C < 2 \%$
	R43	$C \geq 0,5 \%$

¹Note: Annex I of Council Directive 67/548/EEC lists glutaraldehyde as the pure (100%) substance.

Current classification of a.s.¹ according to Regulation 1272/2008

Hazard Class and Category codes	Hazard Statement codes
Acute Tox. 3 *	H331: Toxic if inhaled
Acute Tox. 3 *	H301: Toxic if swallowed
Skin Corr. 1B	H314: Causes severe skin burns and eye damage
Resp. Sens. 1	H334: May cause allergy or asthma symptoms or breathing difficulties if inhaled
Skin Sens. 1	H317: May cause an allergic skin reaction
Aquatic Acute 1	H400: Very toxic to aquatic life
Specific Concentration Limits and M Factors:	
$C \geq 10 \%$	Skin Corr. 1B; H314
$0,5 \% \leq C < 10 \%$	Skin Irrit. 2; H315
$2 \% \leq C < 10 \%$	Eye Dam. ; H318
$0,5 \% \leq C < 2 \%$	Eye Irrit. 2; H319
$C \geq 0,5 \%$	STOT SE; H335
$C \geq 0,5 \%$	Skin Sens. 1; H317

¹Note: Annex VI of Regulation 1272/2008 lists glutaraldehyde as the pure (100%) substance.

Proposed classification of a.s.

The applicants have proposed to classify the 50 % glutaraldehyde aqueous solution. The existing harmonised classification concerns 100 % (pure) glutaraldehyde, which does not exist in commerce because of its instability. The RMS is of the opinion that there should be only one classification, and has submitted a Proposal for Harmonised Classification and Labelling to ECHA in June 2012. The Risk Assessment Committee (RAC 29) formed an opinion on 2-6 June 2014 that is presented below.

RAC 29 opinion on the classification of a.s. according to Regulation 1272/2008. The classification is based on 100% glutaraldehyde.

Classification according to the CLP Regulation	
Hazard Class and Category Codes	Acute Tox. 3; H301 Acute Tox. 2; H330 Skin Corr. 1B; H314 Resp. Sens. 1; H334 Skin Sens. 1A; H317 STOT SE 3; H335 Aquatic Acute 1 H400 Aquatic Chronic 2 H411
Labelling	
Pictograms	GHS06, GHS05, GHS08, GHS09
Signal Word	Danger
Hazard Statement Codes	H301: Toxic if swallowed H330: Fatal if inhaled H314: Causes severe skin burns and eye damage H334: May cause allergy or asthma symptoms or breathing difficulties if inhaled H317: May cause an allergic skin reaction H335: May cause respiratory irritation H410: Very toxic to aquatic life with long lasting effects
Supplementary Hazard Statement Code(s)	EUH071
Specific Concentration Limits, M Factors	STOT SE 3; H335: C ≥ 0,5% M = 1 for aquatic acute

2.2. Summary of the Human Health Risk Assessment

2.2.1. Hazard identification and effects assessment

- Toxicokinetics

Oral absorption. The test substance was rapidly but incompletely absorbed from the gastrointestinal tract, with no remarkable differences between sexes. Oral absorption of 40 % is proposed for estimating the systemic dose. Glutaraldehyde is rapidly transformed following absorption, as the highest glutaraldehyde concentration measured in the rat blood corresponded to 1.6 % of the dosed amount.

Distribution. Free glutaraldehyde is rapidly removed from circulation, presumably through macromolecular binding or metabolism. When using radioactive labelling, the label was distributed in all organs and tissues, while free glutaraldehyde is mostly assumed to be rapidly metabolised.

Metabolism. It has been demonstrated that glutaraldehyde is largely metabolised either before or soon after absorption, but no detailed metabolic pathways have been suggested. Furthermore, the only metabolite identified is glutaric acid. The scheme presented in Doc IIA is poorly substantiated with regard to the pathway from glutaraldehyde to glutaryl CoA. The results obtained in the QSAR analysis did not suggest any metabolites with effects other than those already attributed to glutaraldehyde, and the additional structural alert for simple aldehydes as possible genotoxic carcinogens (which is the same for the parent compound glutaraldehyde). Due to very fast metabolism, the relevant metabolites can be assumed to have been involved in the toxicological studies.

Excretion. The excretion of radioactivity was rapid and occurred mainly via the faeces, followed by exhaled air and urine. There was no indication of bioaccumulation in any of the tissues.

- Dermal penetration

None of the studies gave sufficient information for establishing a value for dermal absorption due to methodological problems. Most of the glutaraldehyde that was found to absorb in the skin will react immediately, leaving little free glutaraldehyde for absorption. Nevertheless, the absorption of metabolites needs to be considered as well, and furthermore it was shown that small amounts of free glutaraldehyde can also be detected from the blood after dermal dosing. In the study using human skin, the total combined radioactivity in the receptor fluid and in the full combusted skin sample was up to 6.6 %. A conservative value of 10 % for dermal absorption is assumed unless further information is provided.

- Acute toxicity

Oral route. Glutaraldehyde was toxic when administered by the oral route. The toxic effects were caused by the corrosive effect on the mucosal surfaces of the GI tract. The oral LD₅₀ of pure (100% w/w) glutaraldehyde is 77 mg/kg bw (154 mg/kg bw for the 50 % test substance). This is based on local effects and the LD₅₀ value given is valid for 50

% (w/w) glutaraldehyde, while lower concentrations would presumably have a higher LD₅₀ value.

Glutaraldehyde is classified as acute toxic by oral route Category 3 and is assigned the hazard statement H301 'Toxic if swallowed'.

Dermal route. 50% (w/w) Glutaraldehyde caused local effects when administered by the dermal route. Due to the direct corrosive effect there is a danger of irreversible damage to the skin upon exposure to the undiluted solution. Toxicity is secondary to the local tissue damage rather than a result of percutaneously absorbed material. The dermal LD₅₀ of the active substance (50 % glutaraldehyde) is above 2000 mg/kg bw. For 100% glutaraldehyde the LD₅₀ value is 1000 mg/kg bw. The toxicity is based on local effects and is dependent on the concentration of the substance.

Inhalation route. Glutaraldehyde was very toxic when administered by inhalation. Taking the most critical study, the inhalation LC₅₀ of pure (100 %) glutaraldehyde was 0.35 mg/L in male rats and 0.28 mg/L in female rats. Glutaraldehyde is classified acute toxic by inhalation route Category 2 and is assigned the hazard statement H330 'Fatal if inhaled'.

Skin sensitisation. Human data confirms the skin sensitizing potential seen in animal experiments which are not reported (see p. 16).

Skin irritation. Glutaraldehyde is irritating to the skin according to the human data (see p. 16) and thus no animal data is reported.

- Repeat-dose toxicity

Oral route

Oral repeat dose toxicity studies have been conducted in the mouse, rat and dog. There is no conclusive evidence of systemic toxicity upon repeated oral exposure to glutaraldehyde in the drinking water, and rather the effects are most likely secondary to local effects at the site of contact.

In the subchronic studies, all effects were relatively mild and not clearly indicative of systemic toxicity, still allowing the establishment of NOAELs. The effects included increased kidney weight (without necropsy findings), increase in urea nitrogen, lesions in the glandular stomach and mild mucus gland hyperplasia. Dose dependent decrease in water consumption was noted in all studies, indicating unpalatability of the test substance. There was no conclusive evidence of systemic toxicity in the subchronic studies in rats, dogs or mice when administering doses up to 2000, 250 and 1000 ppm glutaraldehyde, respectively. The overall NOAELs in these experiments were as follows:

- Rat: 50 ppm (2.9 and 3.6 mg/kg bw/day for ♂ and ♀, respectively)
- Dog: 50 ppm (3.3 and 3.2 mg/kg bw/day for ♂ and ♀, respectively)
- Mouse: 250 ppm (61 and 74 mg/kg bw/day for ♂ and ♀, respectively)

In the chronic studies, the main findings were found in gross pathology and histopathology of the stomach and the kidneys. There was also large granular lymphocyte

leukaemia [LGLL], which is discussed in Chapter 2.2.1.1.6 Carcinogenicity. There were no significant differences in survival times between the dose groups and the control group. In the stomach, there was a somewhat increased incidence in several types of findings that together allow the conclusion that the effects were secondary to local irritation in the stomach. There was diffuse degeneration of the testes which is considered as an adverse effect and is discussed more thoroughly in Chapter 2.2.1.1.6. Other effects included increased kidney weights, tubular basophilia and tubular pigmentation, red colour in the urine, reduced water and food consumption, and decreased body weight. Altogether, the effects indicate a local irritant effect in the stomach. LGLL was observed in all dose levels in both sexes. However, it is not considered to be a toxicologically relevant end point to humans and so, it has not been used as the basis for setting the NOAEL in this study. It should be noted that at 1.8 and 2.8 mg/kg bw/day for males and females respectively, LGL leukaemia was the only effect. Overall, the most evident effects occur in the GI tract, and especially in the glandular stomach. These effects are concluded to result from irritation. All oral chronic studies were performed with glutaraldehyde administered in the drinking water. The unpalatability of the drinking water resulted in reduced water consumption and urine volume, increased urine osmolality and specific gravity, and was in some cases linked to reduced food consumption. Effects in the kidneys may have been secondary to leukaemia and/or resulting from reduced water consumption. Other mechanisms cannot be excluded, and effects in the kidney are considered as relevant. The lowest overall NOAEL for the rat is 100 ppm (3.2 and 4.8 mg GA/kg bw/day for ♂ and ♀, respectively).

Dermal route

In a 90-day rat study the test concentrations of up to 7.5 % caused effects on the skin but no signs of systemic toxicity. The skin effects consisted of scabs and erythema of increasing incidence and severity with increasing concentration. There were skin effects at all dose levels, but the systemic NOAEL was the highest tested dose, 150 mg GA/kg bw/day that was obtained using the 7.5 % solution. There was no site of contact genotoxicity following dermal exposure. Irritation was observed at all dose levels, down to 1.25 % glutaraldehyde. Higher concentrations of glutaraldehyde will cause more severe skin effects, allowing more glutaraldehyde to be also systemically available.

Inhalation route

Subchronic. There was no mortality in the rats with concentrations up to 1000 ppb, and the clinical signs dyspnea, ruffled fur and emaciation were only seen in this highest dose group. In contrast, all mice in the high dose group and two females of the 500 ppb group died. The lesions observed in rats and mice were similar, and the deaths of mice were concluded to have resulted from blocking of the airways by nasal congestion. Gross and histopathology of rats and mice indicated changes in the respiratory tract indicating an irritant effect. Histoautoradiographic evaluation revealed an increase in cell replication in the squamous epithelium of the nasal vestibule. All these lesions concerned the upper respiratory tract, and no effects were seen in the lower respiratory tract (trachea, bronchi and lungs). Haematology and clinical chemistry findings were concluded to be either incidental or indicative of irritant effects. The systemic NOAEC for rats is 125 ppb (0.51 mg GA/m³) based on histopathological lesions in the nasal passages and turbinates seen

at 250 ppb. In mice, effects were seen at all dose levels and NOAEC could not be set. The LOAEC is 62.5 ppb (0.26 mg/ m³) based on nasal lesions and increased cell replication rates in the nasal vestibule. These NOAEC and LOAEC values are based on adverse effects that are considered to be secondary to irritation, and are therefore not truly systemic.

Chronic. No valid studies were provided for chronic inhalation toxicity, but scientifically valid information was available from the NTP (National Toxicology Program, USA). The effects seen in rats and mice were qualitatively similar and were mainly seen in the nose of both rats and mice, decreasing in severity and in frequency towards the inner portions of the nasal passage. This pattern is common with other aldehydes and irritant chemicals in inhalation studies. The findings are compatible with glutaraldehyde causing a local irritant effect which over chronic exposure resulted in lesions in the nasal passages. There were effects at all dose levels in both rats and mice, and therefore no NOAEC is established and is below 250 ppb (1.02 mg/m³) for rats and below 62.5 ppb (0.255 mg/m³) for mice.

- Genotoxicity

In vitro

Glutaraldehyde is genotoxic *in vitro*. Positive results were obtained in Ames test, sister chromatid exchange assay, *in vitro* chromosomal aberration assay, and a forward mutation assay.

In vivo

Intraperitoneal dosing resulted in an inconsistent pattern of slight increases in micronucleated polychromatic erythrocytes (PCE) in an *in vivo* micronucleus test. The result is interpreted as indicative of moderate genotoxicity. Negative results were obtained in a bone marrow chromosomal aberration assays or an unscheduled DNA synthesis assay, but definitive conclusions on *in vivo* genotoxicity should not be made based on these studies because it is not clear whether the test substance reached the blood circulation or the target organs (bone marrow and liver). Overall, the *in vivo* genotoxicity studies gave inconclusive results. Due to the reactivity of the substance, the *in vivo* tests might not have demonstrated an existing *in vivo* genotoxic potential of glutaraldehyde. The slightly positive result in an intraperitoneal test demonstrates the possibility for *in vivo* genotoxicity in tissues that are accessible to glutaraldehyde. *In vivo* genotoxicity has not been studied by the inhalational route, which is the most relevant human exposure route and could ideally be covered by a site of contact genotoxicity study. It was however considered that for the purpose of human risk assessment, inhalation exposure is sufficiently covered by chronic and oncogenicity studies and the data gap is therefore acceptable. Classification for genotoxicity is not proposed.

- Carcinogenicity

Oral route

A 2-year drinking water study was performed in two different rat strains (Fischer 344 and Wistar).

The main finding in Fischer 344 rats was a significant increase in the number of large granular lymphocyte leukaemia (LGLL) in the liver and spleen of females. There were no other carcinogenic effects. In Wistar rats, there was the possibility of a treatment-related increase in testis Leydig cell adenomas. The incidence of Leydig cell adenomas was slightly but clearly increased in all dose groups and it was coupled with an increased incidence of Leydig cell hyperplasia. Leydig cell adenomas occurred at similar incidence as in the historical control data, but this is nevertheless considered as treatment related because there is a continuum from hyperplasia to adenoma, and Leydig cell hyperplasia was increased in a dose dependent manner. No treatment-related increase could be detected in the total number of neoplasms, and other studies failed to identify Leydig cell adenomas. Due to the lack of other carcinogenicity findings, the most probable cause of the Leydig cell changes is damage to the seminiferous epithelium and/or the Leydig cells themselves. Risk Assessment Committee (RAC) did not consider the appearance of LGLL and Leydig cell tumours as being relevant to humans and classification for carcinogenicity was not proposed.

NOAEL for carcinogenicity was 100 ppm corresponding to 3.5 and 5.3 mg GA/kg bw/day for males and females, respectively.

Inhalation route

No valid studies were provided for carcinogenicity by inhalation, but scientifically valid information was available from the NTP (National Toxicology Program, USA). The effects seen were consistent with local irritant effects in the most rostral portions of the nasal passages, decreasing in severity and in frequency towards the inner portions of the nasal passage. There was no evidence of carcinogenicity in rats or mice when tested for two years at glutaraldehyde concentrations up to 750 ppb (rats) and 250 ppb (mice).

- Reproductive toxicity

Teratogenicity of glutaraldehyde has been studied in rabbits and rats, and both indicate no teratogenic or embryotoxic potential at doses below maternal toxicity. There was slight (statistically non-significant) increase of skeletal variations. Due to the nature and incidence of the findings, the RMS concludes that there is no ground for classification for teratogenicity.

Effects on fertility have been studied in a one year study with rats. There were very few signs of any effects on reproduction parameters, and those revealed are considered to result from maternal/overall toxicity and/or to be incidental in nature. In conclusion, glutaraldehyde had little effect on any reproduction parameters even at maternally toxic doses and there is no ground for classification for fertility effects.

NOAEL for reproductive toxicity was set to 3.2 and 4.8 mg GA/kg bw/day for males and females, respectively.

- Neurotoxicity

Studies are not available and none are required. Systemic exposure to glutaraldehyde is very limited because of chemical reactivity of the active substance. There were no indications of possible neurotoxicity in the human data or any of the long-term studies available.

Classification for neurotoxicity is not warranted.

- Human data

Skin sensitisation

Human data confirms the skin sensitizing potential seen in animal experiments. Glutaraldehyde is classified as skin sensitizer of Category 1, and is assigned the hazard statement H317 “*May cause an allergic skin reaction*” (or according to the criteria set in the 2nd ATP of the CLP regulation, as Skin Sens. 1A, and is assigned the hazard statements H317 “*May cause an allergic skin reaction*”).

Respiratory sensitisation

There is mounting evidence of occupational asthma among health care workers, and this is often connected with glutaraldehyde exposure. The studies show that a number of health care workers that have been exposed to glutaraldehyde become asthmatic and the symptoms are triggered by glutaraldehyde. Molecular diagnosis of asthma due to glutaraldehyde cannot easily be based on specific IgE measurements due to poor correlation with clinical symptoms. There are however studies showing clearly elevated cellular and molecular indicators for asthma as a response to glutaraldehyde challenge. Overall there is strong evidence that glutaraldehyde is a moderately potent respiratory sensitizer.

Glutaraldehyde is classified as respiratory sensitizer of Category 1, and is assigned the hazard statement H334 “*May cause allergy or asthma symptoms or breathing difficulties if inhaled*”.

Skin irritation

Glutaraldehyde is irritating to the skin. Skin irritation is minimal at concentrations below 0.5 %. Similar results obtained in other studies confirm that non-recurring dermal exposure to glutaraldehyde concentrations below 0.5 % are unlikely to cause any adverse health effects apart from mild, reversible skin irritation. Exposure at workplace or otherwise repeated exposure may however cause sensitization.

Other health effects

Apart from irritant and sensitising properties, glutaraldehyde has not been connected with any considerable health effects except in cases of accidental spillage causing severe damage at the site of contact during endoscopy and surgery.

Glutaraldehyde has been reported to cause various effects on the skin (rash and contact dermatitis), eyes (itching, irritation, conjunctivitis), nose (sinus discomfort, irritation,

inflammation, bleeding) and throat (itching, tingling, soreness, unpleasant taste). These effects can mostly be attributed to irritant properties. Additional effects sometimes reported include headache and lethargy. There is no evidence of carcinogenicity or effects in mortality or longevity.

Glutaraldehyde has a discernible green apple odour that is detectable to humans at a concentration of around 0.3 ppb.

- Establishment of reference values

There were very few effects that could be considered as systemic, but AEL values have been derived based on the studies where systemic effects cannot be excluded. The systemic NOAEL values are corrected by the oral absorption value of 40 % obtained in the toxicokinetic studies in the rat. In addition, external reference values are derived for acute and long-term inhalation exposure.

AEL_{acute}

An acute AEL is not derived because all signs of acute toxicity are based on local rather than systemic effects. The effects were dependent on the route of exposure.

AEL_{medium-term}

AEL_{medium-term} is based on the NOAEL of 3.5 mg/kg bw/day of a rat carcinogenicity study. Below this dose level there were no effects in any of the repeated dose studies. An AF of 10 for intraspecies variation and an AF of 10 for interspecies variation are applied because truly systemic effects cannot be excluded. The correction for oral absorption is 40 %.

$$- \quad \text{AEL}_{\text{medium-term}} = [3.5 \text{ mg/kg bw/day} / (10 \times 10)] \times 40 \% = 14 \text{ } \mu\text{g/kg bw/day}$$

AEL_{long-term}

The same NOAEL is applied as for AEL_{medium-term}. Below this dose level there were no effects in any of the repeated dose studies. The same AFs and rationale are used as for deriving the AEL_{medium-term}.

$$- \quad \text{AEL}_{\text{long-term}} = [3.5 \text{ mg/kg bw/day} / (10 \times 10)] \times 40 \% = 14 \text{ } \mu\text{g/kg bw/day}$$

AEC_{inhalation}

The lowest relevant LOAEC of 0.255 mg/m³ was obtained in a 2-year inhalation study in the mouse and in a 90-day inhalation study in the mouse. The toxicodynamic component of 2.5 of the interspecies AF is applied, while the toxicokinetic component of 4 is disregarded due to the mode of action being direct chemical reactivity. The intraspecies toxicodynamic component of 3.2 is applied while the toxicokinetic component of 3.2 is disregarded because 1) the mechanism is direct chemical reactivity without involvement of local metabolism; 2) there is abundant data on humans exposed to low levels of glutaraldehyde without adverse health effects (see Doc IIA, 3.10.5 Considerations of respiratory sensitisation) and 3) no large differences were seen in the human response to irritant effects of glutaraldehyde in a group of volunteers. An additional AF of 3 is applied because the reference value is derived from a LOAEC instead of a NOAEC.

$$\frac{\text{AEC}_{\text{inhalation}}}{\text{NOAEC}} = 0.0106 \text{ mg/m}^3 = 0.255 \text{ mg/m}^3 / (2.5_{\text{interspecies}} \times 3.2_{\text{intraspecies}} \times 3_{\text{LOAEC-to-NOAEC}})$$

$$= 10.6 \mu\text{g}/\text{m}^3 = 2.6 \text{ ppb}$$

Currently there are no validated, internationally accepted animal models available to adequately characterize the thresholds of induction and elicitation of respiratory sensitizers. The evidence does however support the general principle that sensitization occurs in workplaces where high exposure rates take place either regularly or as high peak concentrations. The available data seem to suggest that where sensitization has occurred, exposure has occurred to at least 20-30 ppb, and often much higher. This should however not be understood as a proposal for a threshold value. Nevertheless, as the data indicate that sensitization has occurred at significantly higher concentrations than the $\text{AEC}_{\text{inhalation}}$, this is considered as a reference value that is likely to be protective for sensitization effects as well.

AEC_{acute inhalation}

The derivation of $\text{AEC}_{\text{acute inhalation}}$ is based on the assumption that in inhalational exposure, the mode of action of glutaraldehyde is direct chemical reactivity. In short exposure durations, effects would only be expected at concentrations that produce irritation. The threshold of nasal chemesthetic detection in humans was determined to be 390 ppb, corresponding to $1.60 \text{ mg}/\text{m}^3$. An AF of 3.2 is applied to cover human variation and uncertainties in the experimental setup.

$$\text{AEC}_{\text{acute inhalation}} = 1.60 \text{ mg}/\text{m}^3 / 3.2 = 0.5 \text{ mg}/\text{m}^3 = 122 \text{ ppb}$$

Respiratory sensitization has been linked with high peak exposure concentrations, and therefore $\text{AEC}_{\text{acute inhalation}}$ (122 ppb) should be regarded as a ceiling value that should never be exceeded.

AEC_{dermal}

Not derived due to lack of suitable data. The "indicative" values presented in Doc IIA are not considered suitable for risk characterisation.

ADI

Glutaraldehyde is very reactive with for example proteins, as has been demonstrated in the metabolism studies and no residues remain. Therefore, glutaraldehyde is not expected to be present in food, and an ADI is not derived.

2.2.2. Exposure assessment

2.2.2.1. Product Type 2

The control of nosocomial diseases in hospitals requires, among others, thorough disinfection of inanimate hard surfaces such as floors, walls, tables, etc.

A solution containing glutaraldehyde is usually applied by mopping. Rinsing is sometimes performed but most of the time the treated surfaces are left to dry naturally. The applied glutaraldehyde evaporates with the water. Ventilation is compulsory. For floor disinfection, specific cleaning machines can be used.

Summary table: Professional exposure (PT2).

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration - acute (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Scenario 1 -Hard Surface Disinfection - Mixing and Loading	None	0.022	0.0005	0.021
Scenario 1 -Hard Surface Disinfection - Mixing and Loading	Gloves	0.022	0.0005	0.0021
Scenario 2 - Hard Surface Disinfection – Application , 330 min	None	0.027	0.0186	0.028
Scenario 2 - Hard Surface Disinfection – Application ,180 min	None	0.027	0.0101	-
Scenario 2 - Hard Surface Disinfection – Application	Gloves	0.027	0.0186	0.011
Scenario 3 - Disposal	None	0.0017	0.00006	6.97E-5
Scenario 3 - Disposal	Gloves	0.0017	0.00006	1.57E-5

Total systemic exposure hard surface disinfection - mixing and loading and application

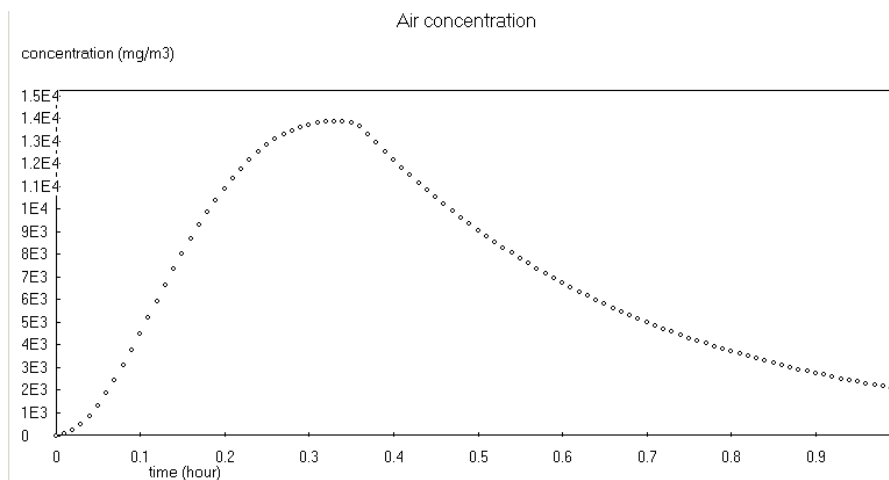
Exposure scenario		Mixing and loading (mg/kg bw/day)	Application (mg/kg bw/day)	Post application (mg/kg bw/day)	Total systemic exposure (mg/kg bw/day)
Scenarios 1 , 2 and 3: Hard Surface Disinfection	No PPE	0.021	0.028	0.000070	0.049
	PPE	0.0021	0.011	0.000016	0.013

Summary table secondary exposure (Hard surface disinfection - PT2)

Exposure scenario	Inhalation uptake	Inhalation exposure	Dermal exposure	Oral uptake	Systemic exposure
	Mean event concentration (mg/m ³)	(mg/kg)	(mg/kg)	(mg/kg)	(mg/kg bw/day)
Scenario 4 -Exposure to a child following inhalation and dermal contact with wet residues following Hard Surface Disinfection - Child	0.027	3.1E-04	0.17	0.067	0.24
Scenario 4 -Exposure to a child following inhalation and dermal contact with wet residues following Hard Surface Disinfection - Infant	0.027	4.6E-04	-	-	4.6E-04

Re-entry time for a child was calculated using the ConsExpo model and scenario 2 assumptions. The water has evaporated from the mopped floor after 20 minutes (20 minutes after task started, task duration is 15 minutes in one room, so 5 minutes for last mopped area to dry). Thus, the re-entry time to allow surfaces to dry is 20 minutes, which protects the child from possible skin sensitisation due to dermal contact with wet residues.

The predicted peak water concentration occurs at 0.33 hour; then the vapor concentration decreases because water evaporation has ceased.



2.2.2.2. Product Type 3

Poultry/pig Farm Disinfection

Poultry farms are disinfected approximately 6 times per year and pig farms approximately twice a year either by spraying with a 0.1 % glutaraldehyde 50% aqueous solution solution or by fogging with a 2 % glutaraldehyde 50% aqueous solution solution. Prior to application of the disinfectant the following steps must be carried out:

- Evacuate the animals (to the abattoir)
- Clean out manure and other waste matter
- Carry out a high pressure water washing
- Close doors, windows, fans *etc.*

Summary table professional exposure (PT3)

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Scenario 5 - Mixing and loading disinfectant for application by spraying or fogging - Tier 1	None	0.12	-	0.0024
Scenario 5 - Mixing and loading disinfectant for application by spraying or fogging - Tier 2	Gloves, coated coveralls, RPE (10%)	0.012	-	0.00024
Scenario 6 - Disinfection of a Poultry Farm by Spraying - Tier 1	None	0.076	0.019	0.204
Scenario 6 - Disinfection of a Poultry Farm by Spraying - Tier 2	Gloves, double coveralls, RPE (10 %)	0.0076	0.0019	0.0104
Scenario 7 - Disinfection of a Poultry Farm by Fogging	None	None	None	None

Total systemic exposure Poultry farm disinfection - mixing and loading and application

Exposure scenario		Mixing and loading (mg/kg bw/day)	Application (mg/kg bw/day)	Total systemic exposure (mg/kg bw/day)
Scenarios 5 and 6: poultry farm Disinfection	No PPE	0.0024	0.204	0.206
	PPE	0.00024	0.0104	0.0106

Summary table secondary exposure (Veterinary Hygiene Biocidal Products - PT3)

Exposure scenario	Inhalation uptake	Inhalation exposure/ Re-entry time	Dermal exposure	Oral uptake	Systemic exposure
	Mean event concentration (mg/m ³)		(mg/kg)	(mg/kg)	(mg/kg bw/day)
Scenario 8 -Child enters a barn following disinfection	180	2 h (ventilation rate 3.5 1/h)	0.55	0.22	0.77

2.2.2.3. Product Type 4

Glutaraldehyde is used for disinfecting glass bottles, cold food processing vessels and walls of slaughter houses and other rooms where food is processed/stored.

