CLH report

Proposal for Harmonised Classification and Labelling

Based on Regulation (EC) No 1272/2008 (CLP Regulation), Annex VI, Part 2

Substance Name: 3,7-dimethylocta-2,6-dienenitrile

EC Number: 225-918-0

CAS Number: 5146-66-7

Index Number: -

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Date: October 2013

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Part A

1 PROPOSAL FOR HARMONISED CLASSIFICATION AND LABELLING

1.1 Substance

Table 1: Substance identity

Substance name:	3,7-dimethylocta-2,6-dienenitrile
EC number:	225-918-0
CAS number:	5146-66-7
Annex VI Index number:	none
Degree of purity:	> 95%
Impurities:	The identity of the impurities is confidential.

No registration dossiers were available for 3,7-dimethylocta-2,6-dienenitrile on 23 October 2013.

1.2 Harmonised classification and labelling proposal

Table 2: The current Annex VI entry and the proposed harmonised classification

	CLP Regulation	
Current entry in Annex VI, CLP	none	
Regulation		
Current proposal for consideration by	Muta Cat. 1B	
RAC	H340 May cause genetic defects	
Resulting harmonised classification (future	Muta Cat. 1B	
entry in Annex VI, CLP Regulation)	H340 May cause genetic defects	

1.3 Proposed harmonised classification and labelling based on CLP Regulation

Table 3: Proposed classification according to the CLP Regulation

CLP Annex I ref	Hazard class	Proposed classification	Proposed SCLs and/or M-factors	Current classification 1)	Reason for no classification ²⁾
2.1.	Explosives				not relevant for this dossier
2.2.	Flammable gases				not relevant for this dossier
2.3.	Flammable aerosols				not relevant for this dossier
2.4.	Oxidising gases				not relevant for this dossier
2.5.	Gases under pressure				not relevant for this dossier
2.6.	Flammable liquids				not relevant for this dossier
2.7.	Flammable solids				not relevant for this dossier
2.8.	Self-reactive substances and mixtures				not relevant for this dossier
2.9.	Pyrophoric liquids				not relevant for this dossier
2.10.	Pyrophoric solids				not relevant for this dossier
2.11.	Self-heating substances and mixtures				not relevant for this dossier
2.12.	Substances and mixtures which in contact with water emit flammable gases				not relevant for this dossier
2.13.	Oxidising liquids				not relevant for this dossier
2.14.	Oxidising solids				not relevant for this dossier
2.15.	Organic peroxides				not relevant for this dossier
2.16.	Substance and mixtures corrosive to metals				not relevant for this dossier
3.1.	Acute toxicity - oral				not relevant for this dossier
	Acute toxicity - dermal				not relevant for this dossier
	Acute toxicity - inhalation				not relevant for this dossier
3.2.	Skin corrosion / irritation				not relevant for this dossier
3.3.	Serious eye damage / eye				not relevant for

CLP Annex I ref	Hazard class	Proposed classification	Proposed SCLs and/or M-factors	Current classification 1)	Reason for no classification 2)
	irritation				this dossier
3.4.	Respiratory sensitisation				not relevant for this dossier
3.4.	Skin sensitisation				not relevant for this dossier
3.5.	Germ cell mutagenicity	Muta. 1B			
3.6.	Carcinogenicity				not relevant for this dossier
3.7.	Reproductive toxicity				not relevant for this dossier
3.8.	Specific target organ toxicity –single exposure				not relevant for this dossier
3.9.	Specific target organ toxicity – repeated exposure				not relevant for this dossier
3.10.	Aspiration hazard				not relevant for this dossier
4.1.	Hazardous to the aquatic environment				not relevant for this dossier
5.1.	Hazardous to the ozone layer				not relevant for this dossier

Labelling: Signal word: Danger

H340 May cause genetic defects Hazard statements:

Proposed notes assigned to an entry:

¹⁾ Including specific concentration limits (SCLs) and M-factors
2) Data lacking, inconclusive, or conclusive but not sufficient for classification

2 BACKGROUND TO THE CLH PROPOSAL

2.1 History of the previous classification and labelling

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2.2 Short summary of the scientific justification for the CLH proposal

3,7-dimethylocta-2,6-dienenitrile is proposed to be classified as genotoxic Cat. 1B in accordance with the CLP regulation.

