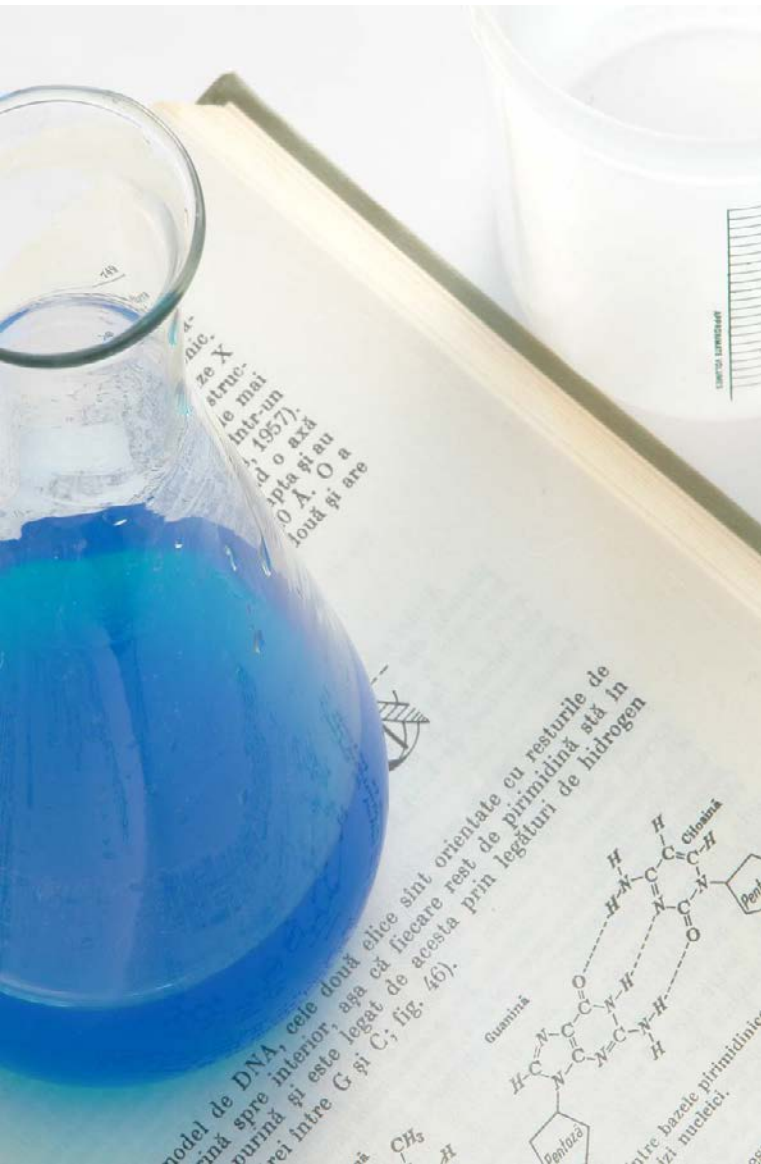




# The Authorisation Decision-making process

Seminar on Applications for Authorisation  
Helsinki, 18-19 April, 2017

Anna Borràs  
European Commission  
Directorate-General for Internal Market, Industry,  
Entrepreneurship and SMEs  
REACH Unit



# The role of the Commission

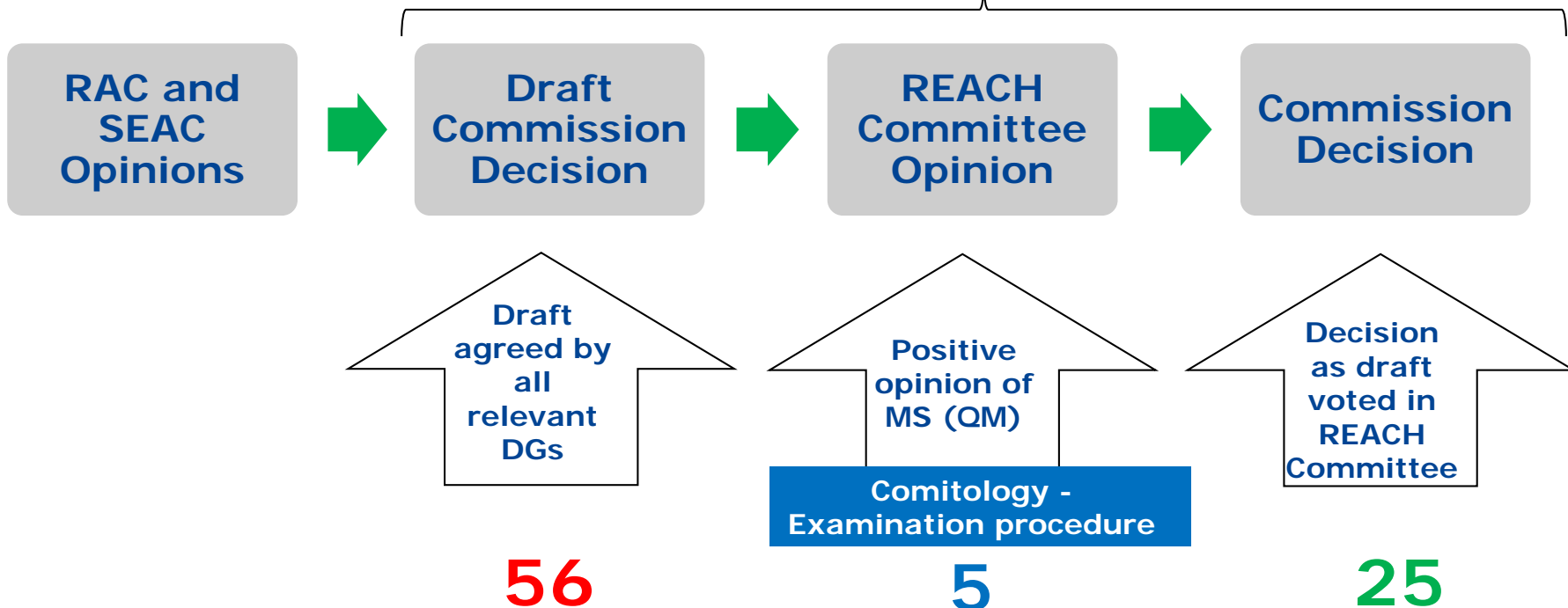
- **Article 60(1) REACH:**  
The Commission shall be responsible for taking decisions on applications for authorisations in accordance with this Title.
- **Article 64(8) REACH:**  
The Commission shall prepare a draft authorisation decision within three months of receipt of the opinions from the Agency. A final decision granting or refusing authorisation shall be taken in accordance with the procedure referred to in Article 133(3).
- **Article 61 REACH:**  
Review of authorisation decisions