Secondary exposure was not assessed because the potential for secondary exposure was very low since the application of the substance takes place at the end of the working day, the exposure to glutaraldehyde following day will be insignificant.

Summary table professional exposure (Food vessel/machinery disinfection- PT4)

Exposure scenario	PPE	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Scenario 9 - Connecting drum to pump - Tier 1	None	11	1.188
Scenario 9 - Connecting drum to pump - Tier 2	Gloves, coated coveralls, RPE (10 %)	1.1	0.0155
Scenario 9 - Connecting drum to pump - Tier 3	Gloves, coated coveralls, RPE (2.5 %)	0.275	0.0125
Scenario 9 - Connecting drum to pump - Tier 4	Gloves, coated coveralls, RPE (2.5 %)	0.0348	0.0116
Scenario 10 - Maintenance of machines - Tier 1	None	0.04	0.0806
Scenario 10 - Maintenance of machines - Tier 2	Gloves, coated coveralls, RPE (10 %)	0.004	0.00804

Walls of slaughter houses and other rooms where food is processed/stored need to be disinfected on a regular basis (in the absence of food) with the idea to avoid the contamination of the food that is further processed and to avoid spreading of pathogenic micro-organisms (e.g.: Foot and Mouth Disease, Viruses or Salmonella Bacteria). The solution of disinfectant (0.1 %) is sprayed onto the surfaces, left for soaking (~10 minutes) and then rinsed away with fresh water.

In the above mentioned application the following sequence must be followed:

- Cleaning
- Rinsing
- Disinfection
- Rinsing

Automation ensures that this sequence can be conducted safely without skipping any step. The entire process is expected to take *ca* 30 minutes.

Summary table professional exposure (Food Processing Surface Disinfection- PT4)

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration (mg/m ³)	TWA (8 h) concentration - chronic (mg/m ³)	
Scenario 11- Application of Disinfectant in a Slaughter House – Tier 1	None	0.002	0.00013	7.32E-4

2.2.2.4. Product Type 6

Laundry softener, liquid detergents and other aqueous systems used commercially and in the home require an in-can preservative to protect them against bio-spoilage during their shelf life. These systems are prone to microbial growth (both moulds and bacteria). A preservative must be added to these aqueous formulations during their production in the manufacturing plant and the added preservative must prevent the bio-deterioration of these systems until they are used, namely a few months (up to 1 year) after production.

Summary table professional exposure (Preservatives for detergents, car polish and wax emulsion - PT6, these uses are primary exposure to end-use products treated with glutaraldehyde.)

Exposure scenario	Inhalation uptake		Systemic exposure (mg/kg bw/day)
	Mean event concentration (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Similar to scenario 9 - Connect a drum to a pump - Tier 1	11	-	1.188
Similar to scenario 9 - Connect a drum to a pump - Tier 2	1.1	-	0.0155
Similar to scenario 9 - Connect a drum to a pump - Tier 3	0.275	-	0.0125
Scenario 12 - Laundry Softeners – Loading Tier 1	3.96E-05	8.25E-07	1.67E-05
Scenario 13 - Liquid detergent - Mixing/ Loading	1.55E-05	3.23E-07	1.67E-05
Scenario 14 - Liquid detergent – Application	0.000954	1.19E-04	0.011
Scenario 15 - Wax Emulsion- Application Tier 1	2.7E-05	0.0073	0.0092
Scenario 16 - Car Polish- Application Tier 1	1.93E-05	0.0051	0.001
Scenario 16 - Car Polish - Application Tier 2	0.041	0.0051	0.0001
Scenario 17 - Manual surface disinfection, - Tier 1	0.0052	0.00104	0.010
Scenario 17 - Manual surface disinfection, - Tier 2	0.0052	0.00104	0.0012

Total systemic exposure - mixing and loading and application of liquid detergents (Scenarios 13 and 14)

Exposure scenario	Mixing and loading (mg/kg bw/day)	Application (mg/kg bw/day)	Total systemic exposure (mg/kg bw/day)
Scenario - Liquid detergent, mixing and loading and application	1.67E-5	0.011	0.011

Summary table non-professional exposure (Preservatives for detergents, car polish and wax emulsion - PT6, these uses are primary exposure to end-use products treated with glutaraldehyde.)

Exposure scenario	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Scenario 12 - Laundry Softeners - Loading	3.69E-05	1.67E-5
Scenario 13 - Liquid detergent - Mixing/ Loading	1.55E-05	1.67E-5
Scenario 14 - Liquid detergent – Application	4.34E-07	0.011
Scenario 15 - Wax Emulsion- Application	2.70E-05	0.0092
Scenario 18 - Car Polish- Application	-	0.0022

Total systemic exposure - mixing and loading and application of liquid detergents (Scenarios 13 and 14)

Exposure scenario	Mixing and loading (mg/kg bw/day)	Application (mg/kg bw/day)	Total systemic exposure (mg/kg bw/day)
Scenario - Liquid detergent, mixing and loading and application	1.67E-5	0.011	0.011

Summary table secondary exposure (Fabric conditioners and liquid detergent - PT6)

Exposure scenario	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Scenario 19 - Fabric Conditioner – Post Application	-	0.0045
Scenario 20 - Liquid detergent – Post Application	-	2.8E-06

The paper industry uses many additives to produce the various grades of paper and cardboard that are required. Some additives are added to the stock (wet-end), together with the pulp and others are added to the paper once it is formed (dry end). All these additives contain water (slurries or solutions) and are prone to microbial growth (both moulds and bacteria). A preservative must be added to these aqueous formulations during their production in the manufacturing plant; the added preservative must prevent the bio-deterioration of these systems until they are used, namely few days (up to 3 weeks) after production. Glutaraldehyde 50% aqueous solution is pumped into the mixing vessels by

means of dedicated lines and automatic remote control (no direct human involvement) systems. In some cases an additional dose is requested in the storage tank located in the paper mill since the remaining material (heel) might get contaminated after a long period of storage.

Summary table professional exposure (Paper Wet-end Additives Preservation and Paper Coatings Preservation - PT6)

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Scenario 21 - Loading and unloading slurry tanks – Tier 1	None	0.087	0.022	0.344
Scenario 21 - Loading and unloading slurry tanks – Tier 2	Gloves, coated coveralls	0.087	0.022	0.0368
Scenario 21 - Loading and unloading slurry tanks – Tier 3	New pair of gloves, coated coveralls, RPE 10%	0.0087	0.022	0.0193

2.2.2.5. Product Type 11

Preservatives for liquid-cooling and processing systems are used in the paper mills where glutaraldehyde is dosed to the systems requiring connecting and disconnecting drums. Bystanders may be exposed to the spray drift of the glutaraldehyde.

For secondary exposure only inhalation was considered relevant. No concern was identified derived for dermal exposure.

Preservatives used in closed recirculating systems

Summary table professional exposure (Preservatives used in closed recirculating systems - PT11)

Exposure scenario	PPE	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Similar to Scenario 9 –Mixing and Loading Solution for Filling a Closed Recirculating System - Tier 1	None	11	1.188
Similar to Scenario 9 –Mixing and Loading Solution for Filling a Closed Recirculating System -Tier 2	Gloves, double coveralls, RPE (10 %)	1.1	0.0155
Similar to Scenario 9 –Mixing and Loading Solution for Filling a Closed Recirculating System - Tier 3	Gloves, double coveralls, RPE (2.5%)	0.275	0.0125
Scenario 22 –Draining a Closed Recirculating System - Tier 1	None	0.0022	0.0114
Scenario 22 –Draining a Closed Recirculating System - Tier 2	Gloves, double coveralls	0.0022	4.8E-04

Preservatives used in Open Recirculating Systems: Small, Shock Dosing

Summary table professional exposure (Preservatives used in Open Recirculating Systems: Small, Shock Dosing - PT11)

Exposure scenario	PPE	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Similar to Scenario 9 –loading (connecting/disconnecting drums) Tier 1	None	11	1.188
Similar to Scenario 9 –loading (connecting/disconnecting drums) Tier 2	Gloves and double coveralls, RPE (10 %)	1.1	0.0155
Similar to Scenario 9 –loading (connecting/disconnecting drums) Tier 3	Gloves and double coveralls, RPE (2.5 %)	0.275	0.0125
Similar to Scenario 9 –loading (connecting/disconnecting drums) Tier 4	Gloves and double coveralls, RPE (2.5 %)	0.0348	0.0116

Summary table secondary exposure (Preservatives Used in Open Recirculating Systems - PT11)

Exposure scenario	Inhalation uptake Mean event concentration (mg/m ³)	Systemic exposure (mg/kg bw/day)
Scenario 23 -Indirect exposure: Adult – inhalation of spray drift	0.01	1.05E-04
Scenario 23- Indirect exposure: Child – inhalation of spray drift	0.01	5.67E-05

2.2.2.6. Product Type 12

Glutaraldehyde is automatically dosed to the wet-end of the paper circuit. The exposure to the worker is limited to disconnecting an empty drum and reconnecting a full drum of glutaraldehyde. Exposure may occur also during cleaning and other daily maintenance tasks related to the pulp tank. Secondary exposure of worker may occur by inhalation of aerosol or vapour phase of the glutaraldehyde.

Slimicides for paper pulp: wet-end slimicides

Summary table professional exposure (Slimicides for paper pulp: wet-end slimicides; PT12)

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Similar to Scenario 9 – Mixing and Loading - Tier 1	None	11	-	1.188
Similar to Scenario 9 - Mixing and Loading - Tier 2	Gloves, double coveralls, RPE (10 %)	1.1	-	0.0155
Similar to Scenario 9 - Mixing and Loading - Tier 3	Gloves, double coveralls, RPE (2.5 %)	0.275	-	0.0125
Scenario 24 – Tank cleaning and paper mill white water - Tier 1	None	0.0057	0.001	0.0153
Scenario 24 – Tank cleaning and paper mill white water - Tier 2	Gloves, coated coveralls	0.0057	0.001	0.00138

Summary table secondary exposure (worker exposure to vapor and aerosols of glutaraldehyde used as a slimicide in the paper mill industry; PT12)

Exposure scenario	Inhalation uptake	Systemic exposure (mg/kg bw/day)
	Mean event concentration (mg/m ³)	
Scenario 25: inhalation of <u>vapour</u> phase	<3.2E-4	<5.25E-5
Scenario 25 inhalation of <u>aerosol</u> phase	0.0057	0.00095

Slimicides for paper pulp: paper de-inking slimicides

During the recovery of pulp from old paper, the removal of ink is an important step. This is performed in special pulpers with surfactants and water. Most of the ink is collected with foam on the surface of the pulper but the recycled pulp remains a greyish colour and requires bleaching. Hydrogen peroxide (H₂O₂) is used for pulp bleaching. Many micro-organisms can grow in the pulper and they can secrete a fast acting enzyme (catalase) that degrades H₂O₂. A biocide is required in order to kill the micro-organisms before they can secrete catalase so that the bleaching step can be successfully performed.

Summary table professional exposure (Slimicides for paper pulp: paper de-inking slimicides; PT12)

Exposure scenario	PPE	Inhalation uptake		Systemic exposure (mg/kg bw/day)
		Mean event concentration (mg/m ³)	TWA (8-h) concentration - chronic (mg/m ³)	
Similar to scenario 9 - Mixing and Loading Solution Tier 1	None	11	-	1.188
Similar to scenario 9 - Mixing and Loading Solution Tier 2	Gloves, double coveralls, RPE (10%)	1.1	-	0.0155
Similar to scenario 9 - Mixing and Loading Solution Tier 3	Gloves, double coveralls, RPE (2.5%)	0.275	-	0.0125
Scenario 26 – cleaning/ maintenance of pulp tanks Tier 1	None	0.0152	0.0076	0.0409
Scenario 26 – cleaning/maintenance of pulp tanks Tier 2	Gloves, coated coveralls	0.0152	0.0076	0.00368

2.2.2.7. Oilfield applications

Glutaraldehyde is used in several oilfield applications such as:

Industrial product preservative (drilling muds; packing fluids; cementing fluids), PT6

Preservative in hydrostatic pressure testing fluid, PT11

Slimicide in oilfield extraction fluids (flood and injection water), PT11

Summary table professional exposure (oil field applications - preservative in drilling muds (PT6), hydrotesting fluid (PT11), flooding and oil injection water (PT11)).

Scenario	Acute inhalation exposure - mean event concentration (mg/m ³)	Total systemic dose (mg/kg bw/day)
Mixing and loading (connection/disconnection of feeder system/of lines or hoses)		
Tier 1 (no PPE)	11	1.188
Tier 2 (gloves, coated coveralls, RPE (APF 10))	1.1	0.0155
Tier 3 (gloves, coated coveralls, REP (APF 40))	0.275	0.0125
Tier 4 (gloves, coated coveralls, RPE (APF 40))	0.0348	0.0116

2.2.3. Risk characterisation

2.2.3.1. Product Type 2

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Due to skin sensitizing of glutaraldehyde the use of gloves is required. Worker dermal exposure during mixing and loading, application (mopping and wiping) and post application is mostly excluded by the use of protective gloves.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed in PT 2							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Scenario 1 - Hard Surface Disinfection - Mixing and Loading	Systemic	Gloves	AEL _{long-term}	15	-	Yes	-
	Local	-	AEC _{acute inhalation}	4	23	Yes	-
	Local	-	AEC _{inhalation}	5	21	Yes	-
Scenario 2 - Hard Surface Disinfection - Application	Systemic	Gloves	AEL _{long-term}	79	-	Yes	-
	Local	-	AEC _{acute inhalation}	5	19	Yes	-
	Local, 330 min	-	AEC _{inhalation}	175	<1	No	-
	Local, 180 min	-	AEC _{inhalation}	95	1	Yes	-
Scenario 3 - Hard Surface Disinfection - Post Application	Systemic	-	AEL _{long-term}	<1	-	Yes	-
	Local		AEC _{acute inhalation}	<1	290	Yes	-
	Local		AEC _{inhalation}	<1	180	Yes	-
Combined exposure in scenarios 1,2 and 3	Systemic	Gloves	AEL _{long-term}	94	-	Yes	-
Scenario 4 - Accidental Exposure to a Child - Hard Surface Disinfection	Systemic	-	ARfD	40	-	Yes	Complementary approach only ¹
	Local	-	AEC _{acute inhalation}	5	19	Yes	-

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during hard surface disinfection is acceptable based on the comparison of the systemic dose to the $AEL_{\text{long-term}}$ and of the calculated glutaraldehyde concentration in the air to the $AEC_{\text{acute inhalation}}$ and to $AEC_{\text{inhalation}}$, except for mopping and wiping for 330 min (scenario 2), where chronic inhalation exposure is not acceptable. However, this scenario is acceptable if application is done in maximum for 180 min, thus only mopping is acceptable because exposure time is then 110 min (assumption 22 rooms mopped per day, 5 min/room). No adverse health effects are expected in all other scenarios since systemic exposure is below the reference values and all MOE values are more than 1.

For the active substance approval inhalation exposure while mopping and wiping was evaluated using ConsExpo 4.1 model (evaporation, increase release area) with the mass transfer rate (0.052 m/min) estimated by the Sparks et al. (1996) method and using the mopping and wiping time (330 min) agreed by Ad Hoc Human Exposure Group at the WG meeting March 2014. Based on the risk assessment glutaraldehyde PT2 use is acceptable for a maximum duration of 180 min (agreed default time for mopping is 110 min and for wiping 220 min).

The applicant provided in the late stage of the evaluation process, a revised value for the mass transfer rate. However, the mass transfer rate, which was already modified in the CAR was not discussed in the Technical Meeting. Using the mass transfer rate (0.029 m/min) derived by Sparks method (it was revised because the harmonized scenario increased the release area to 46 m² from 22 m² and this reduced the value for mass transfer rate) the exposure evaluation for glutaraldehyde is acceptable in scenario 2 for mopping and wiping with the duration of 330 min. According to the study of McCready and Fontaine¹ submitted by applicant the Sparks method should be used for estimating the mass transfer coefficient in ConsExpo calculations because it correlates indoor evaporation to the air flow in the room, temperature and molecular diffusivity. The Langmuir method, one of the defaults given in ConsExpo, should not be used because it provides an unrealistically high estimate of vapour concentration and inhalation exposure. The value for the mass transfer rate could be clarified for the product authorisation when further refinements should also be considered.

Accidental exposure to a child from contact with wet surfaces (scenario 4) following hard surface disinfection is acceptable based on the comparisons of the systemic dose to the ARfD (please see chapter 12.2.3 for the rationale on using ARfD as the reference value) and of the calculated glutaraldehyde concentration in the air to the $AEC_{\text{acute inhalation}}$. No adverse health effects are expected since systemic exposure is below the reference value and the MOE value is more than 1. Calculated re-entry time 20 minutes (task duration 15 minutes per room, and 5 minutes for last mopped are to dry) for a child was calculated to prevent possible skin sensitisation due to dermal contact with wet residues.

¹David McCready and Donald Fontaine: Refining ConsExpo Evaporation and Human Exposure Calculations for REACH. Human and Ecological Risk Assessment, Vol. 16, No. 4, 2010, p. 783-800; <http://dx.doi.org/10.1080/10807039.2010.501242>

Conclusion on PT 2: All evaluated use scenarios except scenario 2 (mopping and wiping) for chronic inhalation exposure can be considered as safe uses. Application (scenario 2) is considered safe if only mopping is accepted. PT2 uses are acceptable to support the approval of glutaraldehyde in PT 2 with specific condition to restrict the use only for mopping. The value for the mass transfer rate could be clarified for the product authorisation.

2.2.3.2. Product Type 3

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Direct exposure will mostly be excluded by the use of protective gloves and double coveralls. During mixing and loading, splashes may occur and result in exposure to up to 50 % glutaraldehyde. The use of gloves and double coveralls will be obligatory.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed in PT 3							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Scenario 5 - Mixing and loading solution for disinfection by spraying or fogging	Systemic	-	ARfD	<1	-	Yes	Complementary approach only [†]
	Systemic	-	AEL _{long-term}	17	-	Yes	
	Local	-	AEC _{acute inhalation}	24	4	Yes	
Scenario 6 - Disinfection of a poultry farm by spraying	Systemic	-	ARfD	34	-	Yes	Complementary approach only [†]
	Systemic	RPE Gloves Double coveralls	AEL _{long-term}	33	-	Yes	
	Local	RPE	AEC _{acute inhalation}	15	7	Yes	
	Local	RPE	AEC _{inhalation}	18	6	Yes	
Scenario 7 - Disinfection of a poultry farm by fogging	Systemic	-	ARfD	-	-	Yes	Negligible exposure
	Systemic	-	AEL _{long-term}	-	-	Yes	
	Local	-	AEC _{acute inhalation}	-	-	Yes	
	Local	-	AEC _{inhalation}	-	-	Yes	
Combined scenarios 5 and 6	Systemic	-	ARfD	35	-	Yes	
	Systemic	-	AEL _{long-term}	35	-	Yes	
Combined scenarios 5 and 7	Systemic	-	ARfD	<1	-	Yes	
	Systemic	-	AEL _{long-term}	17	-	Yes	
Disinfection of a Pig Farm by spraying	-	-	-	-	-	Yes	Covered by scenario 6
Disinfection of a Pig Farm by fogging	-	-	-	-	-	Yes	Covered by scenario 7

Scenario 8 – Re-entry time	-	-	-	-	-	Yes	A safe re-entry time of 2 h was calculated with sufficient ventilation
Scenario 8 - Child acute dermal and oral exposure	Systemic	-	ARfD	128	-	No	

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during disinfection of a poultry farm or a pig farm by fogging or spraying is acceptable based on the comparison of the systemic dose to the AEL_{long-term} and of the calculated glutaraldehyde concentration in the air to the AEC_{acute inhalation} and to AEC_{inhalation}. No adverse health effects are expected since systemic exposure is below the reference values and all MOE values are more than 1. Potential exposure during spraying is very high and all efforts should be made to reduce inhalation exposure e.g. by using full face masks/helmets/hoods and filters.

Acute dermal and oral exposure of a child touching freshly treated surfaces of the animal room is not acceptable. This contact is, however, unlikely to occur, as access should be prohibited until the disinfectant has dried. After disinfection of a barn, a safe re-entry time depends on the adequacy of ventilation. Assuming 3.5 air changes per hour, a safe re-entry time of 2 h was calculated. Entry to the barn shall be prevented during that time.

Conclusion on PT 3: Disinfection of a poultry farm or a pig farm by fogging or spraying is acceptable. These uses can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PT 3. Entering the barn during the re-entry period must be prevented.

2.2.3.3. Product Type 4

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Splashes may occur and result in exposure to up to 50 % glutaraldehyde. The use of gloves and double coveralls will be obligatory.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

• Summary of scenarios assessed in PT 4							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Scenario 9 - Connecting Drum to Pump	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
		Gloves Double coverall RPE (2.5 %)	AEL _{long-term}	89	-	Yes	
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 10 - Exposure during Cleaning/ Maintenance of Machinery	Systemic	Gloves Coated coverall	AEL _{long-term}	58	-	Yes	
	Local	-	AEC _{acute inhalation}	8	13	Yes	
	Local	RPE	AEC _{inhalation}	19	5	Yes	
Scenario 11 - Application of Disinfectant in a Slaughter House	Systemic	-	AEL _{long-term}	5	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	250	Yes	
	Local	-	AEC _{inhalation}	1.2	82	Yes	

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during application of disinfectant in a slaughterhouse and during cleaning and maintenance of machinery is acceptable based on the comparison of the systemic dose to the AEL_{long-term} and ARfD, as well as of the calculated glutaraldehyde concentration in the air to the AEC_{acute inhalation} and to AEC_{inhalation}. No adverse health effects are expected since systemic exposure is below the reference value and the MOE values are more than 1.

In the acute scenario 9, gloves and double coverall are necessary to reduce systemic exposure to an acceptable level, and RPE (APF 40) are required to reach a respiratory concentration below AEC_{acute inhalation}.

In the chronic scenario 10, gloves and coated coverall are necessary to reduce systemic exposure to an acceptable level, and RPE are necessary to reach a respiratory concentration below $AEC_{\text{inhalation}}$.

Conclusion on PT 4: None of the scenarios causes concern when the appropriate PPE are used and they are thus acceptable. These scenarios can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PT 4.

2.2.3.4. Product Type 6

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Direct exposure will mostly be excluded by the use of protective gloves and coveralls. For non-professional uses the glutaraldehyde concentration should be less than 0.1% to prevent the possible risk for skin sensitization.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed in PT 6							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Connecting a drum to a pump (similar to #9)	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
		Gloves Double coverall RPE (2.5 %)	AEL _{long-term}	89	-	Yes	
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 12 – Loading Laundry Softener	Systemic	-	AEL _{long-term}	<1	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	14000	Yes	
	Local	-	AEC _{inhalation}	<1	2900	Yes	
Scenario 13 - Mixing and Loading Liquid Detergent	Systemic	-	AEL _{long-term}	<1	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	31000	Yes	
	Local	-	AEC _{inhalation}	<1	32800	Yes	

Summary of scenarios assessed in PT 6							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Scenario 14 - Applying Liquid Detergent	Systemic	-	AEL _{long-term}	77	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	520	Yes	
	Local	-	AEC _{inhalation}	<1	89	Yes	
Combined scenario 13 and 14	Systemic	-	AEL _{long-term}	77	-	Yes	
Scenario 15 - Applying Wax Emulsion	Systemic	-	AEL _{long-term}	66	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	19000	Yes	
	Local	-	AEC _{inhalation}	69	1.5	Yes	
Scenario 16 - Applying Car Polish (professionals)	Systemic	-	AEL _{long-term}	7	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	26000	Yes	
	Local	-	AEC _{inhalation}	48	2	Yes	

Summary of scenarios assessed in PT 6							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Scenario 17 - Manual surface disinfection (professionals)	Systemic	-	AEL _{long-term}	79	-	Yes	
	Local	-	AEC _{acute inhalation}	6	17	Yes	
	Local	-	AEC _{inhalation}	10	10	Yes	
Scenario 21 - Loading and unloading slurry tanks	Systemic	-	AEL _{long-term}	19	-	Yes	
	Local	-	AEC _{acute inhalation}	17	6	Yes	
	Local	RPE	AEC _{inhalation}	82	1.2	Yes	
Scenario 18 - Applying Car Polish (non-professionals)	Systemic	-	ARfD	<1	-	Yes	Complementary approach only ¹
	Local	-	AEC _{inhalation}	-	-	Yes	Negligible inhalation exposure
Scenario 19 - Indirect Exposure to Laundry Softener	Systemic	-	AEL _{long-term}	32	-	Yes	
	Local	-	-	-	-	Yes	Negligible inhalation exposure
Scenario 20 - Indirect Exposure to Liquid Detergent	Systemic	-	AEL _{long-term}	<1	-	Yes	
	Local	-	-	-	-	Yes	Negligible inhalation exposure

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during application of various products containing glutaraldehyde as an in-can preservative has been assessed. All uses are acceptable based on the comparison of the systemic dose to the AEL_{long-term} and ARfD, as well as of the calculated glutaraldehyde concentration in the air to the AEC_{acute inhalation} and to AEC_{inhalation}. No adverse health effects are expected since systemic exposure is below the reference value and the MOE values are more than 1. RPE is required in loading and unloading slurry tanks (scenario 21) and gloves, double coverall and RPE (APF 40) are required in connecting drum to pump (scenario similar to #9).

Non-professional human exposure to laundry softener, liquid detergent, wax emulsion and car polish has been assessed. All uses are acceptable based on the comparison of the

systemic dose to the $AEL_{\text{long-term}}$ or ARfD, as relevant, and of the calculated glutaraldehyde concentration in the air to the $AEC_{\text{acute inhalation}}$ and to $AEC_{\text{inhalation}}$. No adverse health effects are expected since systemic exposure is below the reference value and the MOE values are more than 1.

Conclusion on PT 6: All scenarios are acceptable when the appropriate PPE are used. These scenarios can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PT 6.

2.2.3.5. Product Type 11

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. During loading, splashes may occur and result in exposure to up to 50 % glutaraldehyde. The use of gloves and double coveralls is required to reduce exposure.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed in PT 11							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Mixing and Loading Solution for Filling a Closed Recirculating System (similar to #9)	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 22 – Draining a Closed Recirculating System	Systemic	-	ARfD	1.9	-	Yes	Complementary approach only ¹
	Systemic	-	AEL _{long-term}	81	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	230	Yes	
	Local	-	AEC _{inhalation}	<1	5	Yes	
Loading (connecting / disconnecting drums) (similar to #9)	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 23 – Bystander Exposure; <u>Child</u>	Systemic	-	ARfD	<1	-	Yes	Complementary approach only ¹
	Local	-	AEC _{acute inhalation}	<1	500	Yes	
Scenario 23 – Bystander Exposure; <u>Adult</u>	Systemic	-	ARfD	<1	-	Yes	Complementary approach only ¹
	Local	-	AEC _{acute inhalation}	<1	500	Yes	

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during draining a closed recirculating system (scenario 22), mixing and loading solution for filling a closed recirculating system and connecting and disconnecting drums (both are similar to scenario 9) is acceptable based on the comparison of the systemic dose to the AEL_{long-term} and ARfD, as well as of the calculated glutaraldehyde concentration in the air to the AEC_{acute inhalation} and to

$AEC_{inhalation}$. No adverse health effects are expected since systemic exposure is below the reference value and the MOE value is more than 1.