Justification:

In mice, 3,7-dimethylocta-2,6-dienenitrile caused chromosomal aberrations in somatic cells in several studies and in spermatogonial cells in one study. Additionally it was shown in a toxicokinetic study that 3,7-dimethylocta-2,6-dienenitrile can reach the reproductive tissues in male and female mice.

2.3 Current harmonised classification and labelling

no entry in Annex VI, CLP Regulation

Part B

SCIENTIFIC EVALUATION OF THE DATA

1 IDENTITY OF THE SUBSTANCE

1.1 Name and other identifiers of the substance

Table 4: Substance identity

EC number:	225-918-0	
EC name:	3,7-dimethylocta-2,6-dienenitrile	
CAS number (EC inventory):	5146-66-7	
CAS name:	2,6-Octadienenitrile, 3,7-dimethyl-	
IUPAC name:	3,7-dimethylocta-2,6-dienenitrile	
CLP Annex VI Index number:	none	
Molecular formula:	C10H15N	
Molecular weight range:	149.2328	

Structural formula:

1.2 <u>Composition of the substance</u>

Table 5: Constituents (non-confidential information)

Constituent	Typical concentration	Concentration range	Remarks
trans-isomer (CAS No. 5585-39-7)			No information is given about the content of the isomers.
cis-isomer (CAS No. 31983-27-4)			No information is given about the content of the isomers.

Table 6: Impurities (non-confidential information)

Impurity	Typical concentration	Concentration range	Remarks
Please see confidential Annex			

Table 7: Additives (non-confidential information)

Additive	Function	Typical concentration	Concentration range	Remarks
Not relevant				

1.3 Physico-chemical properties

Further physico-chemical properties are not stated in the literature. Furthermore they are not needed for the current classification and labelling.

Table 8: Summary of physico-chemical properties

Property	Value	Reference	Comment (e.g. measured or estimated)
State of the substance at 20°C and 101,3 kPa	colourless – yellowish liquid	BASF AG, Safety data sheet GERANONITRILE	
Melting/freezing point			
Boiling point			
Relative density			
Vapour pressure			
Surface tension			
Water solubility			
Partition coefficient n-octanol/water			
Flash point	no data available		
Flammability	non flammable	BAM (2013)	Flammability upon ignition (solids, gases): Testing can be waived, substance is a liquid. Flammability in contact with
			water: The classification procedure needs not to be applied because the organic substance does not contain metals or metalloids.
			Pyrophoric properties: The classification procedure needs not be applied because the organic substance is known to be stable into contact with air at room temperature for prolonged periods of time (days).
Explosive properties	no explosive properties	BAM (2013)	The classification procedure needs not to be applied because there are no chemical groups present in the molecule which are associated with explosive properties.
Self-ignition temperature	no data available		
Oxidising properties	no oxidising properties	BAM (2013)	The classification procedure needs not to be applied because the organic substance does not contain oxygen, fluorine or chlorine.
Granulometry			

Stability in organic solvents and identity of relevant degradation products		
Dissociation constant		
Viscosity		

- 2 MANUFACTURE AND USES
- 2.1 Manufacture
- 2.2 Identified uses

3 CLASSIFICATION FOR PHYSICO-CHEMICAL PROPERTIES

Not classified for physico-chemical properties.

4 HUMAN HEALTH HAZARD ASSESSMENT

4.1 Toxicokinetics (absorption, metabolism, distribution and elimination)

4.1.1 Non-human information

Type of study: Toxicokinetics

Study according to guidelines OECD 417, 87/302/EEC, 40 CFR 870.7485

and GLP

Reference: RIFM 2005

Test substance: 3,7-dimethylocta-2,6-dienenitrile (¹⁴C labeled)

Species and strain: mouse (CD-1) male and female

Doses, vehicle, duration: single oral administration (gavage) of 300 or 600 mg/kg bw (bw) in corn oil

(4-8 ml/kg bw)

The actual mean radioactive doses ranged from 15.8 to 30 µCi/mouse.

