

Validation rules for SCIP notifications

July 2020

ABC

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Reference: ECHA-20-H-03-EN

ISBN: 978-92-9481-394-7

Cat. Number: ED-03-20-099-EN-N

DOI: 10.2823/95788

Publ.date: February 2020

Language: EN

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Version	Changes
1.0	February 2020 First version
2.0	<p>May 2020</p> <p>DEACTIVATED: BR712 'Number of units' must be provided. BR713 'Candidate list version' must be indicated.</p> <p>ADDED: BR720 Same 'Article' record cannot be reported more than once as 'Complex object component' from the same parent 'Article'. QLT704 Other names: If 'Type' is provided then the adjacent 'Name' should be provided. If 'Name' was provided then the adjacent 'Type' should be provided. QLT703 Other Article Identifiers: If 'Type' is provided then the adjacent 'Value' should be provided. If 'Value' was provided then the adjacent 'Type' should be provided. QLT707 Characteristics: Height: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT708 Characteristics: Length: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT709 Characteristics: Width: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT710 Characteristics: Diameter: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT711 Characteristics: Density: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT712 Characteristics: Weight: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT713 Characteristics: Volume: If value was provided then the adjacent unit must be provided. If unit was provided then the value must be provided. QLT706 Disassembling instructions: If 'language' was provided then the adjacent 'attachment' must be provided BR721 Same 'Reference substance' cannot be reported more than once as 'Concern element' in same 'Article' record. BR722 Same 'Reference substance' cannot be reported more than once as 'Candidate list substance no longer present' in same 'Article' record.</p> <p>July 2020</p> <p>ADDED: BR723 "Referenced Article" record can have only the fields used to indicate referencing filled in. BR724 "Referenced Article" record should be the last 'Article' record reported in a line. BR726 Main 'Article' record cannot have 'ECHA Article ID' as 'Primary article identifier'.</p> <p>Will be added later in Summer 2020: [BR725] The 'ECHA Article ID' number used to indicate "Referenced Article" must be valid. [BR727] SSN: The indicated 'SCIP number' must exist in the ECHA Submission portal. [BR728] SSN: The provided 'SCIP number' must belong to another company in the supply chain. [BR729] SSN: Same notification cannot be submitted twice.</p>

Table of Contents

1. INTRODUCTION	5
2. VALIDATION RULES	5
2.1 Validation rules in IUCLID	5
2.2 Validation rules in ECHA Submission Portal	7

1. Introduction

This document provides short descriptions of the validation rules in IUCLID and in ECHA Submission Portal which are relevant for SCIP notifications.

2. Validation rules

A business rule (**BR**) failure leads to the failure of the submission. A quality rule (**QLT**) warns the notifier of common shortcomings and inconsistencies. Quality rules will not lead to the failure of the submission.

2.1 List of validation rules in IUCLID

Rules regarding whole SCIP notification	
BR702	Same 'Article' record cannot be provided more than once in a line. Circular references are not allowed.
BR720	Same 'Article' record cannot be reported more than once as 'Complex object component' from the same 'Article' record.
BR724	"Referenced Article" record should be the last 'Article' record reported in a line. Therefore all the 'Article' records after "Referenced Article" record must be removed.

Rules regarding 'Article' record	
BR701	The maximum accepted size of attachments (pictures, disassembling instructions) is 10 MB per 'Article'.
BR723	"Referenced Article" record cannot have more information than the information regarding referencing i.e. only fields 'Article name', 'Primary article identifier type' and 'Primary article identifier value' can be filled in in the "Referenced Article" record.

Identifiers	
QLT704	Other names: If you wish to provide information regarding 'Other names', then make sure that you have provided both the 'Type' and 'Name'.
BR705	If ' ECHA Article ID ' is provided as 'Primary article identifier type' or as 'Type' in 'Other article identifiers' block, then the provided value must be in UUID format.
BR726	Main 'Article' record (i.e. the first 'Article' record reported) cannot have ' ECHA Article ID ' reported as 'Primary article identifier'.

QLT703	Other Article Identifiers: If you wish to provide information regarding 'Other article identifiers', then make sure that you have provided both the 'Type' and 'Value'.
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Categorisation

BR706	' Article category ' must be indicated.
BR707	' Production in European Union ' must be indicated.

