PRINCIPLES BEHIND THE OBLIGATION/PROCESS

The following are the basic principles of the new Same Biocidal Product Regulation No 414/2013 (SBP regulation) as amended by Regulation No 2016/1802:

- a subsequent authorisation of the same biocidal product (SBP) can be granted based on the evaluation of a biocidal product already authorised or registered under the Biocidal Product Directive 98/8/EC (BPD)\(^{169}\), or
- already authorised under the Biocidal Product Regulation No 528/2012 (BPR)\(^{170}\), or

Applications can be requested for authorisations of same biocidal products where there is already an identical product authorised or where the identical product is under evaluation and not yet authorised. The biocidal product already authorised or under evaluation to be authorised is called the ‘related reference product’ (or the reference BP).

The precondition for authorisation of same biocidal products is that these products are identical within the limited variations of an administrative change\(^{171}\).

The terms and conditions for the SBP authorisation will be based on the evaluation made on the reference BP.

The same rules mentioned above for a single biocidal product apply also for a biocidal product family (BPF). Same product authorisation can also be granted for an individual product of a biocidal product family.


\(^{170}\) Ref: Regulation (EU) No 528/2012 of the European Parliament and of the Council of 22 May 2012 concerning the making available on the market and use of biocidal products

The SBP regulation covers national, simplified and union authorisation procedure.

WHO IS CONCERNED BY THIS OBLIGATION/PROCESS?

An application for SBP authorisation can be made by the prospective authorisation holder (AH), who can be the same or a different enterprise than the AH of the reference BP. It can also be made on behalf of the AH by a person/entity handling the practical issues related to the application on their behalf (e.g. a consultant).

The AH is the person/entity established within the European Union (EU)/European Economic Area (EEA)172 who is responsible for the placing a BP on the market in a particular MS or within the Union and specified in the authorisation.

TIMELINES AND DEADLINES RELATED TO THE OBLIGATION/PROCESS

An application for SBP authorisation can, in general, be made at any time after the decision to approve the active substance has been adopted, and where:

- A Union, national or simplified authorisation for the reference biocidal product has been issued and it is still valid (UA-BBS, NA-BBS, SA-BBS), or
- an application for Union, national or simplified authorisation has been submitted and is still pending (UA-BBP, NA-BBP, SA-BBP).

The same transitional rules apply to the timing of the application for SBP as for the related reference product where they are existing BPs173:

- The SBP application must be made by the date of approval of the active substance; otherwise the products must be removed from the market within 180 days of the active substance approval date174. The use of existing stocks of that BP may continue until 365 days after the approval date. A product authorisation application can also be made at a later date but until it is granted the products must be removed from the market.
- Where that BP contains more than one active substance for the same PT, the application for SBP must be submitted no later than the date of approval of the last active substance for that PT. If the BP belongs to several PTs it is only necessary to apply for SBP when the active

172 An authorisation holder may, under the revised mutual recognition agreement be domiciled or have a registered office in Switzerland. For further information please visit the following webpage http://www.bag.admin.ch/anmeldestelle/13604/13869/15343/index.html?lang=en
173 “Existing BPs” refers in the context of this Practical Guide, to those products which were already on the market of the relevant MS (as opposed to the EU market as a whole) at the date of approval of the active substance. This concerns BPs containing only active substances included in the Review Programme or a combination of such substances and new active substances approved in accordance with the BPR (Article 89(2) of the BPR).
174 Ref: Article 89(3) of the BPR.
substance(s) contained in it has/have been approved for all relevant PTs before the deadline of the last approved.

See the Union list of approved active substances, available on the European Chemicals Agency’s (ECHA) website:


In practice, it means that only an application for UA-BBP, NA-BBP or SA-BBP for SBP must be submitted by the applicable deadline in case existing BP is sought to be kept on the market.

Phasing-out periods also apply when the application for NA-BBP is rejected or the receiving MSCA decides not to grant the authorisation175. Existing products must be removed from the market within 180 days of the date of such decision. The use of existing stocks of that BP may continue until 365 days after the date of the rejection or decision.

The application for SBP of a new BP176 can be submitted at any time after the decision on the approval of the (last) active substance contained therein is adopted and where respective authorisation for the reference product has been issued and is still valid, or relevant application has been submitted and is still pending.

INFORMATION REQUIREMENTS AND SOURCES

Article 2 of the SBP regulation lists the requirements for an application for SBP. In addition Biocides Submission Manuals; Application instructions available on ECHA website explain what types of information should be prepared and included in an application.

Issues to consider:
The applicant has to consider a number of important elements before preparing an application for SBP:

• If you intend to apply for authorisation of SBP where the related reference product has already been authorised or registered in accordance with the BPR or BPD (UA-BBS, NA-BBS or SA-BBS) and you are not the authorisation holder (asset owner) of the related reference product, the initial asset owner should make an active delegation in R4BP 3 for your company. Otherwise, the system will not let you to complete the application.

If you intend to apply for authorisation of SBP where an application for the related reference product has been submitted (UA-BBP, NA-BBP or SA-BBP) and you are not the case owner of the related application, you

175 Ref: Article 89(4) of the BPR.
176 “New BPs” refers in the context of this Practical Guide, to those products which were not on the market of any MS at the date of the approval of the (last) active substance contained therein.
will need to contact the case owner of the application for authorisation of the related reference product to obtain the relevant ‘reference case number’ used in R4BP 3.