Above mentioned scenarios mixing and loading and connecting and disconnecting drums cause a high potential exposure and are acceptable only when gloves, double coverall and RPE (APF 40) are used. The use of these PPE is required.

Bystander exposure (scenario 23) reveals very low exposure levels. This scenario is acceptable for both an adult and a child.

Conclusion on PT 11: None of the professional scenarios causes concern when the appropriate PPE are used and they are all thus acceptable. These scenarios can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PT 11. This conclusion is also supported by the acceptable indirect exposure scenario 23.

2.2.3.6. Product Type 12

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Direct exposure will mostly be excluded by the use of protective gloves and double coveralls. During connecting or disconnecting (scenario loading wet-end slimicide), splashes may occur and result in exposure to higher glutaraldehyde concentrations. The use of gloves and double coveralls will be obligatory.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed in PT 12							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Wet-end slimicide – loading (connecting/disconnecting drums) (similar to #9)	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
		Gloves Double coverall RPE (2.5 %)	AEL _{long-term}	89	-	Yes	
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 24 – Wet-end slimicide – cleaning and maintenance of pulp tanks	Systemic	-	ARfD	<1	-	Yes	Complementary approach only ¹
	Systemic	-	AEL _{long-term}	43	-	Yes	
	Local	-	AEC _{acute inhalation}	<1	250	Yes	
	Local	-	AEC _{inhalation}	9	11	Yes	

Mixing and Loading Solution (similar to #9)	Systemic	Gloves Double coverall RPE (10 %)	ARfD	3	-	Yes	Complementary approach only ¹
	Local	Gloves Double coverall RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
Scenario 26 - Exposure during Cleaning/Maintenance of Pulp Tanks - Deinking	Systemic	Gloves Coated coveralls	AEL _{long-term}	26	-	Yes	
	Local	-	AEC _{acute inhalation}	3	33	Yes	
		-	AEC _{inhalation}	72	1.4	Yes	
Scenario 25 - Indirect worker exposure, vapour phase	Systemic	-	AEL _{long-term}	<290	-	No	
	Local	-	AEC _{acute inhalation}	<52	<2	Yes	
	Local	-	AEC _{inhalation}	<2500	<1	No	
Scenario 25 - Indirect worker exposure, aerosol phase	Systemic	-	AEL _{long-term}	7	-	Yes	
	Local	-	AEC _{acute inhalation}	1	88	Yes	
	Local	-	AEC _{inhalation}	54	2	Yes	
Scenario 25 - Total indirect inhalation exposure	Systemic	-	AEL _{long-term}	<280	-	No	
	Local	-	AEC _{acute inhalation}	<53	<2	Yes	
	Local	-	AEC _{inhalation}	<2500	<1	No	

¹ This systemic assessment was performed as a complementary approach to the local RC. Comparison to ARfD is not appropriate, but is provided in the absence of an AEL_{acute}.

Professional human exposure during cleaning and maintenance of pulp tanks (scenarios 24 and 26), connecting and disconnecting drums (scenario loading wet-end slimicide) and mixing and loading solution (scenario mixing and loading) is acceptable based on the comparison of the systemic dose to the AEL_{long-term} and ARfD, as well as of the calculated glutaraldehyde concentration in the air to the AEC_{acute inhalation} and to AEC_{inhalation}. No adverse health effects are expected since systemic exposure is below the reference value and the MOE value is more than 1.

Indirect worker exposure (scenario 25) exceeded the AEL and AEC_{inhalation} values. However, exposure approximated using Henry's law is not accurate enough to

demonstrate that there is a risk although the result exceeded the previously mentioned reference values. This estimation is a very conservative worst case approximation and cannot be used to decide that exposure is unacceptable. Local ventilation must be used to get the systemic and long term inhalation exposure below the $AEC_{inhalation}$ if needed. The use of respiratory protective equipment (RPE) is obligatory unless other risk mitigation measures can reduce exposure to acceptable level. This should be verified with the measurements or modelling in the product authorization stage.

No PPE are required in scenario 24 due to systemic effects. However, gloves and coated coveralls are required in this scenario due to skin sensitizing effect of glutaraldehyde. Gloves and coated coveralls are required in scenario 26. Scenarios loading wet-end slimicide and mixing and loading cause a high potential exposure and are acceptable only when gloves, double coverall and RPE (APF 40) are used. The use of these PPE is required.

Conclusion on PT 12: None of the professional scenarios causes concern when the appropriate PPE are used and they are all thus acceptable. These scenarios can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PT 12.

2.2.3.7. Oilfield applications

Qualitative local RC is presented for local dermal effects. Quantitative risk assessment was performed for systemic effects and for local effect following inhalation exposure.

Quantitative local RC was not performed for local dermal or oral effects. Direct exposure will mostly be excluded by the use of coated coveralls and protective gloves. Splashes may occur and result in exposure to up to 50 % glutaraldehyde. The use of PPE will be obligatory.

Summary of the quantitative risk assessment for systemic effects following dermal and inhalation exposure and risk for local effects following inhalation exposure in different scenarios is presented in the table below.

Summary of scenarios assessed							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
Drilling mud preservative – adding concentrate: connecting/disconnecting feeder system	Systemic	Gloves Double coveralls RPE (2.5 %)	AEL _{long-term}	89	-	Yes	
	Local	Gloves Double coveralls RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
		Gloves Double coveralls RPE (2.5 %)	AEC _{inhalation}	81	1.2	Yes	
Drilling mud preservative – adding concentrate to hydrotesting fluid	Systemic	Gloves Double coveralls RPE (2.5 %)	AEL _{long-term}	89	-	Yes	
	Local	Gloves Double coveralls RPE (2.5 %)	AEC _{acute inhalation}	55	1.8	Yes	
		Gloves Double coveralls RPE (2.5 %)	AEC _{inhalation}	81	1.2	Yes	
Mixing and loading: (diluted biocide) – semi- automatically	Systemic	Gloves Double coveralls RPE (2.5 %)	AEL _{long-term}	89	-	Yes	

Summary of scenarios assessed							
Scenario	Systemic or local RC	PPE	Relevant reference value	% Ref. value (< 100)	MOE (ref. value / exposure) (> 1)	Acceptable	Remarks
	Local	Gloves Double coveralls RPE (2.5 %)	$AEC_{acute\ inhalation}$	55	1.8	Yes	
		Gloves Double coveralls RPE (2.5 %)	$AEC_{inhalation}$	81	1.2	Yes	

Professional human exposure during the oilfield applications presented in scenarios drilling mud preservative - adding concentrate to feeder system or hydrotesting fluid and mixing and loading slimicide for mineral oil extraction is acceptable based on the comparison of the systemic dose to the $AEL_{long-term}$, as well as of the calculated glutaraldehyde concentration in the air to the $AEC_{inhalation}$. No adverse health effects are expected since systemic exposure is below the reference value and the MOE values are more than 1.

The presented oilfield applications result in high potential exposure rates, but the professional users can be protected using gloves, double coveralls and RPE with assigned protection factors (APF) of 40. The use of these PPE will be required.

Conclusion on PT 6 and 11 in oilfield applications: None of the scenarios cause concern when the appropriate PPE are used and they are thus acceptable. These scenarios can be considered as safe uses that are acceptable to support the approval of glutaraldehyde in PTs 6 and 11 in oilfield applications.

2.3. Summary of Environmental Risk Assessment

2.3.1. *Fate and distribution in the environment*

Glutaraldehyde is highly hydrophilic and lipophobic substance. It is non-ionisable and fully soluble in water. Glutaraldehyde is volatile, but does not easily evaporate from water. Glutaraldehyde is subject to rapid photochemical degradation in air with a half-life of 8.2 h. Glutaraldehyde is readily biodegradable and has a potential to biodegrade in the marine environment, but it is hydrolytically and photolytically stable under environmental relevant conditions.

Under aerobic water/sediment system Glutaraldehyde dissipated from the water phase with a half-life of 1.25 d (12 °C). The major metabolite in the aqueous phase was glutaric acid that was detected at maximum 20.2% of the applied radioactivity during the first day. Glutaric acid was not detected thereafter. The amount of non-extractable radioactivity in the sediment was 12.6% of applied radioactivity in the end of the experiment (30 days). Total proportion of CO₂ formed in the test was 67.9% of the applied radioactivity indicating significant mineralization.

Under anaerobic conditions Glutaraldehyde is not degraded, but rather transformed to three major metabolites; 5-hydroxy-pentanal (37.0%), 1,5-pentanediol (76.1%) and a dimer of Glutaraldehyde, 2-hydroxy-3,4,4a,7,8,8a-hexahydro-2H-chromene-6-carbaldehyde (17.7%). The first metabolite was not detected after first day and is considered non-persistent, but the two latter metabolites were present over 10% of the applied radioactivity in the end of the experiment (123 day) and are hence regarded as persistent metabolites under the test conditions. The 1,5-pentanediol would be expected to degrade in aerobic environments and the dimer of Glutaraldehyde would not be kinetically favoured to form in an anaerobic sediment were only very low concentrations of Glutaraldehyde are possibly present. The amount of non-extractable radioactivity in the sediment was 8.4% in the end of the test. A dissipation half-life of 0.91 d (12 °C) was calculated based on Glutaraldehyde in the aqueous phase using pseudo-first order kinetics.

Glutaraldehyde is considered to be mobile in sandy sediment (Koc 120) and moderately mobile in soil (Koc 210-500) on the basis of adsorption/desorption study. The arithmetic mean Koc of 326 l/kg will be used for the risk assessment. The adsorption/desorption study indicated rapid degradation or irreversible adsorption (chemisorption) of Glutaraldehyde in soil as it could not be measured in the desorption supernatants.

In a study on degradation and adsorption of Glutaraldehyde in the activated sludge biodegradation and chemisorption were shown to be main removal processes. As a result of chemisorption Glutaraldehyde is likely bound by covalent bonds to proteinaceous material and loses its identity as Glutaraldehyde. The overall disappearance rate was 2.9 h⁻¹ (15 °C) corresponding to a half-life of 0.2 h. The rate constant is used to model degradation rate in the activated sludge in the exposure calculations.

Glutaraldehyde is not expected to bioaccumulate in aquatic or terrestrial organisms based on the low log octanol/water partition coefficient (-0.33, -0.36). Taken into account the low bioaccumulation potential and ready biodegradation there is no need to further testing or risk assessment of secondary poisoning.

2.3.2. *Effects assessment*

Acute toxicity has been studied in both freshwater and marine organisms. No systematic difference in sensitivity between marine and freshwater species could be observed. The difference in sensitivity between freshwater and marine taxa was less than 10 in all three trophic levels in those cases where studies with equal quality could be compared. Considering the chronic toxicity algae were the most sensitive species and the PNEC was based on the lowest valid NOEC of 0.025 mg/L from algae test. The PNEC_{water} and PNEC_{seawater} are 2.5 and 0.25 µg/L, respectively.

Toxicity of metabolites formed in the aerobic and anaerobic water sediment studies have not been studied because they are either covered by the aquatic ecotoxicity tests (glutaric acid) or the metabolites formed in the anaerobic water/sediment test are not likely to be formed in the natural anaerobic sediment as Glutaraldehyde is unlikely to partition there due to high water solubility, low log K_{ow} and ready biodegradability.

Toxicity of Glutaraldehyde to sediment dwelling organisms has not been studied and it is neither required as the sediment risk assessment is not needed for Glutaraldehyde according to the TGD: it's log K_{oc} and log K_{ow} are ≤ 3 and it's readily biodegradable. Glutaraldehyde starts to inhibit activated sludge micro-organism above 16 mg/L (NOEC), the EC₅₀ is > 51 mg/L. The PNEC_{STP} is 0.51 mg/L.

Glutaraldehyde is not particularly toxic to plants or earthworms. Soil micro-organisms are the most sensitive group among soil organisms and the PNEC_{soil} was determined from the carbon transformation test with the assessment factor of 50. The PNEC_{soil} is 0.184 mg/kg ww.

2.3.3. *PBT and POP assessment*

Glutaraldehyde does not fulfil PBT or vPvB criteria according to Commission Regulation 253/2011 amending the Annex XIII of Regulation 1907/2006. Glutaraldehyde is readily biodegradable, has a low bioaccumulation potential (Log K_{ow} -0.33) and does not have any NOEC less than 0.01 mg/l. Glutaraldehyde does not meet the criteria for classification as carcinogenic (category 1A or 1B), germ cell mutagenic (category 1A or 1B), toxic for reproduction (category 1A, 1B or 2) or specific target organ toxicity after repeated exposure (STOT RE category 1 or 2) according to Regulation 1272/2008. It is concluded that Glutaraldehyde is not a PBT or vPvB substance.

Glutaraldehyde does not fulfil criteria for being persistent organic pollutant (POP). Glutaraldehyde does not have potential for long-range transboundary atmospheric transport, its vapour pressure is below 1000 PA (44 Pa, 20 °C), but the estimated atmospheric half-life is less than two days (8.2 hours). As stated above in the context of PBT assessment Glutaraldehyde does not meet criteria for persistence and bioaccumulation.

2.3.4. *Exposure assessment*

The emissions for PT 2, 3, 4, 6, 11 and 12 have been calculated according to the respective Emission Scenario Documents, ESDs. The emissions have mostly been calculated according to average consumption based scenarios. In confidential Annex to Documents IIB and IIC the tonnage based scenarios are given when such are described in the ESDs. The Predicted Environmental Concentrations, PECs, have been calculated according to the TGD and calculations have been done with EUSES Version 2.1.2. The default values of TGD/EUSES have been used in calculations unless stated otherwise. The emission and exposure calculations are reported in detail in Doc IIB.

The PECs are usually calculated for STP, surface water, soil, groundwater and air. The PECs are given as 100 % Glutaraldehyde. The PECs have been calculated for Tier 0, Tier 1 and 2. In Tier 0 the default rate constant of 1 h^{-1} is used for the STP. In the Tier 1 calculations the experimentally derived rate constant of 2.9 h^{-1} is used for the STP (Doc IIA, 5.1.1.4). The refinement is based on an adsorption and degradation study in the activated sludge owned by both applicants. Very limited desorption from the activated sludge could be observed in this study demonstrating irreversible nature of adsorption. The adsorption/desorption experiment in soil (Doc IIIA7.2.3.1, Dow, BASF) provided evidence of reactions with soil organic matter during the adsorption phase. As Glutaraldehyde reacted with the soil matrix, it was not available in the desorption experiment. Therefore, in Tier 2 the concentration in dry sewage sludge is set to 0.

A specific refinement is used for paper industry (PT 6 and PT 12). The refinement is based on the monitoring study in a paper mill [REDACTED]. In that study Glutaraldehyde is added twice a day to pulper resulting in concentration of 13 ppm. After addition Glutaraldehyde was measured in the headbox, the pulper and in wastewater treatment plant several times for ten successive hours. Glutaraldehyde was not detected above the detection limit of 0.05 ppm. The applicants suggested adding a fraction of elimination (Felim) of 0.996 in the calculation of Elocalwater which was agreed by the RMS. In addition, there is another monitoring study of BASF (A6.14_03) from a different paper mill than the study of Dow. The report show similar dissipation. This refinement based on two monitoring studies and specific reactive nature of Glutaraldehyde was accepted in TM III 2013 and is called Tier 3 and it also includes refinements of Tier 2.

2.3.4.1. Product Type 2

Glutaraldehyde is used for disinfection of hard surfaces in industry and hospitals. For calculations the concentration of 3 g/l is used. Glutaraldehyde is applied on the surfaces such as floor, walls, and tables, rinsing is sometimes performed but most of the time the treated surfaces are let to dry out. This application is used by professionals only. The PECs are presented below.

PECs for PT 2 scenarios. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	Elocal _{water} kg/d	PEC _{stp} mg/l	PEC _{water} µg/l	PEC _{soil} mg/kg ww	PEC _{gw} µg/l	PEC _{air} mg/m ³
Industrial areas						
Tier 0	0.06	0.0037	0.37	0.0024	0.131	3.8E-10
Tier 1	0.06	0.0014	0.139	0.0023	0.127	2.56E-10
Tier 2	0.06	0.0014	0.139	4.62E-11	7.87E-12	2.56E-10
Sanitary purposes in hospitals						
Tier 0	0.113	0.0069	0.693	0.0044	0.246	5.08E-10
Tier 1	0.113	0.0026	0.261	0.0043	0.239	3.42E-10
Tier 2	0.113	0.0026	0.261	6.17E-11	1.05E-11	3.42E-10

2.3.4.2. Product Type 3

Glutaraldehyde is applied for disinfection of poultry and pig fattening farms. Disinfection is performed by spraying with a 1 g/l Glutaraldehyde solution. The intended use volume is 0.4 l/m². The product remains on the treated surfaces and is not rinsed off. The scenarios 'Sows in group' and 'Laying hens in free range with litter floor' were chosen by the applicants. The sow scenario was identified as the worst case scenario (highest PECs) among the pig and sow scenarios and the hen scenario had direct emissions to slurry/manure and STP.

PIEC for soil and PEC for groundwater for PT 3 scenarios with release to slurry/manure.

Scenario	PIEC _{grs-N} mg/kg	PIEC _{cars-N} mg/kg	PEC _{gw-grass} µg/l	PEC _{gw-arab} µg/l
Without degradation (one application to arable land and four applications to grassland)				
No refinement				
Sows in groups	0.1328	0.0322	22.6	5.7
Laying hens in free range with litter floor	0.0305	0.0076	5.2	1.3
Refinement: Fresidue 0.01%				
Sows in groups	1.33E-05	3.32E-06	2.26E-03	5.65E-04
Laying hens in free range with litter floor	3.05E-06	7.63E-07	5.20E-04	1.30E-04

10 successive years of manure application				
No refinement				
Sows in groups	0.0337	0.0239	5.7	4.1
Laying hens in free range with litter floor	0.0077	0.0055	1.32	0.94
Refinement: Fresidue 0.01%				
Sows in groups	3.37E-06	2.39E-06	5.73E-04	4.08E-04
Laying hens in free range with litter floor	7.74E-07	5.50E-07	1.32E-04	9.38E-05

PECs for PT 3 scenario 'Laying hens in free range with litter floor' with release to STP. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	Elocal _{water} kg/d	PEC _{stp} mg/l	PEC _{water} µg/l	PEC _{soil} mg/kg ww	PEC _{gw} µg/l	PEC _{air} mg/m ³
Laying hens in free range with litter floor						
Tier 0	0.369	0.0277	2.27	0.015	0.807	2.35E-09
Tier 1	0.369	0.0086	0.856	0.014	0.783	1.58E-09
Tier 2	0.369	0.0086	0.856	1.85E-10	4.85E-08	1.58E-09

2.3.4.3. Product Type 4

Glutaraldehyde is used for disinfection of vessels and machinery and food processing surfaces within PT 4. The emissions are led either to an on-site STP and thereafter to surface water or to off-site (municipal) STP. Food processing vessels are disinfected on a daily basis or after each batch of food. A solution of Glutaraldehyde at a concentration of 1 g/l is used for this application and is replaced on a weekly basis. The walls and other surfaces of a slaughter house or similar food processing/storage area are disinfected on a daily basis by applying a solution of 1 g/l Glutaraldehyde. In both uses Glutaraldehyde is left to soak for approximately 10 minutes and is then rinsed with water which is discharged to the drain.

PECs for PT 4 scenarios. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	E _{local} _{water} kg/d	PEC _{STP} mg/l	PEC _{water} µg/l	PEC _{soil} mg/kg ww	PEC _{gw} µg/l	PEC _{air} mg/m ³
Entire plant: on-site STP						
Tier 0	0.032 ¹	-	16	-	-	-
Tier 1	0.0024 ¹	-	1.224	-	-	-
Entire plant: off-site STP						
Tier 0	0.294 ³	0.018	1.81	0.012	0.643	1.87E-03
Tier 1	0.294 ³	0.007	0.682	0.011	0.624	1.26E-09
Tier 2	0.294 ³	0.007	0.682	2.27E-10	3.87E-08	1.26E-09
Surfaces in food processing areas: slaughterhouses						
Tier 0	1	0.062	6.16	0.040	2.19	4.51E-9
Tier 1	1	0.023	2.32	0.038	2.12	3.04E-9
Tier 2	1	0.023	2.32	5.48E-10	9.34E-11	3.04E-9
Surfaces in large scale kitchens						
Tier 0	0.2	0.012	1.23	0.008	0.437	9.02E-10
Tier 1	0.2	0.005	0.464	0.008	0.424	6.07E-10
Tier 2	0.2	0.005	0.464	1.1E-10	1.87E-08	6.07E-10

¹This corresponds to C_{effluent} of 0.016 mg/l calculated in the ESD for PT 4.

²This corresponds to C_{effluent} of 0.0012 mg/l calculated in the ESD for PT 4.

³This corresponds to C_{influent} of 0.147 mg/l calculated in the ESD for PT 4.

2.3.4.4. Product Type 6

Glutaraldehyde is used as a preservative in household and professional detergents. In paper industry Glutaraldehyde is used to preserve paper wet-end additives and paper coatings. Some of the PT 6 scenarios are based on tonnages and are given in Confidential Annex to Doc IIB and IIC. Laundry softener, liquid detergents and other aqueous formulations used in the home require an in-can preservative to protect them against bio-spoilage during their shelf life. The concentration of Glutaraldehyde in detergents is 1 g/l. It is assumed that 100% of the product is discharged to a STP. Paper wet-end additives and paper coatings are used by professionals in the paper making industry. Glutaraldehyde concentration is 0.5 g/l and it is used 100 g per tonne of produced paper.

The PECs for Glutaraldehyde as in-can preservative in detergents and paper additives are presented below. The waste water volume was increased to 5000 m³/day for newsprint in all Tiers. This refinement is based on the default waste water volume of 15 m³/tonne of produced paper and is explained in detail in Doc IIB, Section 8.3.6.4.

PECs for PT 6 scenarios. Tiers 0-3 are explained in Section 2.2.2.5.

Scenario	E _{local} _{water} (kg/d)	PEC _{STP} (mg/l)	PEC _{water} (µg/l)	PEC _{soil} (mg/kg ww)	PEC _{gw} (µg/l)	PEC _{air} (mg/m ³)
Detergents for sanitary purpose (PT 2)						
Tier 0	0.025	0.0015	0.154	0.001	0.055	1.13E-10
Tier 1	0.025	0.0005	0.058	0.001	0.053	7.59E-11
Tier 2	0.025	0.0005	0.058	1.37E-11	2.33E-12	7.59E-11
Detergents for professional use						
Tier 0, F _{penetr} =1	0.144	0.0089	0.887	0.006	0.351	2.51E-12
Tier 0, F _{penetr} =0.5	0.072	0.0044	0.443	0.003	0.157	1.25E-12
Tier 1, F _{penetr} =1	0.144	0.0033	0.334	0.006	0.305	1.69E-12
Tier 1, F _{penetr} =0.5	0.072	0.0017	0.167	0.003	0.153	8.44E-13
Tier 2, F _{penetr} =1	0.144	0.0033	0.334	3.05E-13	5.19E-12	1.69E-12
Tier 2, F _{penetr} =0.5	0.072	0.0017	0.167	1.52E-13	2.59E-12	8.44E-13
Paper production: preservative for additives (newspaper)						
Tier 0	0.494	0.012	1.22	0.008	0.432	2.57E-09
Tier 1	0.494	0.005	0.46	0.008	0.419	1.73E-09
Tier 2	0.494	0.005	0.46	3.1E-10	5.32E-08	1.73E-09
Tier 3	0.002	0.00002	0.002	1.26E-12	2.15E-10	7.0E-12
Paper production: preservative for additives (printing and writing paper)						
Tier 0	0.429	0.026	2.64	0.017	0.94	2.06E-09
Tier 1	0.429	0.010	1.0	0.016	0.91	1.39E-09
Tier 2	0.429	0.010	1.0	3.14E-10	5.34E-08	1.39E-09
Tier 3	0.002	0.00005	0.005	1.45E-12	2.49E-10	6.47E-12

2.3.4.5. Product Type 11

Glutaraldehyde as a preservative has been evaluated for open and closed recirculating cooling systems. In an open recirculating cooling system, the cooling water circulates in an open loop. In addition water is recycled in cooling towers. In small recirculating cooling systems, the dose of 100 ppm is used for the defouling treatment which is assumed to be needed once a year. For maintenance treatment a dosing of 50 ppm once per day daily is used. Small open systems were assessed with and without a STP connection.

In closed systems, the cooling water recirculates in a closed loop. The cooling water is not discharged after cooling. These systems have minimal loss of water, since there is no direct contact with the atmosphere. The system is filled before use and then drained and refilled very infrequently. Glutaraldehyde is added directly to the system at maximum concentration of 100 ppm. The applicants propose to restrict the use of Glutaraldehyde to closed systems where releases are emitted to STP.

PECs for PT 11 scenarios - small-recirculation cooling systems without STP (direct release to surface water).

Scenario	PEC _{water} µg/l	PEC _{seawater} µg/l	PEC _{soil drift} mg/kg ww	PEC _{air} mg/m ³
Small open recirculation cooling systems				
Defouling treatment		887	0.002	1.62E-06
Dilution factor 350	253			
Dilution factor 1000	88.7			
Maintenance treatment		2010	0.200	0.0011
Dilution factor 350	574			
Dilution factor 1000	201			

The dilution factors from small recirculating cooling systems were used as agreed at TM III/2011. The dilution to coastal water was 100 according to TGD.

PECs for PT 11 scenarios - small and closed recirculation cooling systems with STP.

Scenario	PEC _{STP} mg/l	PEC _{water} µg/l	PEC _{soil} mg/kg ww	PEC _{gw} µg/l	PEC _{soildrift} mg/kg ww	PEC _{air} mg/m ³
Small open recirculation cooling systems						
Defouling treatment						
Tier 0	0.262	26.2	0.168	9.31	0.002	7.41E-11
Tier 1	0.0987	9.87	0.163	9.03	0.002	4.99E-11
Tier 2	0.0897	9.87	9.03E-12	1.53E-12	0.002	1.53E-12
Maintenance treatment						
Tier 0	0.594	59.4	0.381	21.1	0.200	5.04E-08
Tier 1	0.224	22.4	0.369	20.5	0.200	3.39E-08
Tier 2	0.224	22.4	6.12E-09	1.04E-09	0.200	3.39E-08
Closed recirculation cooling systems						
Defouling treatment						
Tier 0	5.92E-05	0.0059	3.79E-05	0.0021		1.67E-14
Tier 1	2.23E-05	0.0022	3.68E-05	0.002		1.12E-14
Tier 2	2.23E-05	0.0022	2.03E-15	3.46E-13		1.12E-14
Maintenance treatment						
Tier 0	1.48E-05	0.0015	9.47E-06	5.25E-04		4.18E-15
Tier 1	5.57E-06	5.56E-04	9.19E-06	5.09E-04		2.81E-15
Tier 2	5.57E-06	5.56E-04	5.08E-16	8.65E-17		2.81E-15

2.3.4.6. Product Type 12

Glutaraldehyde is used to control slime producing micro-organisms in paper industry where the main function of the slimicide is to suppress the growth of the micro-organisms.

Emissions from paper production are calculated with the reasonable worst case and typical case scenarios. In the reasonable worst case scenario both the short and long circulation water are treated with slimicide and there is no connection to a pulp mill or WWTP. The primary receiving compartment is surface water. A range of dilution factors (10, 100 and 1000) are used in the calculations. Dilution factor of 100 is used for seawater. In the typical case scenario, only the short circulation is treated, and there is a connection to a pulp mill. The wastewater from the paper mill is diluted with the wastewater from the pulp mill as they are connected to the same WWTP. The effluent discharge of the local WWTP is set to 5000 m³/d¹. Slimicides are applied by a continuous low dose (37.5 ppm) or by a single defouling treatment with elevated doses (75 ppm).

PECs for PT 12 paper production processes - the reasonable worst case scenario (no connection to WWTP, direct release to surface water). Tiers 0 and 3 are explained in Section 2.2.2.5.