Result: 3,7-dimethylocta-2,6-dienenitrile (geranonitrile, GN) undergoes rapid

absorption after oral gavage administration. Peak concentrations of 14C residues in plasma occurred within 0.5 to 1 hour. Clearance from the plasma was also rapid as a result of extensive tissue distribution and biotransformation. Kinetic saturation was evident between the 300 and 600 mg/kg dose levels. Three major plasma metabolites were identified as 8-hydroxy-GN, 8-hydroxy-GN-glucuronide, and 8-carboxy-GN. The half-lives of the 14C residues (4.4-5.9 hours) were consistent with those for the metabolites (2.2-6.9 hours). Material balance indicated minimal excretion of 14C residues by exhalation, with the majority of the dose recovered in urine and feces. Total mean absorption ranged from 41 to 88% and appeared to be slightly higher in female than male mice. Minimum residues (<2% of the dose) were retained in tissues by 48 hours after dose administration. The tissue distribution also indicated saturation kinetics with minimal differences

between sexes.

Lung, liver, kidney, and adrenals of male and female and ovaries of female mice had slightly higher concentrations than plasma (less than 10-fold) by 48 hours after administration whereas testes concentrations were similar to

plasma.

The overall metabolism of GN was characterized by oxidation on the C8-position to form the alcohol, the aldehyde, the acid, and an epoxide (6,7-GNO). Further phase II metabolism was evident by conjugation with glucuronide, glutathione, and amino acids identified in plasma, urine and feces.

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4.1.2 Human information

4.1.3 Summary and discussion on toxicokinetics

3,7-dimethylocta-2,6-dienenitrile is rapidly absorbed and distributed to the tissues. It was detected in lung, liver, kidney, adrenals, ovaries and testes. 3,7-dimethylocta-2,6-dienenitrile is metabolized forming alcohol, the aldehyde, the acid, and an epoxide an and conjugated to glucuronide, glutathione, and amino acids. It is excreted mainly via urine and feces.

- 4.2 Acute toxicity
- 4.3 Specific target organ toxicity single exposure (STOT SE)
- 4.4 Irritation
- 4.5 Corrosivity
- 4.6 Sensitisation
- 4.7 Repeated dose toxicity
- 4.8 Specific target organ toxicity (CLP Regulation) repeated exposure (STOT RE)
- 4.9 Germ cell mutagenicity (Mutagenicity)

Table 9: Summary table of relevant in vitro and in vivo mutagenicity studies

Method	Results	Remarks	Reference
Bacterial genotoxicity test	negative	for details see section 4.9.1.1	BASF (1999)
In vitro chromosome aberration assay	positive	for details see section 4.9.1.1	BASF (2002)
In vivo micronucleus assay in bone marrow	positive	for details see section 4.9.1.2	BASF (2003a)
In vivo micronucleus assay in bone marrow	positive	for details see section 4.9.1.2	BASF (2003b)
In vivo micronucleus assay in bone marrow	positive	for details see section 4.9.1.2	BASF (2004a)
In vivo micronucleus assay in bone marrow	positive	Study with (E)- Isomer for details see section 4.9.1.2	BASF (2004b)
In vivo micronucleus assay in bone marrow	positive	Study with (Z)- Isomer for details see section 4.9.1.2	BASF (2004c)
In vivo chromosome aberration assay in spermatogonial cells	positive	for details see section 4.9.1.2	RCC-CCR (2006)

4.9.1 Non-human information

4.9.1.1 In vitro data

Type of study: Bacterial genotoxicity test

Study according to OECD 471 under GLP conditions

Reference: BASF (1999)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 97.3 %

Species and strain: Salmonella typhimurium TA1535, TA100, TA1537, TA98, Escherichia coli

WP2 uvrA

Doses: $20 - 5{,}000 \,\mu\text{g/plate}$ (SPT)

 $20 - 2,500 \,\mu g/plate (PIT)$

Metabolic activation: With and without S-9 mix

Result: negative

Standard plate test (SPT) and preincubation test (PIT). No precipitation of the test substance was found. A bacteriotoxic effect was observed under all test conditions (SPT: $500 - 1,000 \,\mu\text{g/plate}$; PIT: $100 - 500 \,\mu\text{g/plate}$)). An increase in the number of revertants was not observed in the SPT or in the PIT with or

without S-9 mix.