**PROCEDURE TO FOLLOW**

The type of SBP you can apply for depend on the type of reference authorisation:

1. When the related reference product is authorised/subject of an application for Union authorisation (UA) you can apply for either UA or NA (new possibility introduced by regulation No 2016/1802).

   The SBP application for UA has to be submitted to ECHA. A confirmation that the BP/BPF would have similar conditions of use across the Union or a reference to an evaluating Competent Authority (eCA) is not required for such type of application.

   The same BP/BPF application for NA has to be submitted to that MSCA on which market the same BP/BPF is intended to be placed.

2. When the related reference product is authorised/subject of an application for NA you can only apply for NA.

   The same BP/BPF application has to be submitted to the same CA that has granted/is requested to grant the NA for the related reference product.

3. When the related reference product/BPF is authorised/subject of an application for Simplified authorisation (SA) you can only apply for SA.

   The same BP/BPF application has to be submitted to the same CA that has granted/is requested to grant the SA for the related reference product.

The amendment of the SBP regulation by regulation No 2016/1802 has also introduced new possibilities when the reference product is a biocidal product family. The SBP can be an identical family, a reduced family (i.e. reduced number of meta SPCs or reduced number of products for certain meta-SPCs) or even a single product (family member).

**Application for same biocidal product authorisation**

For an application for SBP authorisation a IUCLID dossier is not required.

**Submission and processing of an application:**

Applicants seeking SBP authorisation should submit their application through R4BP 3.

For BP applications for NA or SA, following confirmation that the submission has passed the initial checks by ECHA, the application will be forwarded to the receiving CA for validation. The receiving CA will take a
decision on the authorisation\textsuperscript{177}.

For SBP applications for UA, following confirmation that the submission has passed the initial check by ECHA, the application will be validated by ECHA and an ECHA’s opinion will be submitted to the Commission within 30 days of validation. On the basis of the BPC opinion, COM will take a decision on the authorisation\textsuperscript{178}.

The validation performed by the receiving CA or by ECHA is to check among others that the proposed differences between SBP and the related reference biocidal product concern merely information which can be the subject of an administrative change.

The t to requests from the authorities in R4BP 3. If the applicant fails to meet a deadline, e.g. for payment of fees, or, at a later stage, request for any additional information, the application may be rejected or the decision may be taken disregarding the information that has been provided after the deadline.

Applicants will find the relevant information and instructions for submitting and following up the application for SBP authorisation through R4BP 3 in the following submission manuals available on ECHA’s website:

- BSM Application instructions: national authorisations
- BSM Application instructions: simplified authorisations
- BSM Application instructions: Union authorisations
- BSM Technical guide: using SPC

ECHA’s website provides further details on the processing of the applications (see Submission instructions in the “More Information” section).

OUTCOME OF THE OBLIGATION/PROCESS

The content of SBP authorisation shall be identical with that of the reference biocidal product (family), except for the administrative changes that have been applied for. The authorisation of SBP will have a different authorisation number and may be changed, renewed or cancelled independently of authorisation related to the reference product. However, in some cases the appropriateness of cancelling or amending the authorisation of other products to which the product is linked in the R4BP 3 may be considered\textsuperscript{11}.

SBP authorisation can be granted for a maximum period of 10 years, which is renewable. It will have the same expiry date as the authorisation

\textsuperscript{177} Ref: Article 26 and Articles 29-30 of the BPR respectively.
\textsuperscript{178} Ref: Articles 43-44 of the BPR.
EXCEPTIONS AND PARTICULAR CASES

When a national authorisation for the SBP is issued an applicant interested to place biocidal product on the market in another country may submit an application for mutual recognition of SBP in the MS in question.

For SBP authorised under the simplified procedure, as for the related reference product, a product may be made available on the market in all Member States without the need for mutual recognition. Instead, a notification must be made to each Member State no later than 30 days before placing the biocidal product on the market.\(^\text{(12)}\)

RELATED FEES

The national fees related to the application for NA and SA of SBP may vary between MSCAs and are established in national legal acts of each MS. The applicant is responsible for checking and paying the specified amount of fees to the MSCA. For more information about the MSCA fees, the applicant should contact the respective CA or its helpdesk.

The fee related to the application for UA of SBP payable to ECHA is listed in the fourth entry of Annex II to Commission Implementing Regulation (EU) No 564/2013.

TO CONTACT FOR FURTHER INFORMATION

ECHA Helpdesk

MSCA’s contact details

National authorities providing support
INFORMATION

Legislation relevant to biocides

Regulatory aspects
Authorisation

Relevant Biocides competent authorities meetings documents
» https://circabc.europa.eu/w/browse/85cf24d4-e4d3-4b34-b59d-7a69394d0942
CA-March15-Doc.4.7-Final: Applications for a same biocidal product of an individual product of a biocidal product family

Guidance on biocides legislation

Submission
• Submission instructions


» http://www.echa.europa.eu/support/dossier-submission-tools/r4bp/simplified-authorisations

• Biocides Submission Manuals

» http://echa.europa.eu/support/dossier-submission-tools/r4bp/biocides-submission-manuals

BSM Technical guide: using R4BP 3

BSM Technical guide: using SPC

BSM Application instructions: national authorisations
BSM Application instructions: simplified authorisations


BSM Application instructions: Union authorisations


BSM Process of invoicing in R4BP 3


Q&AS

» http://echa.europa.eu/support/qas-support/qas