Characteristics

QLT707	Height: If you wish to provide information regarding 'Height', then make sure that you have provided both the value and unit.
QLT708	Length: If you wish to provide information regarding 'Length', then make sure that you have provided both the value and unit.
QLT709	Width: If you wish to provide information regarding 'Width', then make sure that you have provided both the value and unit.
QLT710	Diameter: If you wish to provide information regarding 'Diameter', then make sure that you have provided both the value and unit.
QLT711	Density: If you wish to provide information regarding 'Density', then make sure that you have provided both the value and unit.
QLT712	Weight: If you wish to provide information regarding 'Weight', then make sure that you have provided both the value and unit.
QLT713	Volume: If you wish to provide information regarding 'Volume', then make sure that you have provided both the value and unit.

Safe use instruction(s)

BR708	Either ' Safe use instructions ' must be reported or ' No need to provide safe use information beyond the identification of the Candidate List substance ' must be ticked. Both cannot be selected in same 'Article' record.
BR709	If you wish to provide information regarding Disassembling instructions then the provided attachment must be in PDF format .
QLT706	Disassembling instructions: If 'Language' was provided then the adjacent 'Attached document' must be provided.

Complex object component(s) or Concern elements

BR710	Each 'Article' must be reporting information regarding either 'Complex object component(s)' or 'Concern elements'.
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BR711	'Article' cannot be reporting information regarding both 'Complex object component(s)' and 'Concern elements'.
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Only for 'Concern elements'	
BR703	All the 'Concern element' blocks provided should be complete: ' Reference substance ' must be indicated.
BR715	For all the 'Reference substances' at least one relevant identifier must be provided. <ul style="list-style-type: none"> • Inventory number • CAS number • IUPAC name • ECHA Substance ID (reported in 'Synonyms' table as 'other: ECHA Substance ID')
QLT701	All the 'Reference substances' included must be mentioned in 'Candidate list'.
QLT702	The provided 'Reference substance' has conflicting identifiers.
BR717	Same 'Reference substance' cannot be provided in same 'Article' both as 'Candidate list substance' and 'Candidate list substance no longer present'.
BR721	Same 'Reference substance' cannot be reported more than once as 'Concern element' in same 'Article' record.
BR722	Same 'Reference substance' cannot be reported more than once as 'Candidate list substance no longer present' in same 'Article' record.
BR704	All the 'Concern element' blocks provided should be complete: ' Concentration range ' must be indicated.
BR714	All the 'Concern element' blocks provided should be complete: ' Material category ' or/and ' Mixture category (EUPCS) ' must be indicated.

2.2 List of SCIP validation rules in ECHA Submission Portal

The SCIP prototype is available from February 2020 to support duty holders who want to get familiar with preparing SCIP notifications and test the submission functionalities before the process officially starts at the end of 2020. The SCIP database prototype will use a **specific test Submission Portal**, as all the SCIP notifications submitted using the prototype will be considered test data and will be deleted. The ECHA Submission Portal test version (<https://test-env.ecs.echa.europa.eu/cloud/submissions>) contains the following rules:

ECHA Submission Portal (test version)	
[BR719]	Same notification cannot be submitted twice.
[BR718]	If SCIP notification is updated, then the update dossiers creation date must be newer than the previously accepted dossiers creation date.

[BR716]	The indicated 'Reference substance' must be mentioned in 'Candidate list'.
[BR725]	The ' ECHA Article ID ' number used to indicate " <i>Referenced Article</i> " record must be valid i.e. there must be successful submission for that 'ECHA Article ID' number in the ECHA Submission Portal.
SCIP Simplified notifications (SSN) <i>Notifications submitted as excel files</i>	
[BR727]	SCIP Simplified notifications (SSN): The indicated 'SCIP number' must exist in the ECHA Submission portal.
[BR728]	SCIP Simplified notifications (SSN): The provided 'SCIP number' must belong to another company in the supply chain.
[BR729]	SCIP Simplified notifications (SSN): Same notification cannot be submitted twice. (Same 'SCIP number' has been already indicated in the context of another successful SCIP simplified notification made by same company.)

During the SCIP prototype period, SCIP notifications are not supposed to be sent to the production ECHA Submission Portal (<https://ecs.echa.europa.eu/cloud/submissions>). Therefore the following rule is currently in place to block the submission of SCIP notifications:

ECHA Submission Portal	
[BR565]	Only 'Poison centre notifications' are accepted to be submitted in the ECHA Submission Portal