Treatment	Dilution factor	PEC _{water} µg/l
Defouling treatment		
Tier 0		
	10	4000
	100	4000
	1000	40
Tier 3		
	10	18
	100	1.8
	1000	0.18
Maintenance treatment		
Tier 0		
	10	2000
	100	200
	1000	20
Tier 3		
	10	9
	100	0.9
	1000	0.09

Table 6.1.8.2.2 PECs for PT 12 paper production processes - the typical case scenario (connection to WWTP). Tiers 0-3 are explained in Section 2.2.2.5.

Treatment	PEC _{wwtp} mg/l	PEC _{water} µg/l	PEC _{soil} mg/kg ww	PEC _{gw} µg/l	PEC _{air} mg/m ³
Defouling					
Tier 0	1.93	193	1.24	68.5	4.09E-07
Tier 1	0.727	72.7	1.20	66.4	2.75E-07
Tier 2	0.727	72.7	4.96E-08	8.46E-06	2.75E-07
Tier 3	0.0033	0.33	2.22E-10	3.78E-11	1.23E-09
Maintenance					
Tier 0	0.97	96.6	0.619	34.3	2.05E-07
Tier 1	0.364	36.4	0.600	33.3	1.38E-07
Tier 2	0.364	36.4	2.49E-08	4.23E-06	1.38E-07
Tier 3	0.0016	0.161	1.10E-10	1.88E-08	6.11E-10

2.3.4.7. Oilfield applications

Glutaraldehyde is also used in the mineral oil extraction and these uses are described here as PTs for oilfield applications are currently under discussion among the MS and the Commission, no specific PTs are assigned for the different oilfield uses².

Emissions and exposure from the oil production have been estimated according to CHARM (2005). Within CHARM, chemicals are categorised into four application groups: production chemicals, drilling chemicals, cementing chemicals and hydrotest chemicals. Glutaraldehyde is used in all applications groups.

Production chemicals are added either to the injection water or to the produced fluids in order to protect the installation, protect the reservoir, maintain production efficiency, or to separate the oil/gas and water. They partition between the produced fluids according to their hydrophilic properties. The fraction of the chemicals which dissolves in the produced water is released into the ambient seawater. Glutaraldehyde is used to control slime forming organisms in the injection water stream. The CHARM calculations estimate daily emissions to wastewater, taking into account of daily dose, and do not consider the interval between applications. A calculation was conducted adopting this approach, assuming a dose of 300 ppm for 1 hour (Tier 1). For further calculations, the application interval was taken into account to calculate an average daily dose and release. In order to investigate this, a maximum daily average dosage of 4.30 mg/l was considered (Tier 2).

² The PTs for oilfield uses were decided at 57th CA meeting in September 2014. Production chemicals (injection water) and hydrotesting water belong to PT 11 and drilling muds and cementing chemicals belong to PT 6. In the assessment report the oilfield uses are included in specific chapters, but in the opinions the oilfield uses are included in the opinions of PT 6 and PT 11 together with other uses allocated to these PTs.

Drilling muds are liquids used in drilling operations to cool and lubricate the bit, carry away drill cutting and to balance underground hydrostatic balance. Glutaraldehyde is used for preservation of water-based muds, typically within a use range of 125 - 300 ppm. In CHARM two scenarios for drilling muds are presented: continuous and batchwise. Due to their expense and perceived environmental impact, drilling muds are recovered and reused as much as possible. However, in order to take account of a worst-case situation, the batchwise scenario, which covers bulk discharge of muds following the completion of drilling section, was also considered. A dose rate of 300 ppm has been considered for both scenarios.

Glutaraldehyde is also used in the cementing chemicals. After the first sections of a well have been drilled, casings are inserted in the well and cemented into place. This is done by injecting cement down into the casing. The last casings to be cemented in a well are called the liners. A liner is a standard casing which does not extend all the way to the surface, but is hung from the inside of the previous casing string. When cementing a liner, a spacer is pumped into the annular prior to the cement slurry to separate the drilling fluid and the cement. Glutaraldehyde may be used in the spacer at a rate up to 500 ppm. The volume of cement slurry to be used is normally overestimated in order to ensure that there will be adequate cementing throughout the annulus. This excess cement is brought back to the surface along with the spacer, both of which will be heavily contaminated with the drilling mud. The CHARM manual presents a simple calculation for estimating potential release of chemical associated with spacer fluid.

For hydrotesting in oilfields Glutaraldehyde is used to control microbially induced corrosion to the inner surfaces of transit pipes during hydrostatic pressure testing. Glutaraldehyde should be dosed to give a final concentration in the total fluid of between 2 - 30 ppm. However, for the purposes of the calculation presented here, a realistic dose rate of 5 ppm has been assumed. Since hydrotesting chemicals are discharged with batches, no equilibrium situation will exist, and therefore it is irrelevant to estimate the sediment concentration.

According to applicant the Tier 1 calculations present unrealistic worst case as they do not take into account the potential dissipation during storage and use of drilling muds, cementing chemicals or hydrotest chemicals. The applicant has not provided measurements to support this statement. The dissipation is taken into account in Tier 2 calculations, but triggers a requirement in the label to measure Glutaraldehyde in oil production chemicals before release to the seawater. In order to achieve a PEC/PNEC ratio < 1 Glutaraldehyde must not exceed 0.4 ppm in drilling muds, 4 ppm in spacer fluid (cementing chemicals) and 0.05 ppm in hydrotest water.

The PECs for use of Glutaraldehyde as a slimicide or preservative in production, drilling, cementing and hydrotesting chemicals in mineral oil extraction are given below.

PECs for use of Glutaraldehyde in oil production.

Scenario	PEC _{seawater} µg/l
Produced water	
Tier 1	0.142
Tier 2	0.0499
Drilling chemical	
Continuous discharge	0.0438
Batchwise discharge, Tier 1	36.9
Batchwise discharge, Tier 2	0.0492
Cementing fluids	
Tier 1	6
Tier 2	0.048
Hydrotesting fluids	
Tier 1	5
Tier 2	0.049

2.3.5. Risk characterisation

The risk characterisation is done only for Glutaraldehyde since it does not contain additives or impurities which are considered as substances of concern. Glutaraldehyde does not form metabolites exceeding 10% in normal environmental conditions (Doc IIA, Section 5.1.1). Risk quotients (PEC/PNEC) based on the average consumption are reported here, but the PEC/PNEC ratios based on the tonnage scenarios are presented only in the Confidential Annex IIB and IIC.

Glutaraldehyde is applied for six product types and most of uses in these product types have a direct release to municipal sewage treatment plant (STP) or industrial waste water treatment plant (WWTP). Subsequently the risk characterisation is conducted for STP (WWTP), surface water (freshwater and for some uses also seawater) and soil. The PEC/PNEC ratios for these compartments are presented in separate sections for each product types. Risk characterisation for sediment has not been done, since Glutaraldehyde does not fulfil criteria for sediment risk assessment: it's readily biodegradable and it is not expected to adsorb to sediment, both log Kow and log Koc are less than three. Predicted No Effect Concentrations (PNECs) for STP, freshwater, seawater, marine sediment and soil are reported below. The PNECs are given as 100 % Glutaraldehyde.

PNECs for STP, freshwater, seawater and soil.

PNEC	Unit	Value
PNEC _{STP}	mg a.s./l	0.51
PNEC _{water}	µg a.s./l	2.5
PNEC _{seawater}	µg a.s./l	0.25
PNEC _{soil}	mg a.s./kg ww	0.184

2.3.5.1. Product Type 2

Glutaraldehyde is used for disinfection of hard surfaces in industry and hospitals. Acceptable risk is identified in surface water in all Tiers in the average consumption based scenario, and in the tonnage based scenario in Tiers 1 and 2. In STP and soil no unacceptable risk is identified. The groundwater concentrations are < 0.1 µg/l in Tier 2 in both the average consumption and tonnage based scenarios. According to ESD for PT 2 the risk assessment should be based on the tonnage based scenario in this case as the tonnage exceeds the break-even point and in such situations the average consumption based scenario would underestimate the emissions to the STP. Different guidance is given in the PT 1-6 Workshop Report (CA-Nov-08-Doc.6.3) which recommends using tonnage scenarios only for comparative purposes to check the validity of default values in the average consumption based scenarios. In this case the RMS considers that the tonnage based scenario confirms and validates the average consumption based scenarios.

The RMS' conclusion is that the applied uses of Glutaraldehyde in PT 2 (disinfection of industrial areas and sanitary purposes in medial sector) are acceptable concerning the environment.

PEC/PNEC ratios for STP, surface water and soil and PEC for groundwater for PT 2 scenarios. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil	PEC (µg/l) Groundwater
Industrial areas				
Tier 0	0.002	0.148	0.013	0.131
Tier 1	0.001	0.056	0.013	0.127
Tier 2	0.001	0.056	0.000	7.87E-12
Sanitary purposes in hospitals				
Tier 0	0.004	0.277	0.024	0.246
Tier 1	0.001	0.104	0.023	0.239
Tier 2	0.001	0.104	0.000	1.05E-11

2.3.5.2. Product Type 3

Glutaraldehyde is used for disinfection of poultry and pig fattening farms.

No unacceptable risk is identified in the STP and in the surface water in the scenario 'Laying hens in free range with litter floor' where a fraction of Glutaraldehyde released to STP is 0.2. No unacceptable risk is identified in the scenarios 'Sows in groups' and 'Laying hens in free range with litter floor' where direct release is assumed to slurry/manure which is later spread to soil. Neither unacceptable risk is identified for soil in the scenario 'Laying hens in free range with litter floor' where direct release is assumed to the STP and the sewage sludge is later spread to soil. Glutaraldehyde exceeds the groundwater quality standard of 0.1 µg/l (Directive 2006/118/EC) in the scenarios 'Sows in groups' where direct release is assumed to slurry/manure after one application to arable land and four application to grassland and after 10 successive years of manure application. However, when the refinement based on the reactivity of Glutaraldehyde in slurry/manure (Fresidue 0.01%) is applied, the concentration of Glutaraldehyde is below the quality standard. The quality standard is also exceeded in Tier 0 and 1, but not in Tier 2, in the scenario 'Laying hens in free range with litter floor' with an emission fraction of 0.2 directed to the STP.

Glutaraldehyde can be approved for PT 3 with respect to the environmental risk.

PIEC/PNEC ratios for soil (grassland and arable land) and PEC for groundwater for animal housing scenarios with exposure to slurry or manure.

Scenario	PIEC/PNEC Grassland-N	PIEC/PNEC Arable-N	PEC (µg/l) Groundwater Grassland	PEC (µg/l) Groundwater Arable land
Without degradation (one application to arable land and four applications to grassland)				
No refinement				
Sows in groups	0.722	0.180	22.6	5.7
Laying hens in free range with litter floor	0.165	0.041	5.2	1.3
Refinement: Fresidue 0.01%				
Sows in groups	7.22E-05	1.84E-05	2.26E-03	5.65E-04
Laying hens in free range with litter floor	1.66E-05	4.14E-04	5.20E-04	1.30E-04
10 successive years of manure application				
No refinement				
Sows in groups	0.183	0.130	5.7	4.1
Laying hens in free range with litter floor	0.042	0.030	1.32	0.94
Refinement: Fresidue 0.01%				
Sows in groups	1.83E-05	1.30E-05	5.73E-04	4.08E-04
Laying hens in free range with litter floor	4.20E-06	3.0E-06	1.32E-04	9.38E-05

PEC/PNEC ratios for STP, surface water and soil and PEC for groundwater for the scenario 'Laying hens in free range with litter floor' with exposure to the wastewater. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil	PEC (µg/l) Groundwater
Laying hens in free range with litter floor				
– Tier 0	0.045	0.908	0.082	0.807
– Tier 1	0.017	0.342	0.076	0.783
– Tier 2	0.017	0.342	1.5E-09	4.85E-08

2.3.5.3. Product Type 4

None of the scenarios leads to unacceptable risk in STP or soil. Unacceptable risk is identified in Tier 0 in scenarios "Entire plant: on-site STP" and "Surfaces in food processing areas: slaughterhouses". In other Tiers and all other scenarios no unacceptable risk is identified. The estimated groundwater concentrations exceed the quality standard of 0.1 µg/l (2006/118/EC) in Tier 0 and 1, but not in Tier 2 in following scenarios "Entire plant: off-site STP" and "Surfaces in food processing areas: slaughterhouses" and "Surfaces in large scale kitchens". The estimated groundwater concentration is below the quality standard in all relevant scenarios in Tier 2.

The conclusion of the RMS is that the environmental criteria for approval of glutaraldehyde for PT4 are fulfilled.

PEC/PNEC ratios for STP, surface water and soil and PEC for groundwater for PT 4 scenarios. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil	PEC (µg/l) Groundwater
Entire plant: on-site (WWTP)				
Tier 0	-	6.4	-	-
Tier 1	-	0.499	-	-
Entire plant: off-site (STP)				
Tier 0	0.035	0.724	0.065	0.643
Tier 1	0.014	0.273	0.06	0.624
Tier 2	0.014	0.273	1.23E-09	3.78E-08
Surfaces in food processing areas: slaughterhouses				
Tier 0	0.122	2.46	0.217	2.19
Tier 1	0.045	0.928	0.207	2.12
Tier 2	0.045	0.928	2.98E-09	9.34E-11
Surfaces in large scale kitchens				
Tier 0	0.023	0.492	0.043	0.437
Tier 1	0.010	0.186	0.043	0.424
Tier 2	0.010	0.186	5.98E-10	1.87E-08

2.3.5.4. Product Type 6

Glutaraldehyde is used a preservative in household detergents and in paper additives in paper industry. Preservation of household detergents is recognized as a safe use, i.e. no unacceptable risk is identified in STP, surface water and soil and the predicted groundwater concentration is less than 0.1 µg/l. Glutaraldehyde can also be used safely as a preservative in detergents for professional use and in paper industry as demonstrated in the Tier 2 or 3 calculations. Based on the safe use in detergents Glutaraldehyde can be approved for PT 6 with respect to the environmental risk.

PEC/PNEC ratios for STP, surface water and soil and PEC for groundwater for PT 6 scenarios. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil	PEC (µg/l) Groundwater
Sanitary purpose (PT 2)				
Tier 0	0.003	0.062	0.005	0.055
Tier 1	0.001	0.023	0.005	0.053
Tier 2	0.001	0.023	7.45E-11	2.33E-12
Detergents for professional use				
Tier 0, Fpenetr = 1	0.018	0.359	0.033	0.351
Tier 1, Fpenetr = 1	0.006	0.134	0.033	0.305
Tier 2, Fpenetr = 1	0.006	0.134	1.66E-12	5.19E-12
Paper production: preservative for additives(newsprint)				
Tier 0	0.024	0.488	0.043	0.432
Tier 1	0.010	0.184	0.043	0.419
Tier 2	0.010	0.184	1.68E-09	5.32E-08
Tier 3	0.00004	0.00008	6.85E-12	2.15E-10
Paper production: preservative for additives (printing and writing paper)				
Tier 0	0.051	1.06	0.092	0.94
Tier 1	0.020	0.40	0.087	0.91
Tier 2	0.020	0.40	1.70E-09	5.34E-08
Tier 3	0.00010	0.002	7.88E-12	2.49E-10

2.3.5.5. Product Type 11

Glutaraldehyde is used as a preservative in open and closed recirculating cooling systems. Preservation of water in closed recirculating cooling systems with connection to the STP is recognized as a safe use, i.e. no unacceptable risk is identified in STP, surface water and soil. The groundwater concentration closest to the 80th percentile were < 0.001 µg/l in all scenarios in both PEARL 4.4.4 and PELMO 5.5.3 simulations. Thus, Glutaraldehyde can be included approved for PT 11 with respect to the environmental risk. Concerning the use in small open recirculating cooling systems the refinement of the risk assessment and/or risk reduction measures are needed. At the TM III 2013 it was agreed that the applicants will provide more data for the inclusion of degradation rate in cooling systems for the product authorization stage.

PEC/PNECs for PT 11 scenarios without connection to STP (direct release to surface water).

Scenario	PEC/PNEC Freshwater	PEC/PNEC Seawater	PEC/PNEC Soil drift
Small open recirculation cooling systems			
Defouling treatment		354.8	0.011
Dilution factor 350	101.2		
Dilution factor 1000	35.48		
Maintenance treatment		804	1.09
Dilution factor 350	229.6		
Dilution factor 1000	80.4		

PEC/PNEC ratios for STP, surface water and soil and PEC for groundwater for PT 11 scenarios with connection to the STP. Tiers 0-2 are explained in Section 2.2.2.5.

Scenario	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil	PEC/PNEC Soil drift	PEC (µg/l) Groundwater
Small open recirculation cooling systems					
Defouling treatment					
Tier 0	0.514	10.5	0.913	0.011	9.31
Tier 1	0.194	3.9	0.886	0.011	9.03
Tier 2	0.194	3.9	3.6E-12	0.011	1.53E-12
Maintenance treatment					
Tier 0	1.163	23.8	2.071	1.09	21.1
Tier 1	0.439	9	2.071	1.09	20.5
Tier 2	0.439	9	3.3E-08	1.09	1.04E-09
Closed recirculation cooling systems					
Defouling treatment					
Tier 0	0.0001	0.002	0.0002		0.0021
Tier 1	4.37E-05	0.0009	0.0002		0.002
Tier 2	4.37E-05	0.0009	1.10E-14		3.46E-13
Maintenance treatment					
Tier 0	2.90E-05	0.0006	5.15E-05		5.25E-04
Tier 1	1.09E-05	0.0002	4.99E-05		5.09E-04
Tier 2	1.09E-05	0.0002	2.76E-16		8.65E-17

2.3.5.6. Product Type 12

Glutaraldehyde is used as a slimicide in pulp and paper industry. Safe use is recognized in Tier 3 both in the reasonable worst case and typical case scenario. Unacceptable risk was identified in Tiers 0-2 in the reasonable worst case scenario. In the typical case scenario unacceptable risk was identified in Tier 0 in the WWTP, in Tier 0-2 in the surface water and in Tier 0-1 in soil. The groundwater quality standard was exceeded in Tier 0-1 in the typical case scenario, but not in Tier 2-3. To conclude, Glutaraldehyde can be approved for PT 12 with respect to the environmental risk.

PEC/PNECs for PT 12 paper production processes - the worst case scenario (no connection to WWTP, direct release to surface water).

Treatment	Dilution factor ¹	PEC/PNEC Freshwater	PEC/PNEC Seawater
Defouling treatment			
Tier 0			
	10	1600	
	100		1600
	1000	16	
Tier 3			
	10	7.2	
	100		7.2
	1000	0.072	
Maintenance treatment			
Tier 0			
	10	800	
	100		800
	1000	8	
Tier 3			
	10	3.6	
	100		3.6
	1000	0.036	

¹The dilution factors 10,100 and 1000 were selected since TMIII/2011 agreed that dilution factors cannot be lower than 10 and the maximum dilution factor is 1000. Dilution factor of 100 is used for coastal dilution.

PEC/PNECs for PT 12 paper production processes - the typical case scenario (connection to WWTP).

Scenario	PEC/PNEC WWTP	PEC/PNEC Water	PEC/PNEC Soil	PEC (µg/l) Groundwater
Defouling				
Tier 0	3.784	77.2	6.739	68
Tier 1	1.425	29.08	6.522	66.4
Tier 2	1.425	29.08	4.598E-05	8.48E-06
Tier 3	0.006	0.132	2.0154E-10	4.78E-11
Maintenance				
Tier 0	1.902	38.84	3.364	34.3
Tier 1	0.714	14.56	3.261	33.3
Tier 2	0.714	14.56	2.49E-08	4.23E-06
Tier 3	0.003	0.064	1.10E-10	1.88E-08

2.3.5.7. Oilfield applications

Glutaraldehyde is used in various applications in mineral oil extraction and these uses are reported here because the PTs for oilfield uses are currently under discussion³. An unacceptable risk for seawater is identified for all oil production uses when dissipation is not taken into account (Tier 1) apart from the produced water and the drilling chemical use with continuous release. In all cases the risk is acceptable when the degradation is taken into account (Tier 2). It is concluded that Glutaraldehyde can be approved with the following specific provision: The label must include an obligation to measure Glutaraldehyde in oil production chemicals before they are released to seawater. Glutaraldehyde must not exceed 0.4 ppm in drilling mud, 4 ppm in spacer fluid (cementing chemical) and 0.05 ppm in hydrotest water.

³ The PTs for oilfield uses were decided at 57th CA meeting in September 2014. Production chemicals (injection water) and hydrotesting water belong to PT 11 and drilling muds and cementing chemicals belong to PT 6. In the assessment report the oilfield uses are included in specific chapters, but in the opinions the oilfield uses are included in the opinions of PT 6 and PT 11 together with other uses allocated to these PTs.

PEC/PNEC ratios for oil production.

Scenario	PEC/PNEC Seawater
Produced water	
Tier 1	0.568
Tier 2	0.200
Drilling chemical	
Continuous discharge	0.180
Batchwise discharge, Tier 1	146
Batchwise discharge, Tier 2	0.197
Cementing fluids	
Tier 1	24
Tier 2	0.192
Hydrotesting fluids	
Tier 1	20
Tier 2	0.196

2.3.6. Aggregated exposure

According to the Article 10(1) of the Biocidal Products Directive 98/8/EC cumulation effects from the use of biocidal products containing the same active substance should be taken into account, where relevant. A cumulative risk assessment for Glutaraldehyde is relevant, since there might be overlapping emissions from one or several PTs into the same environmental compartment. The cumulative assessment is explained in detail in Doc IIC, Section 13.9 and the exact values from the calculations can be found in Confidential Annex to IIB and IIC.

The emission estimates (Elocals) were added up, if possible overlapping emissions into same environmental compartment was identified within one PT or between PTs. Tonnage based scenarios were used for formulation and service-life of detergents and formulation of paper additives in PT 6 and paper deinking in PT 12, for other uses the average consumption based scenarios were used. The cumulative Elocal then served as an input value for EUSES calculations of PECs.

Within PTs all emissions into same environmental compartment were considered relevant with three exceptions:

- The emissions of Glutaraldehyde in slurry/manure were not added up in PT 3. The overlapping application of slurry/manure to arable land from both piggery and henhouse was considered unrealistic due to the requirements of the nitrogen immission standard, i.e. a maximum load on an agricultural soil or grassland is assumed in the PIEC-calculations and therefore it is not allowed to spread further amounts of slurry or manure to the soil.

- It was assumed that emissions from the service life of paper production (PT 6) are discharged to an industrial wastewater treatment plant (WWTP), whereas emissions from the formulation phase were released to a municipal sewage treatment plant.

Relevant scenarios for cumulative risk assessment within PT are marked with an "x" in Table below.

For cumulative assessment between PTs the emissions from detergent uses in PT 2 and PT 6 are added up, since they represent wide dispersive use pattern. These scenarios are marked with "a" in Table below. Generally the emissions of non-dispersive uses (e.g. industrial use) are not relevant for cumulative assessment, since it is unlikely that the emissions end up into the same local STP or environmental compartment. However, the non-dispersive uses may become relevant if they overlap with wide dispersive uses. In the case of Glutaraldehyde there are numerous possible overlapping combinations of emissions from wide dispersive uses and industrial, non-dispersive disinfection/preservation uses. These scenarios are marked with "(a)". For the cumulative assessment RMS selected a combination of wide dispersive use and one industrial use at a time, since it is unlikely that all industries are situated in the same catchment area.

Concerning the paper production, the RMS assumed that there is a common WWTP (wastewater volume 5000 m³/d) for wet-end and dry-end operations of newspaper in PT 12 and PT 6, respectively. These possible overlapping emissions from paper production are marked with "b". RMS further recognized that newsprint paper is produced mainly from recycled paper and thus the emissions from paper deinking may also overlap with wet-end and dry-end operations. However, since the default wastewater volume of 2000 m³/d is adequate for the emissions of printing and writing paper and for paper deinking, it is assumed that their wastewater are released to a separate WWTP (wastewater volume 2000 m³/d).

The cumulative assessment for oil production not performed and thus they are not included in the Summary Table.

Summary of local emissions and their relevance for cumulative risk assessment within PT and between PTs.
Tier 3 has been used for service-life of paper additives in PT 6 and for slimicides in PT 12.

Product Type	Scenario type	Emission point	Elocal	Relevance within PT	Relevance between PTs
PT 2					
Disinfection of industrial areas	Cons.	STP	0.06 kg/d	x	a
Sanitary purposes in hospitals	Cons.	STP	0.113 kg/d	x	a
	Tonn.	STP	See Conf. Annex		
PT 3					
Disinfection of animal housing					
Sows in group	Cons.	Slurry/manure	0.444 kg/application		
Laying hens in free range with litter floor	Cons.	STP Slurry/manure	0.369 kg/d 0.553 kg/application		(a)
PT 4					
Food vessels/machinery disinf.	Cons.	STP	0.2 and 1 kg/d	x	(a)
Food processing surface disinf.	Cons.	STP	0.294 kg/d	x	(a)
PT 6					
In-can preservative in detergents					
Formulation	Tonn.	STP	See Conf. Annex	x	(a)
Service life, private use	Cons.	STP	0.025 kg/d		
professional use	Cons.	STP	0.144 and 0.072 kg/d		
	Tonn.	STP	See Conf. Annex	x	a
In-can preservatives in paper additives					
Formulation	Tonn.	STP	See Conf. Annex	x	(a)
Service life (newspaper), Tier 3	Cons.	WWTP (5000 m ³ /d)	0.004kg/d		b
Service life (printing and writing paper), Tier 3	Cons.	WWTP	0.001 kg/d		
PT 11					
Preservatives for open recirculating cooling systems					
Small	Cons.	Surface water or STP	4.26 kg/d defouling	x	(a)
Preservatives for closed recirculating cooling systems	Cons.	STP	9.6E-04 kg/d defouling	x	(a)
PT 12					
Slimicides for paper production					
Worst case, Tier 3	Cons.	Surface water	0.9 kg/d defouling		
Typical case, Tier 3	Cons.	WWTP (5000 m ³ /d)	0.35 kg/d defouling		b
Paper de-inking, Tier 3	Tonn.	WWTP	See Conf. Annex		

No unacceptable risk is identified in STP or soil and the groundwater concentrations are $< 0.1 \mu\text{g/l}$ either within PT or between PTs. No unacceptable risk is identified within PT 2 and PT 6 in surface water. The unacceptable risk, however, is identified in surface water within PT 4 and PT 11, in combination of wide dispersive use (PT 2, PT 6) and one industrial use of PT 4 or PT 11 at a time (PT 3, PT 4, PT 6, PT 11). Since confidential tonnage data is used in almost all PEC calculations, the PECs and exact PEC/PNEC ratios are presented in the Confidential Annex to Doc IIB and IIC. Here only an overview of whether PEC/PNEC ratios exceed or undercut one is given (Table below).

At the moment there is no regulatory interpretation how an identified unacceptable cumulative risk should be taken into account when approving active substances, since for approval one safe use is sufficient. Thus, approval of Glutaraldehyde is not based on the outcome of this cumulative risk assessment. However, it is important to bring out that a potential cumulative risk is identified.

For the time being the methodology for the cumulative risk assessment is not harmonized. This assessment was performed also to gain experience of how to perform a cumulative risk assessment and to bring out issues that should be taken into account when the guidance is developed. The main issues noticed were the reliability of tonnage data, from which PTs the emissions are summed up and what kind of and how many industrial factories are present in catchment area of a STP.

Cumulative PEC/PNEC ratios for STP, surface water and soil and within PTs. The exact PEC/PNEC ratios are given in Confidential Annex to Doc IIB and IIC.

Product Type	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil
PT 2	< 1	< 1	< 1
PT 4	< 1	> 1	< 1
PT 6	< 1	< 1	< 1
PT 11 (defouling)	< 1	> 1	< 1

Cumulative PEC/PNEC ratios for STP, surface water and soil and between PTs. The exact PEC/PNEC ratios are given in Confidential Annex to Doc IIB and IIC.