Type of study: Chromosome aberration assay in vitro

Study according to OECD 473 under GLP conditions

Reference: BASF (2002)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 98.9 %

Species and strain: V79 cells

Doses: $0 - 1,000 \,\mu \text{g/ml}$

Metabolic activation: With and without S-9 mix

Result: **positive**

The test substance caused a clear, statistically significant and dose-dependent increase in the number of structurally aberrant metaphases incl. and excl. gaps after adding a metabolizing system.

No increase in the frequency of cells containing numerical aberrations was

demonstrated.

The negative controls (vehicle controls) gave frequencies of aberrations within the range expected for the V79 cell line. Both positive control chemicals, i.e. EMS and cyclophosphamide, led to the expected increase in the number of cells containing structural chromosomal aberrations.

3,7-Dimethylocta-2,6-dienenitrile was considered to be a chromosome-damaging (clastogenic) agent under in vitro conditions in V79 cells.

4.9.1.2 In vivo data

Key studies

Type of study: Micronucleus assay (chromosome aberration)

OECD Guideline 474 under GLP

Reference: BASF (2003a)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 98.8%

Species and strain: mouse (NMRI), male, 5 animals per group

Doses, vehicle, duration: single oral administration (gavage)

312.5, 625.0, 1250.0 mg/kg bw in olive oil

Result: **positive**

The administration of the test substance led to evident signs of toxicity (squatting posture, poor general state, eyelid closure, hyperacitivity) and to a statistically significant and dose-dependent increase in the number of polychromatic erythrocytes (PCEs) containing small micronuclei (MN). The positive response was observed in two experiments carried out independently of each other.

- 1st experiment (24 h interval): 1.8, 1.2, 4.5** and 6.0** MN in 1,000 PCEs at 0, 312.5, 625 and 1,250 mg/kg (** p < 0.01)

- 1^{st} experiment (48 h interval): 1.6 and 6.3* MN per 1,000 PCEs at 0 and 1,250 m/kg (* p < 0.05).

 -2^{nd} experiment (interval 24 h): 1.3 and 6.9** MN per 1,000 PCEs at 0 and 1,250 m/kg (** p < 0.01).

There was no increase in cells with large micronuclei.

An inhibition of erythropoiesis determined from the ratio of PCEs to normochromatic erythrocytes (NCE) was detected at 1,250 mg/kg after a sacrifice interval of 48h.

Both of the positive control chemicals (cyclophosphamide and vincristine), led to the expected increase in the rate of polychromatic erythrocytes containing small or large micronuclei.

Type of study: Chromosome aberration assay in spermatogonial cells

9th addendum to the OECD guidelines for testing of chemicals, section 4, Nr. 483, accepted July 21st 1997, "Mammalian Spermatogonial Chromosome Aberration Test" under GLP

Reference: RCC-CCR (2006)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 99.3 %

Species and strain: mouse (NMRI) male, 6 animals per group

Doses, vehicle, duration: single oral administration (gavage)

375, 750, 1,250 and 1,500 mg/kg bw in corn oil

Result: **positive**

The administration of the test substance led to evident signs of toxicity and to a statistically significant and biologically relevant enhancement of the aberration frequencies as compared to the vehicle control value (0.6% excluding gaps). The mean aberration frequencies (excl. gaps) observed after treatment with 3,7-dimethylocta-2,6-dienenitrile were:

• 24h sampling time: 0.2, 0.2, 1.2, 2.6* % at 375, 750, 1250 and 1500 mg/kg bw (* p < 0.02)

48h sampling time:
1.2 % at 1500 mg/kg bw.

Only the observed increase at 24h / 1500 mg/kg bw was statistically significant.

The positive control (Adriblastin, 5 mg/kg bw) showed the expected statistically significant response (aberration rate excl. gaps 5.2%).

No reduction of the mitotic indices could be observed after treatment with the test item, indicating that the test item was not cytotoxic for spermatogonial cells.

Supporting studies

Type of study: Micronucleus assay (chromosome aberration)

OECD Guideline 474 under GLP conditions, however report does not have GLP status (screening study with a different batch of 3,7-dimethylocta-2,6-dienenitrile).