# Content of an Authorisation Decision

## Article 60(9) REACH:

- Legal entity (ies)
- Substance (s) identity
- Use(s) for which authorisation is granted
- Any conditions under which the authorisation is granted
- Time-limited review period
- Any monitoring arrangement

# The Decision-making Process



# Interface with ECHA

- COM closely follows the opinion-making process in RAC and SEAC (observer in RAC and SEAC meetings and in dialogues)
- ECHA Secretariat observer in REACH Cttee meetings
- Ongoing dialogue with ECHA Secretariat on interpretation questions that may arise during the process (e.g. case of recycled PVC containing DEHP – “end of waste”)

# Transparency of decision-making process

- **State of play** on all AfAs on which RAC and SEAC opinions have been received by COM and **adopted Decisions**:  
[https://ec.europa.eu/growth/sectors/chemicals/reach/authorisation\\_en](https://ec.europa.eu/growth/sectors/chemicals/reach/authorisation_en)
- **Draft Decisions** publicly available via Comitology Register:  
<http://ec.europa.eu/transparency/regcomitology/index.cfm?CLX=en>
- **Summary of Decisions**: OJEU

## Some reflections

- **Use definition:**
  - All processes covered by the use applied for should be referred to in the exposure scenarios
  - Should be specific enough to match with analysis of alternatives -> uses for which there are alternatives should be excluded from the AfA
- **Chemical Safety Report**
  - Quality and representativeness of exposure data for all DUs covered and for all uses applied for
  - Level of risk: DNEL/PNEC for adequate control route, no given risk level for SEA route
- **Analysis of alternatives:**
  - Scope: determined by the function of the SVHC
  - Must be exhaustive: must cover all the uses applied for

## Some reflections

- The **Authorisation Decision** does not necessarily follow the RAC and SEAC opinions
- **Scope of authorised use(s)** can be more restricted than the use(s) applied for
- **Conditions** can be imposed to address specific aspects in the practical implementation of the authorisation / review report (e.g. reporting on availability of alternatives, further specifying the uses in the review report)
- **Monitoring arrangements** can be provided – in particular to improve the information on exposure
- **Review periods**: shorter review periods in particular where RAC and SEAC opinions point to significant uncertainties in AfAs



## Recent developments

- **Requests for internal review under Article 10 of Regulation 1367/2006 (Aarhus Regulation) of Authorisation Decisions:**
  - Decision authorising uses of DEHP in recycled soft PVC (Decision DEHP-Vinyloop)
  - Decision authorising uses of lead chromate pigments (DCC Maastricht OR)
- **Actions for annulment of Authorisation Decisions:**
  - Decision authorising uses of lead chromate pigments - DCC Maastricht OR (Sweden v. COM)
  - COM Decision replying to request for internal review of authorisation Decision DEHP-Vinyloop (ClientEarth v. COM)

# Recent/ongoing initiatives

- **Streamlining AfAs:**  
Practical guide *How to apply for authorisation*
- **Simplifying AfAs in specific cases:**
  - Where risk is expected to be low (low quantities)
  - Where substitution is not feasible (legacy spare parts, repairs)
- **Adapting the fees:**
  - Reducing the fees for simplified AfAs
  - Eliminating the additional fee per each additional applicant in joint AfAs
  - Increasing the fee per each additional use
- **Review periods:**
  - decided on a case-by-case basis (roughly half of the decisions provide 12y, rest vary from 2y – 7y)
  - possibility of review periods longer than 12y: criteria are being developed

# Thank you

Disclaimer

*All views expressed are purely personal and should not be considered as representative of the European Commission's official position. Neither the European Commission nor any person acting on behalf of the Commission is responsible for the use which might be made of the information provided.*