Uses and Relevant Product Types	PEC/PNEC STP	PEC/PNEC Water	PEC/PNEC Soil
Wide dispersive use (PT 2, 6)	< 1	<1	< 1
Wide dispersive use (PT 2, 6) and laying hens (PT 3)	< 1	<1	< 1
Wide dispersive use (PT 2, 6) and food vessels/machinery disinfection (PT 4)	< 1	> 1	< 1
Wide dispersive use (PT 2, 6) and formulation of in-can preservative in detergents (PT 6)	< 1	<1	< 1
Wide dispersive use (PT 2, 6) and formulation in paper production (PT6)	< 1	<1	< 1
Wide dispersive use (PT 2, 6) and preservatives for small open recirculating cooling system (PT 11), defouling	< 1	> 1	< 1
Wide dispersive use (PT 2, 6) and preservatives for closed recirculating cooling systems (PT 11)	< 1	> 1	< 1
Paper production (PT6, PT12)	< 1	<1	< 1

2.4. Assessment of endocrine disruptor properties

Glutaraldehyde is not included in the priority list of substances for further evaluation of their role in endocrine disruption established within the Community Strategy for Endocrine Disruptors (COM (1999) 706, COM (2001) 262). Available evidence at this time indicates that glutaraldehyde does not have endocrine-disrupting properties (classification criteria specified in Art. 5(3) are not met, no effects on endocrine organs and/or reproduction were observed in standard toxicity studies to raise a concern for potential endocrine disruption).

2.5. Overall conclusions

a) Presentation of the active substance and representative biocidal product including classification of the active substance

Please refer to 2.1.1 and 2.1.3.

b) Intended use, target species and effectiveness: containing a description of the use(s) evaluated in the assessment report

Please refer to 2.1.2.

c) Risk characterization for human health

Please refer to 2.2.1.

d) Risk characterisation for environment

Please refer to 2.2.2.

e) Substitution and exclusion criteria

Glutaraldehyde does not meet any of the exclusion criteria of Article 5(1). Glutaraldehyde is a respiratory sensitizer and meets therefore the substitution criteria of Article 10(1b). Glutaraldehyde does not meet other substitution criteria.

f) Overall conclusion evaluation including need for risk management measures

Glutaraldehyde has been assessed for approval of Directive 98/8/EC in Product Types 2, 3, 4, 6, 11 and 12.

Glutaraldehyde poses no risks to humans through the physical-chemical properties of the active substance. There are sufficient analytical methods for glutaraldehyde for approval, but the methods need to be updated for product authorization in order to meet the requirements as updated in the guidance agreed at the 33rd meeting of representatives of Members States Competent Authorities for the implementation of Directive 98/8/EC concerning the placing of biocidal products on the market (May 2009).

Sufficient efficacy has been demonstrated against bacteria in a number of real and simulated uses. The fungicidal, sporicidal, mycobactericidal, virucidal and algicidal efficacy and efficacy against biofilms were not demonstrated for the intended use concentration. Resistance to glutaraldehyde in certain mycobacteria strains has been reported in hospitals. The recommended resistance management strategy is to vary the products used, to use more than one product simultaneously, or to alternate treatment regimes and monitor occurrence of resistance.

Glutaraldehyde is a respiratory sensitizer category 1 according to the CLP Regulation. Respiratory sensitization has been linked with high peak exposure concentrations, and therefore $AEC_{acute\ inhalation}$ (122 ppb) should be regarded as a ceiling value that should never be exceeded. The $AEC_{inhalation}$ (2.6 ppb) is considered as a reference value that is likely to be protective for sensitization effects as well.

Due to skin sensitisation of glutaraldehyde, gloves and coverall must always be used when exposure is possible to the glutaraldehyde containing products classified as skin sensitising.

The risk characterisation for human health indicates that there are uses for which no unacceptable risk is anticipated based on conventional Tier 1 or Tier 2 assessment methods for professional or non-professional users with the intended use of the biocidal product in Product Types 3, 4, 6, 11 and 12. There were some critical scenarios (no. 9 and other 8 similar scenarios in connecting drum to pump or mixing and loading) at Tier 2 level where risk was identified for the professional user. However, refinement of the

assessment by using more efficient RPE (APF 40) it is concluded that the risk for professional users is at acceptable level also in those scenarios. Child entering the barn disinfected by the PT3 product during the re-entry period must be prevented. An unacceptable risk was identified in the application scenario 2 (mopping and wiping) in PT 2. Chronic inhalation exposure in this scenario is acceptable if only mopping is performed. No risk was identified due to secondary indirect exposure.

A repeated dose toxicokinetics study is usually required for risk assessment of biocidal active substances. Since single dose studies provided no indication of accumulation in any tissue, and because of the rapid metabolism, the eCA does not consider the lack of this study to be a data gap.

At least one use of glutaraldehyde in Product Types 2, 3, 4, 6, 11 and 12 has been recognized as a safe use concerning the environment, i.e. the PEC/PNEC ratio is less than 1. In addition, glutaraldehyde concentration in groundwater is predicted to be below 0.1 µg/L. Glutaraldehyde does not fulfil the exclusion criteria of Art. 5 in regulation (EU) No 528/2012, i.e. it does not meet criteria of being a PBT, vPvB or POP substance. Glutaraldehyde has not been suspected for endocrine disrupting effects.

2.6. List of endpoints

The most important endpoints, as identified during the evaluation process, are listed in [Appendix I](#).

2.7. Elements to be taken into account by Member States when authorising products

- While the active as manufactured is the product this does not negate the need to determine relevant physical and chemical properties related to the product. The data generated for the active does not cover all aspects. A two year storage stability and shelf-life test at ambient temperature is required. Furthermore other product information should be submitted on technical properties including information on persistent foaming and dilution stability for relevant for types of application (spraying), as well as application relevant information on compatibilities with other products.

2.8. Requirement for further information

Sufficient data have been provided to verify the conclusions on the active substance, permitting the proposal for the approval of glutaraldehyde.

- A new analytical method for the determination of glutaraldehyde in air should be submitted. Data must be provided as soon as possible but no later than 6 months before the date of approval to the evaluating Competent Authority (eCA).

- A confirmatory analytical method for the determination of glutaraldehyde in the technical material should be submitted for one of the applicants (BASF). The applicant should also submit an analytical method for determination of impurities in the technical material, or submit adequate validation data on the existing ones including recovery, repeatability, and LOQ. Data must be provided as soon as possible but no later than 6 months before the date of approval to the evaluating Competent Authority (eCA).
- Confirmatory methods should be submitted for one of the applicants (Dow) for determination of glutaraldehyde and the impurity in aqueous formulations of glutaraldehyde. Data must be provided as soon as possible but no later than 6 months before the date of approval to the evaluating Competent Authority (eCA).

2.9. Updating this Assessment Report

This assessment report may need to be updated periodically in order to take account of scientific developments and results from the examination of any of the information referred to in Regulation (EU) 528/2012. Such adaptations will be examined and finalised in connection with any amendment of the conditions for the Approval of glutaraldehyde.

2.10. Candidacy for substitution

The active substance glutaraldehyde is considered as a candidate for substitution, and consequently the competent authority shall perform a comparative assessment as part of the evaluation of an application for either national or Union authorisation.


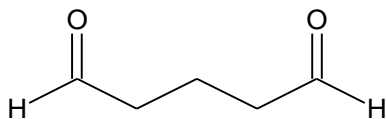
A public consultation on glutaraldehyde in PT 2, 3, 4, 6, 11 and 12 took place from 17/12/2013 to 15/02/2014. Comments included information on the availability of alternative active substances and information claiming the essentiality of glutaraldehyde in product types 2, 3 and 4. Summary of public consultation can be found in Confidential Annex.

Appendix I: List of endpoints

Chapter 1: Identity, Physical and Chemical Properties, Classification and Labelling

Active substance (ISO Common Name)	Glutaraldehyde
Product-type	2, 3, 4, 6, 11, 12

Identity

Chemical name (IUPAC)	1,5-pentanedial
Chemical name (CA)	Glutaraldehyde
CAS No	111-30-8
EC No	203-856-5
Other substance No.	
Minimum purity of the active substance as manufactured (g/kg or g/l)	Glutaraldehyde content in the aqueous solution is in a range of 48.5-52.5 % (wt), 485-525 g/kg. The theoretical dry weight specification: minimum purity is 95.0 % (wt), 950 g/kg. The applicant specific information and specifications are in the confidential documents [Doc III A4.1/02 confidential (Dow) and Doc V Confidential (BASF) in detail].
Identity of relevant impurities and additives (substances of concern) in the active substance as manufactured (g/kg)	 The specifications are in the confidential documents [Doc III A4.1/02 confidential (Dow) and Doc V Confidential (BASF)].
Molecular formula	C ₅ H ₈ O ₂
Molecular mass	100.11 g/mol
Structural formula	

Physical and chemical properties

Melting point (state purity)	Peak maximum ca. -18 °C (BASF) -18 to -21.2 °C () (Dow)
Boiling point (state purity)	101.5 °C at 987.1 hPa () (BASF) 100.7 °C at 1013 hPa () (Dow)
Temperature of decomposition	1.Peak: Onset temperature: 85 °C Peak temperature: 246 °C 2.Peak: Onset temperature: 330 °C Peak temperature: 385 °C (BASF) For Dow, there is no information, but this is not an absolute requirement in case the temperatures of melting and boiling have been determined, according to Guidance on information requirements.
Appearance (state purity)	Free flowing clear liquid () (BASF) Clear colourless liquid, sharp odour () (Dow)
Relative density (state purity)	1.129 () (BASF, Dow)
Surface tension	ca. 68 mN/m at 20 °C, () (BASF) 72.4 mN/m at 20 °C, () (Dow)
Vapour pressure (in Pa, state temperature)	44 Pa at 20 °C (BASF, Dow), ()
Henry's law constant (Pa m ³ mol ⁻¹)	0.0086 Pa×m ³ /mol (calculated by RMS)
Solubility in water (g/l or mg/l, state temperature)	pH 5, 7, 9 (20.2±/ 0.1 °C): miscible (BASF) pH not measured: ≥ 51.3 g/100ml at 21 °C (Dow) Glutaraldehyde is not expected to ionize in water based on its chemical structure, therefore testing at different pH values was not considered necessary (Dow).
Solubility in organic solvents (in g/l or mg/l, state temperature)	Methanol: fully soluble 1,4-dioxane: fully soluble at 20 °C and at 30 °C (BASF) Isopropanol: fully soluble (≥ 51.3 g/100 ml) Acetone: fully soluble (≥ 51.3 g/100 ml) Ethyl acetate: 59 g/100 ml Dichloromethane: 70 g/100 ml n-hexane: 0.19 g/100 ml Toluene: 8.5 g/100 ml at 21 °C (Dow)
Stability in organic solvents used in biocidal	Not applicable (organic solvents not used in biocidal

products including relevant breakdown products	products)																					
Partition coefficient (log P _{OW}) (state temperature)	pH 5 : -0.41 at 23 +/- 1 °C pH 9 : -0.80 at 23 +/- 1 °C pH 7 : -0.36 at 23 +/- 1 °C (BASF) pH not reported: -0.33 at 25 °C (Dow)																					
Hydrolytic stability (DT ₅₀) (state pH and temperature)	See Ch. 4: Fate and Behaviour in the Environment																					
Dissociation constant	Glutaraldehyde has no ionisable groups, and no ionisation/dissociation in water is expected.																					
UV/VIS absorption (max.) (if absorption > 290 nm state ε at wavelength)	<table border="1"> <thead> <tr> <th></th> <th>λ_{max} [nm]</th> <th>ε [l*mol⁻¹*cm⁻¹]</th> </tr> </thead> <tbody> <tr> <td>neutral</td> <td>234</td> <td>14.9</td> </tr> <tr> <td>neutral</td> <td>282</td> <td>5.9</td> </tr> <tr> <td>acidic</td> <td>234</td> <td>14.5</td> </tr> <tr> <td>acidic</td> <td>282</td> <td>6.1</td> </tr> <tr> <td>basic</td> <td>235</td> <td>478.2</td> </tr> <tr> <td>basic</td> <td>283</td> <td>22.3</td> </tr> </tbody> </table> <p>max. at 234 nm. There are no peaks above 290 nm. The ε is below 10 at wavelengths of 290 nm or greater. (BASF, Dow)</p>		λ _{max} [nm]	ε [l*mol ⁻¹ *cm ⁻¹]	neutral	234	14.9	neutral	282	5.9	acidic	234	14.5	acidic	282	6.1	basic	235	478.2	basic	283	22.3
	λ _{max} [nm]	ε [l*mol ⁻¹ *cm ⁻¹]																				
neutral	234	14.9																				
neutral	282	5.9																				
acidic	234	14.5																				
acidic	282	6.1																				
basic	235	478.2																				
basic	283	22.3																				
Photostability (DT ₅₀) (aqueous, sunlight, state pH)	See Ch. 4: Fate and Behaviour in the Environment																					
Quantum yield of direct phototransformation in water at Σ > 290 nm	See Ch. 4: Fate and Behaviour in the Environment																					
Flammability	Not flammable, ██████████ (BASF, Dow) Auto Ignition Temperature = 395 °C at 1002 – 1006 hPa (BASF)																					
Explosive properties	Not explosive (BASF, Dow)																					

Classification and proposed labelling

with regard to physical/chemical data

with regard to toxicological data

No classification

RAC 29 (2-6 June 2014) opinion on classification and labelling of glutaraldehyde (100%) according to Regulation 1272/2008:

Acute Tox. 3; H301

Acute Tox. 2; H330

Skin Corr. 1B; H314

Resp. Sens. 1; H334

Skin Sens. 1A; H317

STOT SE 3; H335, SCL C \geq 0.5 %

EUH071

with regard to ecotoxicological data

RAC 29 (2-6 June 2014) opinion on classification of glutaraldehyde (100%) according to Regulation 1272/2008:

Aquatic Acute 1; H400

Aquatic Chronic 2; H411

M Factor:

M = 1; Aquatic Acute 1

Chapter 2: Methods of Analysis**Analytical methods for the active substance**

Technical active substance (principle of method)

Potentiometric titration (BASF)

HPLC-UV (Dow)

Titration (Dow)

For additional information required at product authorisation see Doc IIA and the Doc IIAs.

Impurities in technical active substance (principle of method)

GC-MS-FID (BASF)

Karl-Fisher titration (BASF)

GC-TCD (Dow)

IEC-CD (Dow)

For additional information required at product authorisation see Doc IIA and the Doc IIAs.

Analytical methods for residues

Soil (principle of method and LOQ)

Waived, Persistence or accumulation of glutaraldehyde or its metabolites in soil is not expected (BASF)

LC-MS/MS, 0.05 mg/kg (Dow)

The method is not required since the $DT_{50} < 3$ days

Air (principle of method and LOQ)

[HPLC/UV, 18 $\mu\text{g}/\text{m}^3$ (BASF)HPLC/UV, 55.0 ng/sample (STS: 0.44 ppb or 1.8 $\mu\text{g}/\text{m}^3$; LTS: 0.027 ppb or 0.11 $\mu\text{g}/\text{m}^3$) (Dow)]

It has been agreed that a new method will be submitted before product authorisation

Water (principle of method and LOQ)

GC-MS, LOQ = 0.05 $\mu\text{g}/\text{l}$ (for drinking water and surface water) (BASF)LC-MS-MS, 0.1 $\mu\text{g}/\text{l}$ (for drinking water and surface water) (Dow)

Body fluids and tissues (principle of method and LOQ)

Rat blood: GC-MS, 20 ng/g (Dow)
Body tissues: waived (BASF, Dow) It is technically impossible at this time to analyse glutaraldehyde in animal tissues as the glutaraldehyde will react with the biological material, followed by rapid metabolisation and elimination.

Food/feed of plant origin (principle of method and LOQ for methods for monitoring purposes)

Waived (BASF, Dow) The product is not intended to be added to food and feedstuffs or be used in facilities during food processing. Only by accident may trace amounts of glutaraldehyde be on the surface of food and feedstuffs. Due to evaporation, photodegradation and rapid reactions with proteins, only trace amounts would be expected even in the case of accident.

Food/feed of animal origin (principle of method and LOQ for methods for monitoring purposes)

Waived (BASF, Dow). It is technically impossible at this time to analyse glutaraldehyde in animal tissues as the glutaraldehyde will react with the biological material, followed by rapid metabolisation and elimination.

Chapter 3: Impact on Human Health

Absorption, distribution, metabolism and excretion in mammals

Rate and extent of oral absorption:	Approx. 37 to 51 % for both sexes depending on dose level and method of calculation (measured as radioactivity of ¹⁴ C labelled GA). (Dow, BASF) Oral absorption of 40 % is proposed for estimating the systemic dose.
Rate and extent of dermal absorption for the active substance:	10 % is proposed based on weight of evidence.
Rate and extent of dermal absorption for the representative product(s) ⁴ :	
Distribution:	All organs and tissues (radioactive label)
Potential for accumulation:	No potential for accumulation
Rate and extent of excretion:	Rapid and almost complete, independent of the sex
Toxicologically significant metabolite(s)	Metabolites are poorly known, but none expected to be toxicologically significant

Acute toxicity

Rat LD ₅₀ oral	77 mg/kg bw (for pure GA); R25 (Dow)
Rat LD ₅₀ dermal	> 1000 mg/kg bw (for pure GA; highly dependent on concentration) (BASF)
Rat LC ₅₀ inhalation	0.35 mg/L in male rats and 0.8 mg/L in female rats; R23 (BASF, Dow)
Skin irritation	Corrosive, R34 (Dow, BASF)
Eye irritation	Corrosive, R41 (Dow, BASF)
Skin sensitization (test method used and result)	Sensitising; Guinea pig maximisation test R43 (Dow, BASF)

Repeated dose toxicity

Species/ target / critical effect	Rat / kidney / increased kidney weight coupled with a slight increase in urea nitrogen in females (Dow, BASF) Mouse / kidney / increased kidney weight (Dow) Dog / GI tract / increased incidence of vomiting (Dow)
Lowest relevant oral NOAEL / LOAEL	NOAEL 2.9 mg/kg bw/day (2.9 and 3.6 mg/kg bw/day for males and females, respectively), rat (Dow, BASF)
Lowest relevant dermal NOAEL / LOAEL	NOAEL/LOAEL not established: skin irritation but no systemic effects
Lowest relevant inhalation NOAEL / LOAEL	LOAEC 0.26 µg GA/L, mice (local irritant effects; no indications of systemic toxicity other than secondary to irritation) (Dow)

Genotoxicity

In vitro: Positive results in Ames test (Dow, BASF),

⁴ Please consider Q5 on *Derivation of dermal absorption values* of section 4.1.1 of the Manual of Technical Agreements (MOTA) version 5.

sister chromatid exchange assay (BASF), *in vitro* chromosomal aberration assay (BASF), Forward mutation assay (Dow, BASF).
In vivo: Slightly positive in an intraperitoneal *in vivo* micronucleus test and equivocal in all oral studies presumed due to test substance not reaching the target organ. (BASF)

Carcinogenicity

Species/type of tumour

Large Granular Lymphocytic Leukaemia in female rats (Dow)
 Testis Leydig cell adenomas in male rats (BASF)

lowest dose with tumours

LGLL: 5.5 mg/kg bw/day (2-year oral study; not treatment related) (Dow)
 Leydig cells: 3.5 mg/kg bw/day (2-year oral study) (BASF)

Reproductive toxicity

Species/ Reproduction target / critical effect

1. Increased resorption rate, increased post-implantation losses, reduction in mean placental weights (Teratogenicity study in rabbits; Dow, BASF)
2. Testes Leydig cell hyperplasia, cystic degeneration (2-year oral study in [redacted] rats; BASF)
3. Testes consistency changes (2-year oral study in [redacted] rats; Dow)
4. Diffuse degeneration of the testes (1-year oral study in [redacted] rats; BASF)

Due to the nature and incidence of the findings there is no ground for classification for teratogenicity. Glutaraldehyde had little effect on any reproduction parameters even at maternally toxic doses and there is no ground for classification for fertility effects.

Lowest relevant reproductive NOAEL / LOAEL

1. NOAEL 15 mg/kg bw/day
2. LOAEL 3.5 mg/kg bw/day
3. NOAEL 3.6 mg/kg bw/day
4. NOAEL 3.2 mg/kg bw/day

(The numbers refer to the studies as indicated above)

Species/Developmental target / critical effect

None in rabbits or rats (Dow, BASF)

Developmental toxicity

Lowest relevant developmental NOAEL / LOAEL

Not relevant

Neurotoxicity / Delayed neurotoxicity

Species/ target/critical effect

None

Lowest relevant developmental NOAEL / LOAEL.

Not relevant

Other toxicological studies

Respiratory irritation

Moderately potent peripheral sensory irritant; Peripheral sensory irritation test, in mice (BASF)

Respiratory sensitisation

Potential respiratory sensitizer; Mouse IgE test (Dow)

Medical data

Cohort studies and case studies have identified respiratory and skin sensitization as the main effects on human health. Glutaraldehyde is among the most common causes of occupational asthma among health care workers.

Other health risks are due to the corrosive properties of glutaraldehyde.

Summary

Non-professional user

ADI (acceptable daily intake, external long-term reference dose)

AEL_{medium-term}

AEL_{long-term}

AEC_{inhalation}

AEC_{acute inhalation}

AEC_{dermal}

Drinking water limit

ARfD (acute reference dose)

Professional user

Reference value for inhalation (proposed OEL)

Reference value for dermal absorption concerning the active substance:

Reference value for dermal absorption concerning the representative product(s)⁴:

	Value	Study	Safety factor
	Not relevant	-	-
	0.014 mg/kg bw/day	Rat 90-day oral study	100
	0.014 mg/kg bw/day	Rat 90-day oral study	100
	10.6 µg/m ³ (2.6 ppb)	2-year inhalation study in the mouse	24
	0.5 mg/m ³ (120 ppb)	Human study on odour detection and chemesthetic detection	3.2
	not established		
	0.1 µg/L	As set by EU Drinking Water Directive (98/83/EC)	Not relevant
	0.60 mg/kg bw/day	Rabbit teratogenicity study	25
	-	-	-
	10% estimated value	-	-
	10% estimated value	-	-

Acceptable exposure scenarios (including method of calculation)

Professional users

<p>PT2: Hard Surface Disinfection Mixing and Loading (<i>Dermal: Mixing and loading model 2 (TNSG 2002), Inhalation: ConsExpo4.1, evaporation, constant release area</i>) Application by mopping (<i>Dermal: surface disinfection model 1 (TNSG 2002), Inhalation: ConsExpo4.1, evaporation, increase release area</i>) Post application (<i>Dermal: Mixing and loading model 2 (TNSG 2002), Inhalation: ConsExpo4.1, evaporation, constant release area</i>)</p>
<p>PT3: Veterinary hygiene biocidal product Mixing and loading (<i>EUROPOEM II data</i>) Disinfection by spraying (<i>Spraying model 2, TNSG 2002</i>) Disinfection by fogging (<i>covered by spraying scenario</i>)</p>
<p>PT4: Food vessel/Machinery Disinfection Food Processing Surface Disinfection Connecting drum to pump (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>) Cleaning and maintenance of machinery (<i>TGD Appendix II, Table 2, Stoffenmanager</i>) Application of disinfectant in a slaughter house (<i>Disinfection Model 9, TNSG 2002</i>)</p>
<p>PT6: Preservatives for Detergents, Paper Wet-End Additives Preservation and Paper Coatings Preservation Connecting drum to pump (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>) Loading laundry softener (<i>ConsExpo 4.1</i>) Mixing and loading liquid detergent (<i>ConsExpo 4.1</i>) Applying liquid detergent (<i>ConsExpo 4.1</i>) Applying wax emulsion (<i>ConsExpo 4.1</i>) Applying car polish (<i>ConsExpo 4.1</i>) Manual surface disinfection (<i>Dermal: Manual surface disinfection model 1, TNSG 2002, Inhalation: ConsExpo 4.1</i>) Loading and unloading slurry tanks (<i>Dermal: RISKOFDERM, inhalation: EASE</i>)</p>
<p>PT11: Preservatives for liquid-cooling and processing systems Closed Recirculating Systems: Mixing and loading (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>) Draining (<i>Mixing and loading Model 7 – TNG 2002</i>) Open Recirculating Cooling Systems: Loading (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>)</p>

	<p>PT12: Slimicide for paper pulp: wet-end slimicides : Mixing and loading (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>) Cleaning and maintenance of pulp tanks (<i>Spraying model 2, TNsG 2002</i>) Slimicide for paper pulp: paper de-inking: Mixing and loading (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>) Cleaning and maintenance of pulp tanks (<i>Spraying model 2, TNsG 2002</i>)</p>
	<p>Oilfield uses: Slimicide for oilfield injection water PT11, Preservative for drilling muds/fluids PT6, Preservative for hydrotesting water PT11: mixing and loading (connecting/disconnecting): (<i>Mixing and loading Model 7 –, TNG 2002, and measurement data</i>)</p>
Production of active substance:	Not applicable
Formulation of biocidal product	Not applicable
Secondary (indirect) exposure as a result of use exposure	<p>PT2: Child secondary exposure (<i>scenarios outlined in the TNG Human Exposure Part 3</i>)</p> <p>PT6: Secondary exposure to laundry softener (<i>ConsExpo 4.1</i>) Secondary exposure to liquid detergent (<i>ConsExpo 4.1</i>)</p> <p>PT11: Secondary inhalation exposure, adult and child (<i>calculations based on measured concentration</i>)</p> <p>PT12: Worker inhalation exposure to vapour and aerosol phase to be demonstrated at product authorisation by measurement or modelling (<i>Henry's law, Spraying model 2, TNsG 2002</i>)</p>
Non-professional users	<p>PT6: Loading laundry softener (<i>ConsExpo 4.1</i>) Mixing and loading liquid detergent (<i>ConsExpo 4.1</i>) Applying liquid detergent (<i>ConsExpo 4.1</i>) Applying wax emulsion (<i>ConsExpo 4.1</i>) Applying car polish (<i>US EPA scenarios</i>)</p>

Chapter 4: Fate and Behaviour in the Environment

Route and rate of degradation in water

Hydrolysis of active substance and relevant metabolites (DT ₅₀) (state pH and temperature)	<p>pH 5: 508-628 days at 25°C (1 437-1 777 d at 12 °C) (BASF, Dow)</p> <p>pH 7: 102-394 days at 25°C (289-1 115 d at 12 °C) (BASF, Dow)</p> <p>pH 9: 46-63 days at 25°C (130-178 d at 12 °C) (BASF, Dow)</p>
Photolytic / photo-oxidative degradation of active substance and resulting relevant metabolites	<p>196 days of natural sunlight at pH 5 and 25°C No metabolites detected > 10%. (Dow)</p>

	Waived (BASF): Waiving was accepted at TM III 2011 because Glutaraldehyde has its adsorption max below 290 nm which is the cutoff value for direct photolysis. Due to ready biodegradability photolysis is not considered a relevant degradation pathway for Glutaraldehyde.
Readily biodegradable (yes/no)	Yes (Dow, BASF)
STP Simulation Study	k 4.95 h ⁻¹ at 20-25 °C, DT50 0.14 h at 20-25 °C. (DT50= 0.2 h at 15 °C) (Dow, BASF)
Biodegradation in seawater	Yes, 73.4% degradation after 28 days (Dow) and 90-100% degradation after 70 days (BASF)
Non-extractable residues	Bound residues in water/sediment systems (% of initial applied radioactivity) Aerobic system: max. 15.8% after 14 days. Anaerobic system: max. 2.3% after 3 days. (Dow, BASF)
Distribution in water / sediment systems (active substance)	Distribution in river water/sediment systems at 25°C (% of applied radioactivity) <u>Aerobic system</u> Water: max 94.0% at 4 hour; DT50 10.6 hours (1.25 d at 12 °C) Sediment: max 25.3% at 48 hour Cumulative % mineralisation to CO ₂ was 67.9% after 30 days. <u>Anaerobic system</u> Water: max 95.1% at Day 1; DT50 7.7 hours (0.91 d at 12 °C) Sediment: max 8.4% at Day 123 (Dow, BASF)
Distribution in water / sediment systems (metabolites)	<u>Aerobic system</u> 18.9 to 21.5% glutaric acid in water phase at 12 hours, which was then completely metabolised by 48 h. 49.8 to 52.9% carbon dioxide in water phase at 48 h. <u>Anaerobic system</u> 12.62 to 22.86% Compound A (2-hydroxy-3,4,4a,7,8,8a-hexahydro-2H-chromene-6-carbaldehyde) in the water phase at Day 90. 35.11 to 38.97% 5-hydroxy-pentanal in the water phase at Day 1. 74.34 to 77.86% 1,5-pentanediol in the water phase at Day 14. (Dow, BASF)