Reference: BASF (2003b)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 98.6 %

Species and strain: mouse (NMRI) male, 5 animals per group

Doses, vehicle, duration: single oral administration (gavage)

625 and 1,250 mg/kg bw in olive oil

Result: **positive**

The administration of the test substance led to evident signs of toxicity and to a statistically significant and dose-dependent increase in the number of polychromatic erythrocytes (PCEs) containing small micronuclei (only 24 h interval investigated):

2.5, 3.5 and 8.1** MN in 1,000 PCEs at 0, 625 and 1,250 mg/kg

(**p < 0.01)

There was no increase in cells with large micronuclei.

No inhibition of erythropoiesis determined from the ratio of PCEs to normochromatic erythrocytes (NCE) was detected. The positive control (cyclophosphamide) led to the expected increase in the rate of polychromatic

erythrocytes containing small micronuclei.

Type of study: Micronucleus assay (chromosome aberration)

OECD Guideline 474 under GLP, only two doses tested

Reference: BASF (2004a)

Test substance: 3,7-dimethylocta-2,6-dienenitrile, purity 99.3 %

Species and strain: mouse (NMRI) male, 5 animals per group

Doses, vehicle, duration: 1) 600, 1200 mg/kg bw; 3 administrations within 24 hours at intervals of 12

hours (3x200 mg/kg or 3x400 mg/kg) in olive oil

2) single oral administration (gavage) of

0, 1,200 mg/kg bw in olive oil

Result: **positive**

The administration of the test substance led to evident signs of toxicity. A slight, but statistically significant increase in the number of polychromatic erythrocytes (PCEs) containing small micronuclei was observed:

1) 3 Applications (6 h interval):

1.4, 4.4** and 4.3 MN in 1,000 PCEs at 0, 200 and 400 mg/kg (** p < 0.01)

- 3 Applications (24 h interval):

1.5, 4.5** and 4.6** MN in 1,000 PCEs at 0, 200 and 400 mg/kg

(**p < 0.01)

2) Single application (24 h interval):

1.5 and 6.6** MN in 1,000 PCEs at 0 and 1,200 (** p < 0.01)

There was no increase in cells with large micronuclei.

The positive controls (cyclophosphamide, vincristine) led to the expected

increase in the rate of PCEs containing small and large micronuclei.

Inhibition of erythropoiesis determined from the ratio of PCEs to normochromatic erythrocytes (NCE) was detected at 200 and 400 mg/kg bw.

Type of study: Micronucleus assay (chromosome aberration)

OECD Guideline 474 under GLP

Reference: BASF (2004b)

Test substance: E-Geranonitrile, (E)-3,7-Dimethyl-2,6-octadienenitrile

(CAS No.: 5585-39-7), purity 99.2 %

Species and strain: mouse (NMRI) male, 5 animals per group

Doses, vehicle, duration: single oral administration (gavage)

250, 500, 1000 mg/kg bw in olive oil

Result: **positive**

The administration of the test substance led to evident signs of toxicity and to a statistically significant and dose-dependent increase in the number of

polychromatic erythrocytes (PCEs) containing small micronuclei:

- 24 h interval: 1.9, 2.0, 5.4* and 6.2** MN in 1,000 PCEs at 0, 250, 500 and

1,000 mg/kg (* p < 0.05, ** p < 0.01)

- 48 h interval: 1.2 and 5.8** MN in 1,000 PCEs at 0 and 1,000 mg/kg

(**p < 0.01)

There was no increase in cells with large micronuclei.

Inhibition of erythropoiesis determined from the ratio of PCEs to normochromatic erythrocytes (NCE) was detected at 250 mg/kg (24 h interval) and 500 mg/kg (48 h interval). The positive controls (cyclophosphamide, vincristine) led to the expected increase in the rate of polychromatic erythrocytes containing small or large micronuclei.

Type of study: Micronucleus assay (chromosome aberration)

OECD Guideline 474 under GLP

Reference: BASF (2004c)

Test substance: Z-Geranonitrile, (Z)-3,7-dimethylocta-2,6-dienenitrile

(CAS No.: 31983-27-4), purity 99.5 %

Species and strain: mouse (NMRI) male, 5 animals per group

Doses, vehicle, duration: single oral administration (gavage)

500, 1,000 and 2,000 mg/kg bw in olive oil

Result: **positive**

The administration of the substance led to evident signs of toxicity and to a statistically significant and dose-dependent increase in the number of

polychromatic erythrocytes (PCEs) containing small micronuclei:

- 24 h interval: 1.9, 2.8, 5.0* and 8.2** MN in 1,000 PCEs at 0, 500, 1,000

and 2,000 mg/kg bw (* p < 0.05, ** p < 0.01)

- 48 h interval: 1.2 and 7.8** MN in 1,000 PCEs at 0 and 2,000 mg/kg bw

(**p < 0.01)

There was no increase in cells with large micronuclei. Inhibition of erythropoiesis determined from the ratio of PCEs to normochromatic erythrocytes (NCE) was detected at 2,000 mg/kg bw (48 h interval). The positive controls (cyclophosphamide and vincristine) led to the expected increase in the rate of polychromatic erythrocytes containing small or large

micronuclei.

4.9.2 Human information

4.9.3 Other relevant information

4.9.4 Summary and discussion of mutagenicity

A bacterial gene mutation test with 3,7-dimethylocta-2,6-dienenitrile was negative. In an in vitro chromosomal aberration test a clear positive effect was obtained with S-9 mix at all tested doses. Cytotoxicity was not observed.

Three valid in vivo micronucleus tests were positive for oral doses of 500 mg/kg bw up to the maximum tolerated dose of 1250 mg/kg bw. The clastogenic effects followed a clear dose response and were reproducible. There were no indications of an aneugenic activity which was however merely based on estimation of the size of micronuclei, i.e. large micronuclei were not observed.

It is concluded that 3,7-Dimethylocta-2,6-dienenitrile is clastogenic (chromosome-damaging) in bone marrow cells in vivo.

Similar effects were observed in further valid tests with the isolated E- and Z-isomers which were as well proved to be clastogenic in bone marrow cells in vivo.

The potential of 3,7-dimethylocta-2,6-dienenitrile to induce chromosome aberrations in spermatogonial cells was investigated in a study in mice which was performed according to OECD TG 483. At 24 h sampling time, the administration of the test substance led to evident signs of general toxicity and to a statistically significant and biologically relevant enhancement of the aberration frequencies as compared to the vehicle control value. At 48 h sampling time, there was no statistically significant increase. No cytotoxicity occurred. In conclusion, 3,7-Dimethylocta-2,6-dienenitrile was considered to be clastogenic to mouse spermatogonial cells under the experimental conditions of the study.

3,7-dimethylocta-2,6-dienenitrile is clastogenic (chromosome-damaging) agent in bone marrow and spermatogonial cells in vivo.

4.9.5 Comparison with criteria

A toxicokinetic investigation indicated that 3,7-dimethylocta-2,6-dienenitrile is metabolized leading to formation of the corresponding alcohol, aldehyde and an epoxide. It was also shown that 3,7-dimethylocta-2,6-dienenitrile can reach the reproductive tissues.

The available information on genotoxicity indicates that 3,7-dimethylocta-2,6-dienenitrile can cause chromosomal aberrations in somatic cells in vivo. In addition to the in vivo somatic cell genotoxicity it was clearly shown that 3,7-Dimethylocta-2,6-dienenitrile can cause chromosomal aberrations in spermatogonial cells in vivo and is therefore considered to be genotoxic to germ cells.

Classification as genotoxic Cat. 2 would be insufficient as there is evidence that 3,7-dimethylocta-2,6-dienenitrile can cause not only mutations in somatic cells but also in germ cells *in vivo*. 3,7-Dimethylocta-2,6-dienenitrile has the potential to reach and interact with the genetic material of germ cells *in vivo* and should be regarded as if it causes heritable mutations in germ cells in humans. Therefore classification as genotoxic Cat. 1B is warranted.

Evidence from human epidemiological studies is not available. Therefore classification is as Cat. 1A is not warranted.

4.9.6 Conclusions on classification and labelling

3,7-dimethylocta-2,6-dienenitrile is proposed to be classified as genotoxic Cat. 1B according to the regulation EC No.1272/2008 (H340 May cause genetic defects).

4.10 Carcinogenicity

4.11 Toxicity for reproduction

4.12 Other effects

5 ENVIRONMENTAL HAZARD ASSESSMENT

Not evaluated in this dossier.

6 OTHER INFORMATION

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7 REFERENCES

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8 ANNEXES

APPENDIX I: confidential data