Route and rate of degradation in soil

Mineralization (aerobic)	Not available
Laboratory studies (range or median, with number of measurements, with regression coefficient)	DT _{50lab} (20°C, aerobic): Not available
	DT _{90lab} (20°C, aerobic): Not available
	DT _{50lab} (10°C, aerobic): Not available

	DT _{50lab} (20°C, anaerobic): Not available
	degradation in the saturated zone: Not available
Field studies (state location, range or median with number of measurements)	DT _{50f} : Not required
	DT _{90f} : Not required
Anaerobic degradation	See distribution in water / sediment systems
Soil photolysis	Not applicable
Non-extractable residues	Not applicable
Relevant metabolites - name and/or code, % of applied active ingredient (range and maximum)	Not applicable
Soil accumulation and plateau concentration	Not applicable

Adsorption/desorption

K _a , K _d	K_a : 2.06 (sandy loam), 4.94 (silty clay loam), 4.83 (silt loam), 1.10 (loamy sand), 0.59 (sediment) K_{aoc} : 210 (sandy loam), 500 (silty clay loam), 340 (silt loam), 460 (loamy sand), 120 (sediment) Arithmetic mean 326 L/kg pH dependence: No (Dow, BASF)
K _{aoc} , K _{doc}	
pH dependence (yes / no) (if yes type of dependence)	

Fate and behaviour in air

Direct photolysis in air	Not available
Quantum yield of direct photolysis	Not required
Photo-oxidative degradation in air	Model calculation (Aopwin v1.91) DT ₅₀ 8.2 hours (24 hours, 5.0 x 10 ⁵ OH radicals per cm ³) Latitude: Season: DT ₅₀
Volatilization	Glutaraldehyde has a low potential for volatilization based on the vapour pressure and the Henry's Law Constant. Henry's Law Constant for 100% glutaraldehyde: 0.0086 Pa.m ³ .mol ⁻¹ at 20°C (calculated from data of Dow)

Monitoring data, if available

Soil (indicate location and type of study)	No monitoring data are available.
Surface water (indicate location and type of study)	No monitoring data are available.
Ground water (indicate location and type of study)	No monitoring data are available.
Air (indicate location and type of study)	Glutaraldehyde was not detected (ND) when air samples were taken outside 4 paper mills using glutaraldehyde. (Dow) The concentrations in the air in the paper mill were below the lowest measured value of 2.5 ppb (BASF)

Chapter 5: Effects on Non-target Species

Toxicity data for aquatic species (most sensitive species of each group)

Species	Time-scale	Endpoint	Toxicity
Fish			
<i>Oncorhynchus mykiss</i>	62 day	NOEC	1.0 mg a.i./L (measured) (Dow)
Invertebrates			
<i>Daphnia magna</i>	21 day	NOEC	0.12 mg a.i./L (measured) (Dow)
Algae			
<i>Scenedesmus subspicatus</i>	72 hour	E _r C ₅₀ NOE _r C	0.6 mg a.i./L (measured) (BASF) 0.025 (measured)
Microorganisms			
Activated sludge	30 min	EC ₅₀	>51 mg a.i./L (nominal) (Dow)

Effects on earthworms or other soil non-target organisms

Acute toxicity to <i>Eisenia foetida</i>	LC50 > 150 mg a.i./kg ww (nominal) (BASF, Dow)
Reproductive toxicity to <i>Eisenia foetida</i>	Not required
Acute toxicity to terrestrial plants (<i>Vicia sativa</i>)	LC50 1079 mg a.i./kg ww (nominal) (BASF, Dow)

Effects on soil micro-organisms

Nitrogen mineralization	EC10 15.4 mg a.i./kg ww (nominal) (BASF, Dow) EC50 483 mg a.i./kg ww (nominal)
Carbon mineralization	EC10 9.2 mg a.i./kg ww (nominal) (BASF, Dow) EC50 > 925 mg a.i./kg ww (nominal)

Effects on terrestrial vertebrates

Acute toxicity to mammals	Not required
Acute toxicity to birds	Not required
Dietary toxicity to birds	Not required
Reproductive toxicity to birds	Not required

Effects on honeybees

Acute oral toxicity	Not required
Acute contact toxicity	Not required

Effects on other beneficial arthropods

Acute oral toxicity	Not required
Acute contact toxicity	Not required
Acute toxicity to	Not required

Bioconcentration

Bioconcentration factor (BCF)	Calculated according to the TGD using log Kow -0.33 (Dow) BCF _{fish} : 1.41 (Eq. 75) BCF _{earthworm} : 0.846 (Eq. 82d)
Depuration time(DT ₅₀) (DT ₉₀)	Not required
Level of metabolites (%) in organisms accounting for > 10 % of residues	Not applicable

Chapter 6: Other End Points

None required.

Appendix II: List of Intended Uses

Note: Active substance (a.s.) refers to 100% glutaraldehyde

Product type	Product name	Organisms controlled	Formulation		Application			Applied amount per treatment		
			Type	Conc of a.s. g/kg	method kind	number min max	interval between applications (min)	g a.s./L min max	water L/m ² min max	g as/m ² min max
PT 02	[REDACTED]	Bacteria [REDACTED] Fungi [REDACTED] Yeast [REDACTED] Viruses: [REDACTED] Green algae: [REDACTED] Cyanobacteria: [REDACTED]	SL-Water soluble concen-trate Liquid formulation containing [REDACTED]	[REDACTED]	Hard surface disinfection in hospitals and industrial areas	Min: 1/week max: 4/day	24h for hard surface disinfection.	0.5-3.0	0.02	0.01-0.06

Product type	Product name	Organisms controlled	Formulation		Application			Applied amount per treatment		
			Type	Conc of a.s. g/kg	method kind	number min max	interval between applications (min)	g a.s./L min max	water L/m ² min max	g as/m ² min max
		<p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>Diatoms: [Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>Biofilms</p>								
PT 03	See PT 02	<p>See PT 02 for bacteria, fungi and yeast</p> <p>Viruses [Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p> <p>[Redacted]</p>	See PT 02	■	Disinfection of animal housing (poultry and pig farms) by spraying or fogging.	Min: 2/year max: <24h	6 months for pig farms and 2 months for poultry farms.	<p>Spraying 1</p> <p>Fogging 20</p>	<p>Spraying 0.4 L</p> <p>Fogging 0.02</p>	<p>Spraying 0.4</p> <p>Fogging 0.4</p>

Product type	Product name	Organisms controlled	Formulation		Application			Applied amount per treatment		
			Type	Conc of a.s. g/kg	method kind	number min max	interval between applications (min)	g a.s./L min max	water L/m ² min max	g as/m ² min max
PT 04	See PT 02	See PT 02 for bacteria, fungi and yeast Biofilms	See PT 02	■	Disinfection of food vessels/machinery	Min: daily max: daily	24h	0.5-1	0.1	0.05-0.1
PT 04	See PT 02	See PT 02 for bacteria, fungi and yeast Biofilms	See PT 02	■	Food processing (e.g. slaughter house) surface disinfection	Min: daily max: daily	24h	0.5-1	0.1	0.05-0.1
PT 06	See PT 02	See PT 02 for bacteria, fungi, yeast and algae	See PT 02	■	In-can preservative for detergents (e.g. laundry softeners, liquid detergent, wax emulsion, car polish)	Incorporated during manufacture.	24h	0.1-1	NA	NA
PT 06	See PT 02	See PT 02 for bacteria, fungi, yeast and algae	See PT 02	■	Preservation of Paper Wet-End Additives and Paper Coatings			0.05-0.5	NA	NA
PT 11	See PT 02	Bacteria e.g. ■■■■■ ■■■■■	See PT 02	■	Open re-circulating cooling systems	Min: 1/ week Max: 7/week	As required	0.02-0.1	NA	NA

Product type	Product name	Organisms controlled	Formulation		Application			Applied amount per treatment		
			Type	Conc of a.s. g/kg	method kind	number min max	interval between applications (min)	g a.s./L min max	water L/m ² min max	g as/m ² min max
PT 11	See PT 02	<p>[REDACTED]</p> <p>Fungi [REDACTED]</p> <p>Yeast e [REDACTED]</p> <p>See PT 02 for algae Biofilms</p>	See PT 02	■	Closed re-circulating cooling systems	System drained and re-filled twice per year	6 months	0.02-0.1	NA	NA
PT 12	See PT 02	See PT 02 for bacteria, fungi, yeast and algae Biofilms	See PT 02	■	Slimicide for paper pulp: wet-end slimicide	Min: 2 daily additions Max:6 daily additions	4 hours	0.0075-0.075	NA	NA
PT 12	See PT 02	See PT 02 for bacteria, fungi, yeast and algae Biofilms	See PT 02	■	Slimicide for paper pulp: paper de-inking	Min: 2 daily additions Max:6 daily additions	4 hours	0.05-0.2	NA	NA
PT 11	See PT 02	Bacteria [REDACTED]	See PT 02	■	Preservative for oilfield injection water	1 (continuous application of between 1 to 6 hours)	1 week	0.05-3	NA	NA

Product type	Product name	Organisms controlled	Formulation		Application			Applied amount per treatment		
			Type	Conc of a.s. g/kg	method kind	number min max	interval between applications (min)	g a.s./L min max	water L/m ² min max	g as/m ² min max
PT 06	See PT 02	[REDACTED]	See PT 02	■	Preservative for drilling muds/fluids	1 application on manufacture or reconditioning	No repeat application necessary during use	0.1-0.5	NA	NA
PT 06	See PT 02	[REDACTED]	See PT 02	■	Preservative for cementing fluids	1 application	No repeat application necessary	0.1-0.5	NA	NA
PT 11	See PT 02	Fungi e [REDACTED] Yeast e [REDACTED] See PT 02 for algae Biofilms	See PT 02	■	Preservative for hydrotesting water	1 application	No repeat application necessary	0.002-0.03	NA	NA

Appendix III: Summary of public consultation - CONFIDENTIAL

Appendix IV: List of Studies of BASF

Data protection is claimed by the applicant in accordance with Article 60 of Regulation (EU) No 528/2012.

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.1.1_01	██████████	2002	Determination of the Melting Temperature of "Protectol GA ██████████ ██████████ Study ██████████ BPD ID A3.01.1_01 GLP, Unpublished	Yes	BASF
A3.1.2_01	██████████	1999	Product Chemistry for Glutaraldehyde (██████████ ██████████), Series 63 - Physical and Chemical Characteristics. ██████████ BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.1.2_02	██████████	1995	Dampfdruck von Glutaraldehyd, wässrig. ██████████ BPD ID A3.01.2_02 Non GLP, Unpublished	Yes	BASF
A3.1.2_03	██████████	1992	Dampfdruck von ██████████ ██████████ BPD ID A3.01.2_03 Non GLP, Unpublished	Yes	BASF
A3.1.3_01	██████████	1999	Product Chemistry for Glutaraldehyde ██████████ ██████████ Series 63 - Physical and Chemical Characteristics. ██████████ BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.1.3_02	██████████	1987	Temperaturabhängigkeit der Dichte von ██████████ BPD ID A3.01.3_02 Non GLP, Unpublished	Yes	BASF
A3.1.3_03	██████████	1992	Dichte von ██████████ bei -26 bis 40 °C. ██████████ BPD ID A3.01.3_03 Non GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.2_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.2_02	[REDACTED]	1993	Dampfdruck [REDACTED], and Translation of the Report (1994). [REDACTED] BPD ID A3.02_02 GLP, Unpublished	Yes	BASF
A3.2_03	Olson JD	1998	The vapour pressure of pure and aqueous glutaraldehyde. Fluid Phase Equilibria 150-151: 713-720, BPD ID A3.02_03 Non GLP, Published	No	Public
A3.2_04	[REDACTED]	1995	Dampfdruck von Glutardialdehyd, wässrig. [REDACTED] BPD ID A3.01.2_02 Non GLP, Unpublished	Yes	BASF
A3.2_05	[REDACTED]	1992	Dampfdruck von [REDACTED]. [REDACTED] BPD ID A3.01.2_03 Non GLP, Unpublished	Yes	BASF
A3.2_06	[REDACTED]	1983	Glutardialdehydpartialdruck über wässrigen Lösungen (Teil 4). [REDACTED] BPD ID A3.02_06 Non GLP, Unpublished	Yes	BASF
A3.2.1_01	[REDACTED]	2005	Glutaraldehyde, SRC EPIWIN calculations. [REDACTED] BPD ID A3.02.1_01 Non GLP, Unpublished	No	BASF
A3.3.1_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.3.2_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.3.3_01	Siemann L	1999	Product Chemistry for Glutaraldehyde [REDACTED] Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.4.1_01	[REDACTED]	2004	Characterization of "[REDACTED]" for the notification in the Netherlands. [REDACTED] BPD ID A3.04.1_01 GLP, Unpublished	Yes	BASF
A3.4.2_01	[REDACTED]	2006	Characterization of "[REDACTED]" before start of toxicological and ecological studies and 1. Amendment to Final Report. [REDACTED] BPD ID A3.04.2_01 GLP, Unpublished	Yes	BASF
A3.4.3_01	[REDACTED]	2006	Characterization of "[REDACTED]" before start of toxicological and ecological studies and 1. Amendment to Final Report. [REDACTED] BPD ID A3.04.2_01 GLP, Unpublished	Yes	BASF
A3.4.4_01	[REDACTED]	2004	Characterization of "[REDACTED]" for the notification in the Netherlands. [REDACTED] BPD ID A3.04.1_01 GLP, Unpublished	Yes	BASF
A3.5_01	[REDACTED]	2002	Water Solubility of "[REDACTED]" [REDACTED] BPD ID A3.05_01 GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.5_02	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.5_03	[REDACTED]	1987	Glutarialdehyd [REDACTED], and Translation of the Report (1994). [REDACTED] BPD ID A3.05_03 Non GLP, Unpublished	Yes	BASF
A3.6_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.7_01	[REDACTED]	2006	Physico-chemical properties of "[REDACTED]" [REDACTED] BPD ID A3.07_01 GLP, Unpublished	Yes	BASF
A.3.7_02	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.9_01	[REDACTED]	2002	Partition Coefficient n-Octanol/Water (log Pow) of "[REDACTED]" [REDACTED] BPD ID A3.09_01 GLP, Unpublished	Yes	BASF
A3.9_02	[REDACTED]	1987	Glutarialdehyd [REDACTED], and Translation of the Report (1994). [REDACTED] BPD ID A3.05_03 Non GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.10_01	[REDACTED]	2004	Evaluation of physical and chemical properties according to Directive 92/69/EC: Annex A.9-A.17. [REDACTED] BPD ID A3.10_01 GLP, Unpublished	Yes	BASF
A3.10_02	[REDACTED]	2006	[REDACTED] - Thermal Decomposition. [REDACTED], Statement, BPD ID A3.10_02 Non GLP, Unpublished	Yes	BASF
A3.10_03	[REDACTED]	1998	Dynamische Differenzkalorimetrie (DSC). [REDACTED] BPD ID A3.10_03 Non GLP, Unpublished	Yes	BASF
A3.11_01	[REDACTED]	2004	Evaluation of physical and chemical properties according to Directive 92/69/EC: Annex A.9-A.17. [REDACTED] BPD ID A3.10_01 GLP, Unpublished	Yes	BASF
A3.12_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED] [REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.12_02	[REDACTED]	1970	Kennzahlen Explosionsfähiger Stoffe. [REDACTED] BPD ID A3.12_02 GLP, Unpublished	Yes	BASF
A3.13_01	[REDACTED]	2004	Physico-chemical Properties of "[REDACTED] [REDACTED]" for the Notification in the Netherlands. [REDACTED] BPD ID A3.13_01 GLP, Unpublished	Yes	BASF
A3.14_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED] [REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A3.14_02	[REDACTED]	[REDACTED]	Physico-chemical Properties of "[REDACTED]" for the Notification in the Netherlands. [REDACTED] BPD ID A3.13_01 GLP, Unpublished	Yes	BASF
A3.15_01	[REDACTED]	2004	Evaluation of physical and chemical properties according to Directive 92/69/EC: Annex A.9-A.17. [REDACTED] BPD ID A3.10_01 GLP, Unpublished	Yes	BASF
A3.15_02	[REDACTED]	2000	Expert judgement - Absence of explosive and oxidizing properties of glutaral. [REDACTED] BPD ID A3.15_02 Non GLP, Unpublished	Yes	BASF
A3.16_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.16_02	[REDACTED]	2000	Expert judgement - Absence of explosive and oxidizing properties of glutaral. [REDACTED] BPD ID A3.15_02 Non GLP, Unpublished	Yes	BASF
A3.17_01	[REDACTED]	1999	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 63 - Physical and Chemical Characteristics. [REDACTED] BPD ID A3.01.2_01 GLP, Unpublished	Yes	BASF
A3.17_02	[REDACTED]	2004	Product Information: Glutaraldehyde - Materials Compatibility. [REDACTED] BPD ID A3.17_02 Non GLP, Unpublished	Yes	BASF

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A4.1_01	[REDACTED]	2000	Product Chemistry for Glutaraldehyde ([REDACTED]), Series 62 - Preliminary Analysis. [REDACTED] BPD ID A4.01_01 GLP, Unpublished	Yes	BASF
A4.1_02	[REDACTED]	2005	Validation of the method of analysis used for the determination of Glutaraldehyde within [REDACTED] and [REDACTED] in compliance with Good Laboratory Practice and 1st Addendum to Report. [REDACTED] BPD ID A4.01_02 GLP, Unpublished	Yes	BASF
A4.1_03	[REDACTED]	2004	Determination of Methanol in "[REDACTED]" for the notification in the Netherlands. [REDACTED] BPD ID A4.01_03 GLP, Unpublished	Yes	BASF
A4.1_04	[REDACTED]	2006	Analysenbericht, and GC Lab Method 'Determination of the oligomers in [REDACTED]' [REDACTED] BPD ID A4.01_04 Non GLP, Unpublished	Yes	BASF
A4.1_05	[REDACTED]	2001	Product Chemistry for Glutaraldehyde; Series 62 - Preliminary Analysis. [REDACTED] BPD ID A4.01_05 GLP, Unpublished	Yes	BASF
A4.2b_01	Hendricks W	1987	Glutaraldehyde. Organic Methods Evaluation Branch, OSHA Analytical Laboratory, Salt Lake City, Utah, SHA Method No. 64, BPD ID A4.02b_01 Non GLP, Published	No	Public
A4.2b_02	Neumeister CE, Hill G	1994	Glutaraldehyde. NIOSH Manual of Analytical Methods (NMAM), Method: 2532, BPD ID A4.02b_02 Non GLP, Published	No	Public
A4.2b_03	Anonymous	1998	Methods for the Determination of Hazardous Substances - Glutaraldehyde in air. HSE Books, MDHS 93, BPD ID A4.02b_03 Non GLP, Published	No	Public

Section No./ Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A4.2b_04	[REDACTED]	1992	Bestimmung von Glutaraldehyd (Pentandial). [REDACTED] BPD ID A4.02b_04 Non GLP, Unpublished	Yes	BASF
A4.2c_01	[REDACTED]	2007	Method Validation for the Determination of Glutaraldehyde in Water. [REDACTED] BPD ID A4.02c_01 GLP, Unpublished	Yes	BASF
A4.2c_02	[REDACTED]	2007	Product Information - [REDACTED] [REDACTED] Analysis by HPLC. [REDACTED] BPD ID A4.02c_02 Non GLP, Unpublished	Yes	BASF

Section No. / Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A5.3.1_01	[REDACTED]	1999	Determination of the Minimum Inhibitory Concentrations (MIC) of [REDACTED] [REDACTED] Glutaraldehyde) [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_02	Borick et al	1968	Chemical Sterilizers, Adv. Appl. Microbiol., 10, 291-312 BPD ID A5.3.1_02 Published	No	Public
A5.3.1_03	Borick et al	1964	Alkalinised Glutaraldehyde, a new antimicrobial agent, J. Pharm. Sci., 53, 1273-1275 BPD ID A5.3.1_03 Published	No	Public
A5.3.1_05	Dyas and Das	1985	The activity of Glutaraldehyde against <i>Clostridium difficile</i> . J. Hosp. Infect., 6, 41-45 BPD ID A5.3.1_05 Published	No	Public
A5.3.1_06	Orsi et al	1995	In vitro activity of commercially manufactured disinfectants against <i>Pseudomonas aeruginosa</i> . Eur. J. Epidemiol., 11, 453-457 BPD ID A5.3.1_06 Published	No	Public
A5.3.1_11	[REDACTED]	2001	An Investigation into the Efficacy of [REDACTED] Glutaraldehyde) as a Disinfectant in Veterinary and Animal Husbandry Applications	Yes	BASF

Section No. / Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] Unpublished Non GLP		
A5.3.1_12	[REDACTED]	2000	EN1276 testing of an All purpose cleaner containing [REDACTED] (Glutaraldehyde) [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_13	[REDACTED]	2002	Efficacy of [REDACTED] against [REDACTED] [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_14	[REDACTED]	2007	Efficacy of [REDACTED] against [REDACTED] [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_17	[REDACTED]	2003	The efficacy of [REDACTED] in the preservation of drilling muds and workover fluids and Addendum [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_20	[REDACTED]	2007	Efficacy Testing of [REDACTED] against Bacterial spores [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_21	[REDACTED]	2007	EN1275 & EN1650 Efficacy Testing of [REDACTED] (Glutaraldehyde) [REDACTED] Unpublished Non GLP	Yes	BASF
A5.3.1_22	[REDACTED]	2012	Test report: EN 14476: 2005 Chemical disinfectants and antiseptics – Virucidal quantitative suspension test for chemical disinfectants and antiseptics used in human medicine - Test method and requirements under clean conditions (phase 2/step 1). [REDACTED]. Unpublished. Revised report dated [REDACTED], 2012.	Yes	BASF

Section No. / Reference No.	Author(s)	Year	Title Source (where different from company) Company, Report No. GLP (where relevant)/(Un)Published	Data Protection Claimed (Yes/No)	Owner
A5.3.1_23	██████████	2012	Test report: EN 14476: 2005 Chemical disinfectants and antiseptics – Virucidal quantitative suspension test for chemical disinfectants and antiseptics used in human medicine - Test method and requirements under dirty conditions (phase 2/step 1). ██████████ Unpublished. Revised report dated ██████████, 2012.	Yes	BASF
A5.4.1_01	McGucken and Woodside	1973	Studies on the mode of action of Glutaraldehyde on Escherichia coli. J.Appl. Biol., 36, 419-426. BPD ID A5.4.1_01 Published	No	Public
A5.4.1_02	Hughes and Thurman	1970	Cross-linking of bacterial cell walls with glutaraldehyde. Biochem. J., 119, 925-926 BPD ID A5.4.1_02 Published	No	Public
A5.7.1_01	Carson et al	1978	Growth characteristics of atypical Mycobacteria in water and their comparative resistance to disinfectants. Appl. Environ. Microbiol., 36, 839-846 BPD ID A5.7.1_01 Published	No	Public
A5.7.1_02	Deva et al	1998	Detection of persistent vegetative bacteria and amplified viral nucleic acid from in-use testing of gastro-intestinal endoscopes. J. Hosp. Infect., 39, 149-157 BPD ID A5.7.1_02 Published	No	Public
A5.7.1_03	Ayliffe et al	1979	Decontamination of gastroscopes. Health and Social Services Journal, 89, 238-540. BPD ID A5.7.1_03 Published	No	Public
A5.7.1_04	Willinghan et al	1996	Investigation of bacterial resistance to hatchery disinfectants Avian Diseases, 40, 510-515 BPD ID A5.7.1_04 Published	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6.01.1_01	██████████	1994a	Report on the study of the acute oral toxicity ██████████; rat/oral. ██████████ ██████████ (original report in German dated 1981), ██████████ Non GLP, Unpublished	Yes	BASF
A6.01.2_01	██████████	1995	Acute dermal toxicity study of glutaraldehyde in ██████████ rabbits. ██████████	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] GLP, Unpublished		
A6.01.2_02	[REDACTED]	1994b	Report on the study of the acute dermal toxicity of "Glutaraldehyde" in the rat. [REDACTED] (original report in German dated 1981), [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.3_01	[REDACTED]	1994a	Acute inhalation toxicity LC50 4 hours (rat) of "glutaraldehyde [REDACTED] liquid aerosol study ([REDACTED]). [REDACTED] [REDACTED] (original report in German dated 1982), [REDACTED] Non GLP, Unpublished Addendum: [REDACTED] - Technical Trial [REDACTED] non GLP, Unpublished	Yes	BASF Dow
A6.01.3_02	[REDACTED]	2001	Acute inhalation toxicity LC50 4 hours (rat) of "glutaraldehyde [REDACTED]" ([REDACTED]), liquid aerosol study. [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.3_03	[REDACTED]	1994b	Study of the acute inhalation toxicity in rats in the inhalation hazard test. [REDACTED] (original report in German dated 1981), [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.4_01	[REDACTED]	1994a	Study on the irritation to the intact dorsal skin of the [REDACTED] rabbit (short-term test). [REDACTED] (original report in German dated [REDACTED] 1981), [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.4_02	[REDACTED]	1994b	Study on the irritation to the intact dorsal skin of the [REDACTED] rabbit (short-term test). [REDACTED] (original German report dated 1981),	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] Non GLP, Unpublished		
A6.01.4_03	[REDACTED]	1994c	Report on the study of the irritation to the eye of white rabbits based on Draize. [REDACTED] (original German report [REDACTED] 1981), [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.4_04	Werley MS, Burleigh-Flayer HD, Ballantyne B	1995	Respiratory peripheral sensory irritation and hypersensitivity studies with glutaraldehyde vapor. Toxicol. Ind. Health 11(5): 489-501, BPD ID A6.01.4_04 Non GLP, Published	No	Public
A6.01.5_01	[REDACTED]	1975	Bericht ueber die Pruefung von Methoxidihydropyran im Vergleich zu Methoxidihydropyran, roh und [REDACTED] auf etwaige hautsensibilisierende Wirkung. [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.01.5_02_a	Ulrich P, Grenet O, Bluemel J, Vohr HW, Wiemann C, Grundler O, Suter W	2001	Cytokine expression profiles during murine contact allergy: T helper 2 cytokines are expressed irrespective of the type of contact allergen. Arch. Toxicol. 75: 470-479, BPD ID A6.01.5_02_a Non GLP, Published	No	Public
A6.01.5_02_b	Ulrich P, Streich J, Suter W	(2001)	Intralaboratory validation of alternative endpoints in the murine local lymph node assay for the identification of contact allergic potential: primary ear skin irritation and ear-draining lymph node hyperplasia induced by topical chemicals. Arch. Toxicol. 74(12): 733-744, BPD ID A6.1.5_02_b Non GLP, Published	No	Public
A6.01.5_02_c	Ulrich P, Homey B, Vohr HW	1998	A modified murine local lymph node assay for the differentiation of contact photoallergy from phototoxicity by analysis of cytokine expression in skin-draining lymph node cells. Toxicology 125(2-3): 149-168, BPD ID A6.01.5_02_c Non GLP, Published	No	Public
A6.02_01	[REDACTED]	2004	Report on 14C-GDA - Study of the biokinetics in rats. [REDACTED] GLP, Unpublished	Yes	BASF Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6.02_02_a A6.02_02_b A6.02_02_c	[REDACTED]	2004	Glutaraldehyde: pharmacokinetics in [REDACTED] rats following oral gavage or dermal application. [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6.02_05	[REDACTED]	2007	Glutaraldehyde: Identification of metabolites in the [REDACTED] rat. [REDACTED] Unpublished,	Yes	Dow BASF
A6.02_09	[REDACTED]	2007	Glutaraldehyde: Pharmacokinetics Of Drinking Water Administered Glutaraldehyde [REDACTED] Rats [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6.03.1_01	[REDACTED]	1985	Glutaraldehyde: Two-week inclusion in drinking water of rats. [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6.03.2_01	Ballantyne B	1986	Glutaraldehyde review of toxicological studies and human health effects. Union Carbide Corporation, Specialty Chemicals Division, Danbury, BPD ID A6.03.2_01 Non GLP, Published	No	Public
A6.03.3_01	Ballantyne B, Greenspan BJ, Fowler EH, Snellings WM	1985	Subchronic inhalation toxicity of glutaraldehyde. The Toxicologist 5: 29, Abstract 115, BPD ID A6.03.3_01 Non GLP, Published	No	Public
A6.04.1_01	[REDACTED]	2001	[REDACTED] (Glutaraldehyde) - Subchronic oral toxicity and neurotoxicity study in [REDACTED] rats - Administration in drinking water for 3 months. [REDACTED] GLP, Unpublished	Yes	BASF
A6.04.1_02	[REDACTED]	1985	Glutaraldehyde: ninety-day inclusion in drinking water of rats. [REDACTED]	Yes	BASF Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			████████████████████ & Addendum, ████████████████████ GLP, Unpublished		
A6.04.2_01	██████████	2000	13-week toxicity study by cutaneous route in rats. ████████████████████ ████████████████████ ████████████████████ GLP, Unpublished	Yes	BASF
A6.04.3_01_a	Kari FW	1993	NTP technical report on toxicity studies of glutaraldehyde administered by inhalation to F344/N rats and B6C3F1 mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, Toxicity Report Series No: 25, NIH Publication No: 93-3348, BPD ID A6.04.3_01_a GLP, Published	No	Public
A6.05_01	██████████	2002	██████████ (Glutaraldehyde) - Chronic toxicity study in Wistar rats - Administration in the drinking water for 12 months. ████████████████████ GLP, Unpublished	Yes	BASF
A6.05_02 A6.07_02	Van Miller JP, Hermansky SJ, Losco PE, Ballantyne B	2002	Chronic toxicity and oncogenicity study with glutaraldehyde dosed in the drinking water of Fischer 344 rats. Toxicology 175: 177-189, BPD ID A6.05_02 Non GLP, Published	No	Public
A6.05_03	██████████	2001	██████████ (Glutaraldehyde) - Chronic oral toxicity study in ██████ dogs - Administration in drinking water for 12 months. ████████████████████ GLP, Unpublished	Yes	BASF
A6.05_04_a A6.07_03	van Birgelen APJM	1999	NTP technical report on the toxicology and carcinogenesis of glutaraldehyde (CAS No. 111-30-8) administered in F344/N rats and B6C3F1 mice (inhalation studies). US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, NTP TR No: 490, NIH Publication No: 99-3980, BPD ID A6.05_04_a Non GLP, Published	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6.05_04_b	van Birgelen APJM, Chou BJ, Renne RA, Grumbein SL, Roycroft JH, Hailey JR, Bucher JR	2000	Effects of glutaraldehyde in a 2-year inhalation study in rats and mice. Toxicol. Sci. 55(1): 195-205, BPD ID A6.05_04_b Non GLP, Published	No	Public
A6.06.1_01	[REDACTED]	1994	Ames/Salmonella plate incorporation assay on [REDACTED] [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF
A6.06.1_02	Kari FW	1993	NTP technical report on toxicity studies of glutaraldehyde administered by inhalation to F344/N rats and B6C3F1 mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, Toxicity Report Series No: 25, NIH Publication No: 93-3348, BPD ID A6.04.3_01_a GLP, Published	No	Public
A6.06.2_01	[REDACTED]	2002	In vitro chromosome aberration assay with [REDACTED] (Glutaraldehyde). [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF
A6.06.2_02	Kari FW	1993	NTP technical report on toxicity studies of glutaraldehyde administered by inhalation to F344/N rats and B6C3F1 mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, Toxicity Report Series No: 25, NIH Publication No: 93-3348, BPD ID A6.04.3_01_a GLP, Published	No	Public
A6.06.3_01	[REDACTED]	1994	AS52/XPRT Mammalian cell forward gene mutation assay on [REDACTED] [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF
A6.06.3_02	Kari FW	1993	NTP technical report on toxicity studies of glutaraldehyde administered by inhalation to F344/N rats and B6C3F1 mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, Toxicity Report Series No: 25, NIH Publication No: 93-	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			3348, BPD ID A6.04.3_01_a GLP, Published		
A6.06.4_01	[REDACTED]	1994	In vivo micronucleus test with [REDACTED] in mouse bone marrow erythropoietic cells. [REDACTED] GLP, Unpublished	Yes	BASF
A6.06.5_01	[REDACTED]	2002	In vivo unscheduled DNA synthesis (UDS) assay with [REDACTED] Glutaraldehyde) in rat hepatocytes - single oral administration. [REDACTED] GLP, Unpublished	Yes	BASF
A6.06.6_01	Kari FW	1993	NTP technical report on toxicity studies of glutaraldehyde administered by inhalation to F344/N rats and B6C3F1 mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health NIH, Toxicity Report Series No: 25, NIH Publication No: 93-3348, BPD ID A6.04.3_01_a GLP, Published	No	Public
A6.07_01	[REDACTED]	2003	[REDACTED] glutaraldehyde) - Carcinogenicity study in [REDACTED] rats - Administration in the drinking water for 24 months. [REDACTED] GLP, Unpublished	Yes	BASF
A6.08.1_01	[REDACTED]	1991	Study of the prenatal toxicity of glutaraldehyde in rabbits after oral administration (gavage). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6.08.1_02	[REDACTED]	1987	Report on the study of the prenatal toxicity of glutaraldehyde in rats after oral administration (drinking water). [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6.08.1_03	[REDACTED]	1991a	Range-finding study of the prenatal	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			toxicity of glutaraldehyde in rabbits after oral administration (drinking water). [REDACTED] GLP, Unpublished		
A6.08.1_04		1991b	Range-finding study of the prenatal toxicity of glutaraldehyde in rabbits after oral administration (gavage). [REDACTED] GLP, Unpublished	Yes	BASF
A6.08.1_05		1991c	Range-finding study of the prenatal toxicity of glutaraldehyde in rats after oral administration (drinking water). [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF
A6.08.1_06		1991d	Range-finding study of the prenatal toxicity of glutaraldehyde in rats after oral administration (gavage). [REDACTED] GLP, Unpublished	Yes	BASF
A6.08.2_01		2001	[REDACTED] (Glutaraldehyde) - Two-generation reproduction toxicity study in [REDACTED] rats - Continuous administration in the drinking water. [REDACTED] GLP, Unpublished	Yes	BASF
A6.09_01		2001	[REDACTED] (Glutaraldehyde) - Subchronic oral toxicity and neurotoxicity study in [REDACTED] rats - Administration in drinking water for 3 months. [REDACTED] GLP, Unpublished	Yes	BASF
A6.11_01		1970	[REDACTED] Ergebnis der Gewerbetoxikologischen Vorprüfung. [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.11_02		1970	[REDACTED] Ergebnis	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			der Gewerbetoxikologischen Vorpruefung. [REDACTED] Non GLP, Unpublished		
A6.12.1_01	[REDACTED]	2007	Monitoring of manufacturing plant personnel. [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.12.2_01	Stenton SC, Beach JR, Dennis JH, Keaney NP, Hendrick DJ	1994	Glutaraldehyde, asthma and work - a cautionary tale. Occup. Med. 44: 95-98, BPD ID A6.12.2_01 Non GLP, Published	No	Public
A6.12.2_02	Wiggins P, McCurdy SA, Zeidenberg W	1989	Epitaxis due to glutaraldehyde exposure. J. Occ. Med. 31: 854-856, BPD ID A6.12.2_02 Non GLP, Published	No	Public
A6.12.2_03	Benson WG	1984	Case report exposure to glutaraldehyde. J. Soc. Occup. Med. 34: 63-64, BPD ID A6.12.2_03 Non GLP, Published	No	Public
A6.12.2_04	Quirce S, Gomez M, Bombin C, Sastre J	1999	Glutaraldehyde-induced asthma. Allergy 54: 1121-1122, BPD ID A6.12.2_04 Non GLP, Published	No	Public
A6.12.2_05	Nicewicz JT, Murphy DMF, Welsh JP, Sirolli H	1986	Occupational asthma caused by glutaraldehyde exposure. Immunology & Allergy Practice 8: 272-278, BPD ID A6.12.2_05 Non GLP, Published	No	Public
A6.12.2_06	Hewitt PJ	1993	Occupational health problems in processing of x-ray photographic films. Ann. Occup. Hyg. 37: 287-295, BPD ID A6.12.2_06 Non GLP, Published	No	Public
A6.12.2_07	Prigent F, Iborra C, Meslay C	1996	Necrose cutanée secondaire à l'application d'une solution à 20 p. 100 de glutaraldéhyde sur une verrue. Ann. Dermatol. Venerol. 123: 644-646, BPD ID A6.12.2_07 Non GLP, Published	No	Public
A6.12.2_08	[REDACTED]	2007	Odor and chemesthesis from exposures to glutaraldehyde vapor. [REDACTED] [REDACTED] Non GLP, In press	No	Public
A6_12_4/01	[REDACTED]	2006	Odor and Chemesthesis from Exposures to Glutaraldehyde Vapor. [REDACTED] [REDACTED] [REDACTED]	Yes	Dow BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			Non GLP, Unpublished		
A6.12.2_09	Ong TH, Tan KL, Lee HS, Eng P	2004	A case report of occupational asthma due to glutaraldehyde exposure. Ann. Acad. Med. Singapore 33: 275-278, BPD ID A6.12.2_09 Non GLP, Published	No	Public
A6.12.3_01	Pechter E, Davis LK, Tumpowsky C, Flattery J, Harrison R, Reinisch F, Reilly MJ, Rosenman KD, Schill DP, Valiante D, Filios M	2005	Work-related asthma among health care workers: surveillance data from California, Massachusetts, Michigan, and New Jersey, 1993-1997. Am. J. Ind. Med. 47: 265-275, BPD ID A6.12.3_01 Non GLP, Published	No	Public
A6.12.4_01_a	Anees W, Robertson AS, Burge PS	2001	Glutaraldehyde induced asthma in endoscopy nursing staff. Occup. Environ. Med. 58: 544, BPD ID A6.12.4_01_a Non GLP, Published	No	Public
A6.12.4_01_b	Vyas A, Pickering CAC, Oldham LA, Francis HC, Fletcher AM, Merrett T, McL Niven R	2000	Survey of symptoms, respiratory function, and immunology and their relation to glutaraldehyde and other occupational exposures among endoscopy nursing staff. Occup. Environ. Med. 57: 752-759, BPD ID A6.12.4_01_b Non GLP, Published	No	Public
A6.12.4_01_c	Waclawski ER	2001	Glutaraldehyde induced asthma in endoscopy nursing staff. Occup. Environ. Med. 58: 544-545, BPD ID A6.12.4_01_c Non GLP, Published	No	Public
A6.12.4_02	Teta MJ, Avashia BH, Cawley TJ, Yamin AT	1995	Absences of sensitizations and cancer increases among glutaraldehyde workers. Toxic Substance Mechanisms 14: 293-305, BPD ID A6.12.4_02 Non GLP, Published	No	Public
A6.12.4_03	Pisaniello DL, Gun RT, Tkaczuk MN, Nitschke M, Crea J	1997	Glutaraldehyde exposures and symptoms among endoscopy nurses in South Australia. Appl. Occup. Environ. Hyg. 12: 171-177, BPD ID A6.12.4_03 Non GLP, Published	No	Public
A6.12.4_04	Di Stefano F, Siriuttanapruk S, McCoach J, Sherwood Burge P	1999	Glutaraldehyde: an occupational hazard in the hospital setting. Allergy 54: 1105-1109, BPD ID A6.12.4_04 Non GLP, Published	No	Public
A6.12.4_05	Gannon PFG, Bright P, Campbell M, O'Hickey SP, Sherwood Burge P	1995	Occupational asthma due to glutaraldehyde and formaldehyde in endoscopy and x ray departments. Thorax 50: 156-159, BPD ID A6.12.4_05 Non GLP, Published	No	Public
A6.12.4_06	Corrado OJ,	1986	Asthma and rhinitis after exposure to	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
	Osman J, Davies RJ		glutaraldehyde in endoscopy units. Human Toxicol. 5: 325-328, BPD ID A6.12.4_06 Non GLP, Published		
A6.12.4_07	Palczynski C, Walusiak J, Ruta U, Gorski P	2001	Occupational asthma and rhinitis due to glutaraldehyde: changes in nasal lavage fluid after specific inhalatory test. Allergy 56: 1186-1191, BPD ID A6.12.4_07 Non GLP, Published	No	Public
A6.12.4_08	Curran AD, Burge PS, Wiley K	1996	Clinical and immunologic evaluation of workers exposed to glutaraldehyde. Allergy 51: 826-832, BPD ID A6.12.4_08 Non GLP, Published	No	Public
A6.12.4_09	Norbaeck D	1988	Skin and respiratory symptoms from exposure to alkaline glutaraldehyde in medical services. Scand. J. Work Environ. Health 14: 366-371, BPD ID A6.12.4_09 Non GLP, Published	No	Public
A6.12.4_10	Jachuck SJ, Bound CL, Steel J, Blain PG	1989	Occupational hazard in hospital staff exposed to 2 per cent glutaraldehyde in an endoscopy unit. J. Soc. Occup. Med. 39 69-71, BPD ID A6.12.4_10 Non GLP, Published	No	Public
A6.12.4_11	McDonald JC, Keynes HL, Meredith SK	2000	Reported incidence of occupational asthma in the United Kingdom, 1989-97. Occup. Environ. Med. 57: 823-829, BPD ID A6.12.4_11 Non GLP, Published	No	Public
A6.12.5_01	Anadol D, Özcelik U, Kiper N, Göcmen A	2001	Chemical pneumonia caused by glutaraldehyde. Pediatric International 43:701-702, BPD ID A6.12.5_01 Non GLP, Published	No	Public
A6.12.5_02	Murray WJ, Ruddy MP	1985	Toxic eye injury during induction of anesthesia. South. Med. J. 78: 1012-1013, BPD ID A6.12.5_02 Non GLP, Published	No	Public
A6.12.5_03	Ünal M, Yucel I, Akar Y, Oner A, Altin M	2006	Outbreak of toxic anterior segment syndrome associated with glutaraldehyde after cataract surgery. J Cataract Refract Surg. 32(10):1696-701, BPD ID A6.12.5_03 Non GLP, Published	No	Public
A6.12.5_04	Karpelowsky JS, Maske CP, Sinclair-Smith C, Rode H	2006	Glutaraldehyde-induced bowel injury after laparoscopy. J Pediatr Surg. 2006 Jun;41(6):e23-52, BPD ID A6.12.5_04 Non GLP, Published	No	Public
A6.12.5_05	Caprilli R, Viscido A, Frieri G, Latella G	1998	Acute colitis following colonoscopy. Endoscopy 30: 428-431, BPD ID A6.12.5_05 Non GLP, Published	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6.12.5_06	West AB, Kuan S-F, Bennick M, Lagarde S	1995	Gastroenterology 108: 1250-1255, BPD ID A6.12.5_06 Non GLP, Published	No	Public
A6.12.6_01	Reifenrath WG, Prystowsky SD, Nonomura JH, Robinson PB	1985	Topical glutaraldehyde-percutaneous penetration and skin irritation. Arch. Dermatol. Res. 277: 242-244, BPD ID A6.12.6_01 Non GLP, Published	No	Public
A6.12.6_02	Ballantyne B, Berman B	1984	Dermal sensitizing potential of glutaraldehyde: a review and recent observations. J. Toxicol. - Cut. & Ocular Toxicol. 3: 251-262, BPD ID A6.12.6_02 Non GLP, Published	No	Public
A6.12.6_03	Fowler JF	1989	Allergic contact dermatitis from glutaraldehyde exposure. J. Occup. Med. 31: 852-853, BPD ID A6.12.6_03 Non GLP, Published	No	Public
A6.12.6_04	Shaffer MP, Belsito DV	2000	Allergic contact dermatitis from glutaraldehyde in health-care workers. Contact Dermatitis 43: 150-156, BPD ID A6.12.6_04 Non GLP, Published	No	Public
A6.12.6_05	Kiec-Swierczynska M, Krecisz B	2001	Occupational allergic contact dermatitis in hairdressers due to glutaraldehyde. Contact Dermatitis 44: 185-186, BPD ID A6.12.6_05 Non GLP, Published	No	Public
A6.12.6_06	Juhlin L, Hansson H	1968	Topical glutaraldehyde for plantar hyperhidrosis. Arch. Derm. 97: 327-330, BPD ID A6.12.6_06 Non GLP, Published	No	Public
A6.12.7_01	None	2007	PAN Pesticides Database – Chemicals Non GLP, Published (online data)	No	Public
A6.12.8_01	Anadol D, Özcelik U, Kiper N, Göcmen A	2001	Chemical pneumonia caused by glutaraldehyde. Pediatric International 43:701–702, BPD ID A6.12.5_01 Non GLP, Published	No	Public
A6.12.8_02	Ünal M, Yucel I, Akar Y, Oner A, Altin M	2006	Outbreak of toxic anterior segment syndrome associated with glutaraldehyde after cataract surgery. J Cataract Refract Surg. 32(10):1696-701, BPD ID A6.12.5_03 Non GLP, Published	No	Public
A6.12.8_03	Karpelowsky JS, Maske CP, Sinclair-Smith C, Rode H	2006	Glutaraldehyde-induced bowel injury after laparoscopy. J Pediatr Surg. 2006 Jun;41(6):e23-52, BPD ID A6.12.5_04 Non GLP, Published	No	Public
A6.12.8_04	Caprilli R, Viscido A, Frieri G, Latella G	1998	Acute colitis following colonoscopy. Endoscopy 30: 428-431, BPD ID A6.12.5_05 Non GLP, Published	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6.14_01	[REDACTED]	2006	Glutaraldehyde release into the air during simulated manual processing of endoscopes. [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.14_02	[REDACTED]	1994	Measurement of glutaraldehyde concentrations in [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.14_03	[REDACTED]	1997	Glutaraldehyde measurements at [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.14_04	[REDACTED]	2007	Monitoring of laboratory personnel. [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	BASF
A6.15.3_01_a	The Scientific Committee on Food of the European Commission, Health & Consumer Protection Directorate-General	1999	Opinion on an additional list of monomers and additives for food contact Annex VII to the minutes of the 119 th Plenary meeting, 12 December 1999, BPD ID A6.15.3_01_a Non GLP, Published	No	Public
A6.15.3_01_b	The Codex Alimentarius Commission of the Food and Agriculture Organization of the United Nations, WHO	2005	Agenda Item 11(b), CX/RVDF 06/16/13 (Part 1), Report of the working group on residues of veterinary drugs without ADI/MRL, BPD ID A6.15.3_01_b Non GLP, Published	No	Public
A6.15.3_01_c	The European Medicines Agency	2007	Veterinary Medicines and Inspections, Status if MRL procedures, MRL assessments in the context of Council Regulation (EEC) No 2377/90, 21 March 2007, BPD ID A6.15.3_01_c Non GLP, Published	No	Public

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A7.1.1.1.1_01	[REDACTED]	1991	Hydrolysis of 14 C-Glutaraldehyde in aqueous solutions buffered at pH 5, 7 and 9. [REDACTED] [REDACTED]	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			GLP, Unpublished		
A7.1.1.2.1_01	██████	1993	Determination of the Biodegradability or the Elimination of ██████ in the DOC Die Away (ISO 7827)-Test. ██████ ██ ██ ██ GLP, Unpublished	Yes	BASF
A7.1.1.2.3_01	██████	2002	████████████████████ Glutaraldehyde), Determination of the Biodegradability in the marine CO2-Evolution Test. ██████ ██ ██ ██ GLP, Unpublished	Yes	BASF
A7.1.2.1.1_01	██████	1998	Determination of the Biodegradability of ██████ in the Activated Sludge Simulation Test according to GLP, EN 45001 and ISO 9002. ██ ██ ██ GLP, Unpublished	Yes	BASF
A7.1.2.2.2_01	██████	1994	Aerobic Aquatic Metabolism of [¹⁴ C]-Glutaraldehyde in River Water and Sediment. ██ GLP, Unpublished	Yes	Dow BASF
A7.1.2.2.2_02	██████	1994	Anaerobic Aquatic Metabolism of [¹⁴ C] – Glutaraldehyde. ██ GLP, Unpublished	Yes	Dow BASF
A7.1.3_02	██████████	2001	Determination of the Adsorption of Glutaraldehyde to Activated Sludge Using the ISO/CD 18749 Batch ██████████ ██ ██ GLP, Unpublished	Yes	Dow BASF
A7.2.3.1_01	██████████	1994	Soil Adsorption/Desorption of [¹⁴ C] Glutaraldehyde by the Batch Equilibrium Method. ██ GLP, Unpublished	Yes	Dow BASF
A7.3.1_01	██████	2005	Glutaraldehyde, SRC calculations. ██████ ██ ██ Non GLP, Unpublished	No	BASF
A7.4.1.1_01	██████████	1981	Acute toxicity of Glutaraldehyde ██████ to	Yes	BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			Rainbow trout (<i>Salmo gairdneri</i>). ██ ██ Non GLP, Unpublished		
A7.4.1.1_02	██████████	1981	Acute toxicity of Glutaraldehyde ██████ to Bluegill (<i>Lepomis macrochirus</i>). ██ ██ Non GLP, Unpublished	Yes	BASF
A7.4.1.1_03	██████████	1981	Acute toxicity of Glutaraldehyde to Sheepshead minnows (<i>Cyprinodon variegatus</i>). ██ ██ Non GLP, Unpublished	Yes	BASF
A7.4.1.2_01	██████████	1988	Determination of the acute toxicity of Glutardialdehyd ██████ to the waterflea <i>Daphnia magna</i> ██ ██ Non GLP, Unpublished	Yes	BASF
A7.4.1.2_02	██████████	1981	Acute toxicity of Glutaraldehyde ██████ to the Water Flea (<i>Daphnia magna</i>). ██ ██ Non GLP, Unpublished	Yes	BASF
A7.4.1.2_04	██████████	1995	Glutaraldehyde - Acute toxicity to Mysids (██████████) under flow-through conditions. ██ ██ GLP, Unpublished	Yes	BASF
A7.4.1.2_05	██████████	1993	Glutaraldehyde - Acute Toxicity to Eastern Oysters (██████████) Under Flow-Through Conditions. ██ ██ GLP, Unpublished	Yes	Dow BASF
A7.4.1.2_06	██████████	1997	██ Acute Toxicity to <i>Acartia Tonsa</i> . ██ ██ GLP, Unpublished	Yes	Dow BASF

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A7.4.1.2_07	[REDACTED]	2013	Acute toxicity of [REDACTED] to the marine species <i>Acartia tonsa</i> . [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7.4.1.2_08	[REDACTED]	2013	Assessment of the toxicity (48h LC50) of [REDACTED] the marine copepod <i>Acartia tonsa</i> . [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7.4.1.3_01	[REDACTED]	1997	Determination of the inhibitory effect of [REDACTED] on cell multiplication of unicellular green algae. [REDACTED] Non GLP, Unpublished	Yes	BASF
A7.4.1.3_02	[REDACTED]	1993	Algal growth inhibition test. [REDACTED] translation of a German report dated 1988), [REDACTED] Non GLP, Unpublished	Yes	BASF
A7.4.1.4_01	[REDACTED]	1998	Determination of the inhibition of Oxygen Consumption by activated Sludge by [REDACTED] in the Respiration Inhibition Test. [REDACTED] GLP, Unpublished	Yes	BASF
A7.4.3.2_01	[REDACTED]	2000	[REDACTED] Glutaraldehyde) - Early Life-Stage toxicity test on the Rainbow trout (<i>Oncorhynchus mykiss</i>). [REDACTED] GLP, Unpublished	Yes	BASF
A7.4.3.4_01	[REDACTED]	1993	Determination of the chronic toxicity of [REDACTED] to <i>Daphnia magna</i> . [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF
A7.5.1.1_01	[REDACTED]	2006	[REDACTED] Determination of the carbon transformation by the glucose induced soil respiration (Carbon Transformation Test). [REDACTED] [REDACTED]	Yes	BASF Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] GLP, Unpublished		
A7.5.1.1_02	[REDACTED]	2006	[REDACTED], Determination of the nitrate production in soil (Nitrogen Transformation Test). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7.5.1.2_01	[REDACTED]	2002	[REDACTED] Glutaraldehyde) Determination of the acute letal effect of chemicals on the earthworm [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7.5.1.3_02	[REDACTED]	2010	[REDACTED] Determination of the effect of chemicals on the emergence and growth of higher plants. [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF Dow

Appendix V: List of Studies of Dow

Data protection is claimed by the applicant in accordance with Article 60 of Regulation (EU) No 528/2012.

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A2_6	[REDACTED]	2003	[REDACTED] Manufacturing Description. The Dow Chemical Company Unpublished	Yes	Dow
A3_1_1	[REDACTED]	2000a	Freezing Point/Melting Point of [REDACTED] GLP, Unpublished	Yes	Dow
A3_1_2	[REDACTED]	2000b	Boiling Point of [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A3_1_3	[REDACTED]	2000c	Density of [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A3_2	[REDACTED]	2006	A Critical Evaluation of Vapor Pressure Measurements for Aqueous Glutaraldehyde Formulations. [REDACTED] Non GLP, Unpublished	Yes	Dow
A3_2	Olson J.D.	1998	The vapor pressure of pure and aqueous glutaraldehyde. Fluid Phase Equilibria Vol. 150-151, p 713-720	No	Public Domain
A3_3	[REDACTED]	2007	Determination of pH, odour, colour, physical state and acidity of Glutaraldehyde. [REDACTED] GLP, Unpublished	Yes	Dow
A3_4_1	[REDACTED]	2000	Ultra Violet/Visible (UV/vis) Spectrometry of [REDACTED] Glutaraldehyde. [REDACTED] Non GLP, Unpublished	Yes	Dow
A3_4_2	[REDACTED]	2000	Fourier Transform Infrared Spectrometry (FT-IR) of [REDACTED] Glutaraldehyde. [REDACTED] Non GLP, Unpublished	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A3_4_3	[REDACTED]	1999	[REDACTED] Analytical Characterization. [REDACTED] GLP, Unpublished	Yes	Dow
A3_4_4	[REDACTED]	1999	[REDACTED] Analytical Characterization. [REDACTED] GLP, Unpublished	Yes	Dow
A3_5	[REDACTED]	1994	[REDACTED] Determination of the Solubility in Water and Selected Solvents. [REDACTED] GLP, Unpublished	Yes	Dow
A3_7	[REDACTED]	1994	[REDACTED] Determination of the Solubility in Water and Selected Solvents. [REDACTED] GLP, Unpublished	Yes	Dow
A3_9	[REDACTED]	1996	[REDACTED] Partition Coefficient (n-Octanol/Deionized Water) of [¹⁴ C]Glutaraldehyde. [REDACTED] GLP, Unpublished	Yes	Dow
A3_10	[REDACTED]	1994	[REDACTED] Stability of Glutaraldehyde. [REDACTED] GLP, Unpublished	Yes	Dow
A3_11	[REDACTED]	1989	[REDACTED] End-Use Product Chemistry: [REDACTED] Non GLP, Unpublished	Yes	Dow
A3_12	[REDACTED]	2009	[REDACTED] Flash Point of [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	Dow
A3_13	[REDACTED]	2000	[REDACTED] Surface Tension of [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A3_14	[REDACTED]	2000	[REDACTED] Viscosity of [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A3_15	[REDACTED]	1989	[REDACTED] End-Use Product Chemistry: [REDACTED]	Yes	Dow

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			[REDACTED] Non GLP, Unpublished		
A3_16	[REDACTED]	1989	End-Use Product Chemistry: [REDACTED] Non GLP, Unpublished	Yes	Dow
A3_17	[REDACTED]	2000	Shipping and Storage Compatibility for Glutaraldehyde. [REDACTED] Non GLP, Unpublished	Yes	Dow
A4_1/01	[REDACTED]	2001	Development and Validation of an Analytical Method for Glutaraldehyde [REDACTED] GLP, Unpublished	Yes	Dow
A4_1/02	[REDACTED]	2005	Five Batch Analysis of [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A4_1/03	[REDACTED]	2010	Glutaraldehyde Concentration by Potentiometric Hydroxylamine Hydrochloride Titration. [REDACTED] Non GLP, unpublished	Yes	Dow
A4_1/04	[REDACTED]	2007	Methanol in Glutaraldehyde. [REDACTED] Non GLP, unpublished	Yes	Dow
A4_2 (a)	[REDACTED]	2008	Glutaraldehyde: Development and Validation of an Analytical Method for the Determination of Glutaraldehyde in Soil. [REDACTED] GLP, Unpublished	Yes	Dow
A4_2 (b)	OSHA	1987, additional data 1998	US Department of Labour, Occupational Safety and Health Administration (OSHA) Method 64 (Glutaraldehyde in Air), OSHA Analytical Methods Manual, 1987, Additional data 1998.	No	US Department of Labour, Occupational Safety and Health Administration
A4_2 (c)(1)	[REDACTED]	2008	Glutaraldehyde: Development and Validation of an Analytical Method for	Yes	Dow

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			the Determination of Glutaraldehyde in Water. [REDACTED] GLP, Unpublished		
A4_2(d)	[REDACTED]	2004	Glutaraldehyde: Pharmacokinetics in [REDACTED] Rats Following Oral Gavage or Dermal Application. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A5_4	Eager R.G.Jr, Leder J. and Theis A.B.	1986	Glutaraldehyde: Factors Important for Microbiocidal Efficacy. Presentation at the Third Conference on Progress in Chemical Disinfection, Binghamton, NY, April 3-5, 1986. Union Carbide Corporation, a fully-owned subsidiary of The Dow Chemical Company	No	Public Domain
A5_7/01	Griffiths P.A., Babb J.R., Bradley C.R. and Fraise A.P.	1997	Glutaraldehyde-resistant <i>Mycobacterium chelonae</i> from endoscope washer disinfectors. Journal of Applied Microbiology 82(4): 519-526.	No	Public Domain
A5_7/02	Duarte R.S., Lourenço M.C.S., de Souza Fonseca L., Cardoso Leao S., de Lourdes E., Amorin T., Rocha I.L.L., Santana Coelho F., Viana-Niero C., Machado Gomes K., Gomes da Silva M., de Oliveira Lorena N.S., Bettini Bitombo M. Ferreira, R.M.C., de Oliveira Garcia M.H., Pinto de Oliveira G., Lupi O., Rios Vilaça B., Rodrigues Serradas L., Chebabo A., Andrade Marques E., Martins Teixeira L. Dalcolmo M., Conçalves Senna S. and Mello Sampaio J.L.	2009	Epidemic of Postsurgical Infections Caused by <i>Mycobacterium massiliense</i> . Journal of Clinical Microbiology 47(7): 2149-2155.	No	Public Domain
A5_7/03	Gregory A.W., Schaalje G.B., Smart J.D. and Robison R.A.	1999	The Mycobactericidal Efficacy of Ortho-Phthalaldehyde and the Comparative Resistances of <i>Mycobacterium bovis</i> , <i>Mycobacterium terrae</i> , and <i>Mycobacterium chelonae</i> .	No	Public Domain

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			Infection Control and Hospital Epidemiology 20(5): 324-330		
A5_7/04	[REDACTED]	2001	Bacterial resistance to glutaraldehyde: is it a problem? [REDACTED] Non GLP , Unpublished	Yes	Dow
A6_1_1	[REDACTED]	1992	[REDACTED]: Acute Peroral Toxicity Study in the Rat. [REDACTED] GLP, Unpublished	Yes	Dow
A6_1_2	[REDACTED]	1981	Glutaraldehyde Dilutions: Percutaneous Toxicity and Eye Irritation Studies. [REDACTED] Non GLP, Unpublished	Yes	Dow
A6_1_3/01	[REDACTED]	1982	Glutaraldehyde: Four-Hour LC50 Inhalation Study on Rats. [REDACTED] Non GLP, Unpublished	Yes	Dow
A6_1_3/02	[REDACTED]	1994	Acute inhalation toxicity LC50 4 hours (rat) of "glutaraldehyde [REDACTED]", liquid aerosol study ([REDACTED]). [REDACTED] (original report in German dated 1982)	Yes	BASF Dow
	[REDACTED]	2012	Addendum: [REDACTED] - Technical trial without animals. [REDACTED] Non GLP, Unpublished	Yes	BASF Dow
A6_1_3/03	[REDACTED]	1995	Glutaraldehyde: Acute Vapor Inhalation Toxicity Study in Rats. [REDACTED] GLP, Unpublished	Yes	Dow
A6_1_4 (e)	[REDACTED]	1987a	[REDACTED]: Primary Eye Irritancy Study in the Rabbit. [REDACTED]	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			GLP, Unpublished		
A6_1_4 (s)	[REDACTED]	1988	[REDACTED] Aqueous Glutaraldehyde Samples ([REDACTED]): Primary Dermal Irritancy Studies in the Rabbit. [REDACTED] GLP, Unpublished	Yes	Dow
A6_1_5/01	[REDACTED]	1993	Guinea Pig Maximisation Test with Glutaraldehyde (Method of Magnusson and Kligman). [REDACTED] GLP, Unpublished	Yes	Dow
A6_1_5/02	[REDACTED]	1994	Mouse Lymph Node Assay and Mouse IgE Test on Glutaraldehyde. [REDACTED] Non GLP, Unpublished	Yes	Dow
A6_2/01	[REDACTED]	2004	¹⁴ C-GDA- Study of the Biokinetics in Rats. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A6_2/02	[REDACTED]	2004	Glutaraldehyde: Pharmacokinetics in [REDACTED] Rats Following Oral Gavage or Dermal Application. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A6_2/03	[REDACTED]	2007	Glutaraldehyde: Pharmacokinetics of Drinking Water Administered Glutaraldehyde In [REDACTED] Rats. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A6_2/04	[REDACTED]	1991	Glutaraldehyde: Species Comparisons of <i>In Vitro</i> Skin Penetration Following a Single Application to the [REDACTED] [REDACTED] Rats, [REDACTED] Mice, [REDACTED] Guinea Pigs, and [REDACTED] Rabbits. [REDACTED] GLP, Unpublished	Yes	Dow
A6_2/05(a)	[REDACTED]	2007	Glutaraldehyde: Identification of Metabolites in the [REDACTED] Rat. [REDACTED]	Yes	Dow BASF

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			[REDACTED] GLP, Unpublished		
A6_2/05(b)	Migneault I., Dartiguenave C., Bertrand M. J. and Waldron K. C.	2004	Glutaraldehyde: behaviour in aqueous solution, reaction with proteins and application to enzyme crosslinking. Biotechniques 37(5) 790-802	No	Public domain
A6_2/06	[REDACTED]	1985	Skin Penetration and Pharmacokinetics of Glutaraldehyde in Rats and Rabbits. [REDACTED] GLP, Unpublished	Yes	Dow
A6_2/07(a)	Reifenrath W.G., Prystowsky S.D., Nonomura J.H., Robinson P.B.	1985	Topical Glutaraldehyde-Percutaneous Penetration and Skin Irritation. Archives of Dermatological Research (1985) 277:242-244	No	Public domain
A6_2/07(b)	Schechter I.	1971	Prolonged Survival of Glutaraldehyde-Treated Skin Homografts. Proc. Nat. Acad. Sci. USA 68(7) 1590-1593	No	Public domain
A6_2/07(c)	Harriger M.D., Supp A.P., Warden G.D. and Boyce S.T.	1997	Glutaraldehyde crosslinking of collagen substrates inhibits degradation in skin substitutes grafted to athymic mice. J. Biomed. Materials Res. 35, 137-145	No	Public domain
A6_3_2	[REDACTED]	1994	Glutaraldehyde: Twenty-Eight Day Repeated Cutaneous Dose Toxicity Study in [REDACTED] Rats. [REDACTED] GLP, Unpublished	Yes	Dow
A6_4_1/01	[REDACTED]	1985a	Glutaraldehyde: Ninety-Day Inclusion in Drinking Water of Rats. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A6_4_1/02	[REDACTED]	1990a	Glutaraldehyde: 13-Week Toxicity Study in Dogs with Administration Via the Drinking Water. [REDACTED] GLP, Unpublished	Yes	Dow
A6_4_1/03	[REDACTED]	1989	Glutaraldehyde: Ninety-day Drinking Water Toxicity Study in Mice. [REDACTED]	Yes	Dow

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			[REDACTED] GLP, Unpublished		
A6_4_2/01	[REDACTED]	1980	Subchronic Test of Aqueous Glutaraldehyde [REDACTED] Mice and [REDACTED] Rats. [REDACTED] Non GLP, Unpublished	Yes	Dow
A6_4_2/02	[REDACTED]	1980	Subchronic Test of Aqueous Glutaraldehyde ([REDACTED]) in [REDACTED] Mice and [REDACTED] Rats. [REDACTED] Non GLP, Unpublished	Yes	Dow
A6_4_3/01	Kari F.W.	1993	NTP Technical Report on Toxicity Studies of Glutaraldehyde (CAS No. 111-30-8) Administered by Inhalation to F344/N Rats and B6C3F ₁ Mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health. NIH Publication No: 93-3348	No	US Department of Health and Human Services
A6_4_3/02	Kari F.W.	1993	NTP Technical Report on Toxicity Studies of Glutaraldehyde (CAS No. 111-30-8) Administered by Inhalation to F344/N Rats and B6C3F ₁ Mice. US Department of Health and Human Services, Public Health Service, National Institutes of Health. NIH Publication No: 93-3348	No	US Department of Health and Human Services
A6_5/01	[REDACTED]	1994	Glutaraldehyde: Combined Chronic Toxicity/Oncogenicity Study in the Drinking Water of Rats. [REDACTED] GLP, Unpublished	Yes	Dow
A6_5/02	U.S. Department of Health and Human Services	1999	NTP Technical Report on the Toxicology and Carcinogenesis Studies of Glutaraldehyde (CAS No. 111-30-8) in F344/N Rats and B6C3F ₁ Mice (Inhalation Studies). US Department of Health and Human Services, Public Health Service, National Institutes of Health. NIH Publication No. 99-3980	No	Public Domain
A6_6_1/01	[REDACTED]	1993	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): Mutagenic Potential in the <i>Salmonella</i> /microsome (Ames) Assay. [REDACTED] GLP, Unpublished	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A6_6_1/02	Wantanabe K., Sakamoto K. and Sasaki T.	1998	Comparisons on chemically-induced mutation among four bacterial strains, <i>Salmonella typhimurium</i> TA102 and TA2638, and <i>Escherichia coli</i> WP2/pKM101 and WP2 <i>uvrA</i> /pKM101: collaborative study II. Mutation Research 412, 17-31	No	Public Domain
A6_6_1/03	Muller W., Engelhart G., Herbold B., Jaeckh R. and Jung R.	1993	Evaluation of Mutagenicity Testing with <i>Salmonella typhimurium</i> TA102 in Three Different Laboratories. Environmental Health Perspectives Supplements 101 (Suppl. 3), 33-36	No	Public Domain
A6_6_2/01	[REDACTED]	1994	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): Sister Chromatid Exchange Assay in Cultured CHO Cells. [REDACTED] GLP, Unpublished	Yes	Dow
A6_6_2/02	[REDACTED]	1991	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): <i>In Vitro</i> Chromosomal Aberrations Assay in Chinese Hamster Ovary Cells. [REDACTED] GLP, Unpublished	Yes	Dow
A6_6_3/01	[REDACTED]	1994	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): Mutagenic Potential in the CHO/HGPRT Forward Mutation Assay. [REDACTED] GLP, Unpublished	Yes	Dow
A6_6_3/02	McGregor D.B., Brown A., Cattanaach P., Edwards I., McBride D. and Caspary W.J.	1988	Responses of the L5178Y tk+ / tk- Mouse Lymphoma Cell Forward Mutation Assay II: 18 Coded Chemicals. Environmental and Molecular Mutagenesis 11, 91-118	No	Public Domain
A6_6_3/03	Slesinski R.S., Hengler W.C., Guzzie P.J. and Wagner K.J.	1983	Mutagenicity Evaluation of Glutaraldehyde in a Battery of <i>In Vitro</i> Bacterial and Mammalian Test Systems. Fd. Chem. Toxic. 21(5), 621-629	No	Public Domain
A6_6_4/01	[REDACTED]	1993	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): <i>In-vivo</i> Peripheral Blood Micronucleus Test with [REDACTED] Mice. [REDACTED]	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] GLP, Unpublished		
A6_6_4/02	[REDACTED]	1993	[REDACTED] (Glutaraldehyde, [REDACTED] Aqueous Solution): Bone Marrow Chromosomal Aberrations Assay in Rats. [REDACTED] GLP, Unpublished	Yes	Dow
A6_6_5	Mirsalis J.C., Tyson C.K., Steinmetz K.L., Loh E.K., Hamilton C.M., Bakke J.P. and Spalding J.W.	1989	Measurement of Unscheduled DNA Synthesis and S-Phase Synthesis in Rodent Hepatocytes Following In Vivo Treatment: Testing of 24 Compounds. Environmental and Molecular Mutagenesis 14, 155-164	No	Public Domain
A6_7/01	[REDACTED]	1994	Glutaraldehyde: Combined Chronic Toxicity/Oncogenicity Study in the Drinking Water of Rats. [REDACTED] GLP, Unpublished	Yes	Dow
A6_7/02	U.S. Department of Health and Human Services	1999	NTP Technical Report on the Toxicology and Carcinogenesis Studies of Glutaraldehyde (CAS No. 111-30-8) in F344/N Rats and B6C3F1 Mice (Inhalation Studies). Public Health Service, National Institutes of Health. NIH Publication No. 99-3980	No	Public Domain
A6_8_1/01	[REDACTED]	1991a	Study of the Prenatal Toxicity of Glutaraldehyde in Rabbits After Oral Administration (Gavage). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6_8_1/02	[REDACTED]	1991b	Study of the Prenatal Toxicity of Glutaraldehyde in Rats After Oral Administration (Drinking Water). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A6_8_2	[REDACTED]	1994	Glutaraldehyde: Two-Generation Reproduction Study in the Drinking Water of [REDACTED] Rats. [REDACTED]	Yes	Dow

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			GLP, Unpublished		
A6_12_1	Teta M. J., Avashia B.H., Cawley T.J. and Yamin A.T.	1995	Absences of Sensitizations and Cancer Increases Among Glutaraldehyde Workers. Toxic Substance Mechanisms 14:293-305	No	Public Domain
A6_12_2/01	Stenton S.C., Beach J.R., Dennis J.H., Keaney N.P. and Hendrick D.J.	1994	Glutaraldehyde, asthma and work - a cautionary tale. Occup.Med. 44 (2):95-98	No	Public Domain
A6_12_2/02	Gannon P.F.G., Bright P., Campbell M., O'Hickney S.P. and Sherwood Burge P.	1995	Occupational asthma due to glutaraldehyde and formaldehyde in endoscopy and x ray departments. Thorax 50, 156-159	No	Public Domain
A6_12_2/03	Curran A.D., Burge P.S. and Wiley K.	1996	Clinical and immunologic evaluation of workers exposed to glutaraldehyde. Allergy 51, 826-832	No	Public Domain
A6_12_2/04	Di Stefano F., Siriruttanapruk S., McCoach J., Sherwood Burge P.	1999	Glutaraldehyde: an occupational hazard in the hospital setting. Allergy 54, 1105-1109	No	Public Domain
A6_12_4/01	[REDACTED]	2006	Odor and Chemesthesis from Exposures to Glutaraldehyde Vapor. [REDACTED] Non GLP, Unpublished	Yes	Dow BASF
A6_12_4/01	[REDACTED]	2006	Occupational Exposure Limits for Glutaraldehyde: A Comparison to Exposures in the Cain Glutaraldehyde Study. [REDACTED]	Yes	Dow
A6_12_4/02	Ballantyne B. and Berman B	1984	Dermal Sensitizing Potential of Glutaraldehyde: A Review and Recent Observations. Journal of Toxicology, Cutaneous & Ocular Toxicology 3(3), 251-262	No	Public Domain
A6_12_4/03	Shaffer M. P. and Belsito D.V.	2000	Allergic contact dermatitis from glutaraldehyde in health-care workers. Contact Dermatitis 43, 150-156	No	Public Domain
A6_12_4/04	Pisaniello D.L., Gun R.T., Tkaczuk M.N., Nitschke M. and Crea J.	1997	Glutaraldehyde Exposures and Symptoms Among Endoscopy Nurses in South Australia. Appl. Occup. Environ. Hyg. 12(3), 171-177	No	Public Domain
A6_12_4/05	Vyas A., Pickering C.A.C., Oldham L.A., Francis H.C., Fletcher A.M., Merret T. and Niven, R.McL.	2000	Survey of symptoms, respiratory function, and immunology, and their relationship to glutaraldehyde and other occupational exposures among endoscopy nursing staff. Occupational and Environmental	No	Public Domain

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			Medicine 57(11), 752-759		
A7_1_1_1_1	[REDACTED]	1992	Hydrolysis of [1,5- ¹⁴ C] Glutaraldehyde at pH 5, 7 and 9. [REDACTED] GLP, Unpublished	Yes	Dow
A7_1_1_1_2	[REDACTED]	1992	Sunlight Photodegradation of [1,5- ¹⁴ C] Glutaraldehyde in a Buffered Aqueous Solution at pH 5. [REDACTED] GLP, Unpublished	Yes	Dow
A7_1_1_2_1	[REDACTED]	2000	[REDACTED]: Ready Biodegradability by the Dissolved Organic Carbon Die-Away Test Method. [REDACTED] GLP, Unpublished	Yes	Dow
A7_1_1_2_3	[REDACTED]	2000	Biodegradability in Seawater Study-Closed Bottle Method. [REDACTED] Non GLP, Unpublished	Yes	Dow
A7_1_2_2_2/01	[REDACTED]	1994	Aerobic Aquatic Metabolism of [¹⁴ C]-Glutaraldehyde in River Water and Sediment. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_1_2_2_2/02	[REDACTED]	1994	Anaerobic Aquatic Metabolism of [¹⁴ C] – Glutaraldehyde. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_1_3	[REDACTED]	2001	Determination of the Adsorption of Glutaraldehyde to Activated Sludge Using the ISO/CD 18749 Batch Adsorption Test. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_2_3_1	[REDACTED]	1994	Soil Adsorption/Desorption of [¹⁴ C] Glutaraldehyde by the Batch Equilibrium Method. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_4_1_1	[REDACTED]	1993	Glutaraldehyde - Acute Toxicity to [REDACTED] Under Flow-Through Conditions. [REDACTED] GLP, Unpublished	Yes	Dow

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A7_4_1_2/01	[REDACTED]	2006	Glutaraldehyde- Acute Toxicity to [REDACTED] Under Flow-Through Conditions. [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_1_2/02	[REDACTED]	1993	Glutaraldehyde - Acute Toxicity to [REDACTED] Under Flow-Through Conditions. [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_1_2/03	[REDACTED]	1993	Glutaraldehyde - Acute Toxicity to [REDACTED] Under Flow-Through Conditions. [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_4_1_2/04	[REDACTED]	1997	[REDACTED]: Acute Toxicity to <i>Acartia Tonsa</i> . [REDACTED] GLP, Unpublished	Yes	Dow BASF
A7_4_1_2/05	[REDACTED]	2013	Acute toxicity of [REDACTED] to the marine species [REDACTED] [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7_4_1_2/06	[REDACTED]	2013	Assessment of the toxicity (48h LC50) of [REDACTED] to the marine copepod <i>Acartia tonsa</i> . [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7_4_1_3/01	[REDACTED]	2001	Fresh water algal growth inhibition test with glutaraldehyde [REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_1_3/02	[REDACTED]	1997	[REDACTED]: Marine Algal Inhibition Test. [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_1_4	[REDACTED]	1995	Assessment of the acute toxicity of [REDACTED] on aerobic waste water bacteria. [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_3_2/01	[REDACTED]	1999	[REDACTED]: An Early Life-Stage Toxicity Test with the Fathead Minnow ([REDACTED] [REDACTED] GLP, Unpublished	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
A7_4_3_2/02	Sano L.L., Krueger A.M. and Landrum P.F.	2005	Chronic toxicity of glutaraldehyde: differential sensitivity of three freshwater organisms. Aquatic Toxicology 71:283-296	No	Public Domain
A7_4_3_4/01	[REDACTED]	2003	<i>Daphnia</i> [REDACTED], reproduction test with glutaraldehyde [REDACTED] (flow-through). [REDACTED] GLP, Unpublished	Yes	Dow
A7_4_3_4/02	Sano L.L., Krueger A.M. and Landrum P.F.	2005	Chronic toxicity of glutaraldehyde: differential sensitivity of three freshwater organisms. Aquatic Toxicology 71:283-296	No	Public Domain
A7_5_1_1/01	[REDACTED]	2007	[REDACTED], Determination of the Carbon Transformation by the Glucose induced soil respiration (Carbon Transformation Test). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7_5_1_1/02	[REDACTED]	2007	[REDACTED], Determination of the nitrate production in soil (Nitrogen Transformation Test). [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7_5_1_2	[REDACTED]	2002	[REDACTED] Glutaraldehyde), Determination of the acute letal effect of chemicals on the earthworm [REDACTED]. [REDACTED] GLP, Unpublished	Yes	BASF Dow
A7_5_1_3	[REDACTED]	2010	[REDACTED], Determination of the effect of chemicals on the emergence and growth of higher plants. [REDACTED] GLP, Unpublished	Yes	BASF Dow
A8	[REDACTED]	2004	MSDS [REDACTED] [REDACTED] 17 July 2013	Yes	Dow
B5_10/01	[REDACTED]	2004	Data on products and processes, [REDACTED] Rate of Kill tests. Laboratory information, [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/02	[REDACTED]	2004	Data on products and processes, Minimum Cidal Concentration tests [REDACTED] [REDACTED]	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			Laboratory information, [REDACTED] [REDACTED] Non GLP, Unpublished		
B5_10/03	[REDACTED]	2004	Data on products and processes, [REDACTED] efficacy vs. Sulfate-Reducing Bacteria (SRB's). Laboratory information [REDACTED] [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/04a	[REDACTED]	2008	Determination of the activity of [REDACTED] as a microbiocide (bactericide and fungicide) in a cooling water sample according to ASTM 645-07. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/04b	[REDACTED]	1987	Efficacy Testing of [REDACTED] Against [REDACTED] in Cooling Tower Water. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/04f	[REDACTED]	2002	[REDACTED] – CAS Reg. No. 111-30-8. The Dow Chemical Company Product Information [REDACTED] (non GLP, published) gathering the following studies: 1/ Study A – [REDACTED] AOAC Germicidal and Detergent Sanitizer Test”, [REDACTED] (non GLP, unpublished), 28 January 1975. 2/ Study B – [REDACTED]. Sanitizer Test for Non-Food Contact Surfaces Using Various Strains of Pathogenic Bacteria”, [REDACTED] (GLP, unpublished), 15 December 1999. 3/ Study C1 – [REDACTED] “Efficacy Testing of Sanitizer: Phase 1”, [REDACTED] Report of 14 July 1989 (non GLP, unpublished). 4/ Study C2 – [REDACTED] Sanitizer Test for Non-Food Contact Surfaces”, [REDACTED] (GLP, unpublished), 9 February 1998. 5/ Study D – [REDACTED] Sanitizer Test for Non-Food Contact Surfaces Using [REDACTED]”, [REDACTED] (GLP, unpublished), 15 December 1999. 6/ Study E1a [REDACTED], “Amendment to MRID 46223605 Virucidal Efficacy of [REDACTED] [REDACTED] (non GLP, unpublished), 7 April 2006. 7/ Study E1b – [REDACTED] “Virucidal Efficacy of [REDACTED] Against the [REDACTED] (GLP, unpublished), 1 March 1990. 8/ Study E2 – [REDACTED] “Amended Report: Virucidal Efficacy of [REDACTED] [REDACTED] (non GLP, unpublished), 7 April 2006. 9/ Study E3 – [REDACTED] “Report Amendment: Virucidal Efficacy of [REDACTED] [REDACTED] Disinfectants Against [REDACTED]	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			<p>(GLP, unpublished), 20 March 2006.</p> <p>10/ Study E4 – [REDACTED] “Report Amendment: Virucidal Efficacy of [REDACTED] Product Identity [REDACTED]” (GLP, unpublished), 20 March 2006.</p> <p>11/ Study E5 – [REDACTED] “Amended Final Report: Virucidal Effectiveness Test for [REDACTED] (GLP, unpublished), 8 October 1997.</p> <p>12/ Study E6 – [REDACTED] “Amended Final Report: Virus Efficacy Test for [REDACTED] (glutaraldehyde) and [REDACTED] against [REDACTED] (non GLP, unpublished), 22 September 1997.</p>		
B5_10/04g	[REDACTED]	2003	<p>[REDACTED] Effective Against a [REDACTED] [REDACTED] (non GLP, published) 2004, summarizing the following study: [REDACTED] “Virucidal Effectiveness Test Glutaraldehyde-Based Products – Test Agents: [REDACTED]” (GLP, unpublished), 5 August 2003.</p>	Yes	Dow
B5_10/05	[REDACTED]	1999	<p>Evaluation of [REDACTED] as a Preservative for Fabric Softener. [REDACTED] Non GLP, Unpublished</p>	Yes	Dow
B5_10/08	[REDACTED]	1999	<p>Evaluation of [REDACTED] as a Preservative in Kaolin Clay Slurries. [REDACTED] Non GLP, Unpublished</p>	Yes	Dow
B5_10/12	[REDACTED]	2007	<p>Determination of the Activity of [REDACTED] against [REDACTED] using the European Disinfection Test EN 1040. [REDACTED] Non GLP, Unpublished</p>	Yes	Dow
B5_10/13	[REDACTED]	2007	<p>Determination of the Activity of [REDACTED] against [REDACTED] using the European Disinfection Test EN 1276. [REDACTED] Non GLP, Unpublished</p>	Yes	Dow
B5_10/14	[REDACTED]	2007	<p>Determination of the Activity of [REDACTED] against [REDACTED] using the European Disinfection Test EN 13697. [REDACTED] Non GLP, Unpublished</p>	Yes	Dow
B5_10/15	[REDACTED]	2007	<p>Determination of the Activity of [REDACTED] against [REDACTED] using the European Disinfection Test EN 13697.</p>	Yes	Dow

Section No / Reference No	Author(s)	Year	Title. Source (where different from company) Company, Report No. GLP (where relevant) / (Un)Published	Data Protection Claimed (Yes/No)	Owner
			[REDACTED] Non GLP, Unpublished		
B5_10/16	[REDACTED]	1988	Efficacy of [REDACTED] Products: Field Trials in Industrial Recirculating Water Systems. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/17	[REDACTED]	1989	Products: Field Trial in an Industrial Air Washer System. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/18	[REDACTED]	2008	Determination of the activity of [REDACTED] as a slimicide (bacterial and fungal slime) in a paper pulp sample according to ASTM E 1839-07. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/19	Grab L.A., Emerich D.E., Baron S.J. and Smolik N.A.	1990	Glutaraldehyde: A New Slimicide for Papermaking (ASTM method, Relative Population Density Test and Case History II). Papermakers Conference April 1990, Union Carbide Corporation, a fully-owned subsidiary of The Dow Chemical Company	No	Public Domain
B5_10/20	[REDACTED]	1990	[REDACTED]: Field Trial at an Industrial Paper Mill in Mexico. [REDACTED] Non GLP, Unpublished	Yes	Dow
B5_10/21	Grab L., Emerich D.E., Baron S.J., Smolik N.A.	1990	Glutaraldehyde: A New Slimicide for Papermaking (Case History I). Papermakers Conference April 1990, Union Carbide Corporation, a fully-owned subsidiary of The Dow Chemical Company	No	Public Domain

Appendix VI: Other References

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